

# TUNNELING IN QUANTUM FIELD THEORY

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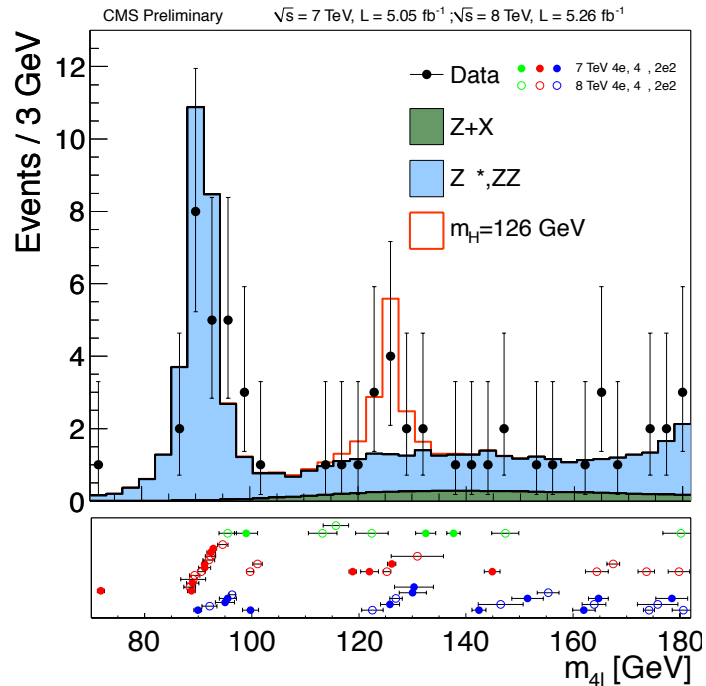
SLAC

November 18, 2016

Matthew Schwartz  
Harvard University

Based on PRL 113.241801 (arXiv:1408.0292)  
PRD (91) 016009 (arXiv:1408.0287)  
PRL 118.xxxx [to appear] (arXiv:1602.01102)  
PRD [in review] (arXiv:1604.06090)  
with Anders Andreassen, David Farhi and William Frost

# July 4, 2012: Higgs boson discovered!



What did we learn?



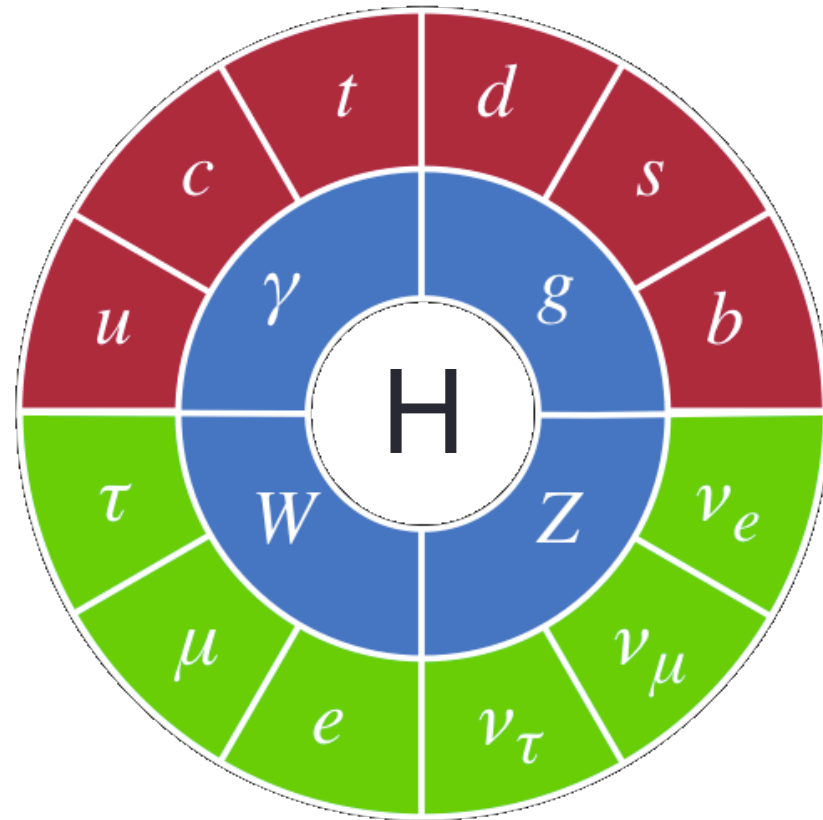
# The Standard Model

1980-2012

|                                     |                                   |                                   |                           |
|-------------------------------------|-----------------------------------|-----------------------------------|---------------------------|
| $u$<br><i>up</i>                    | $s$<br><i>strange</i>             | $t$<br><i>top</i>                 | $\gamma$<br><i>photon</i> |
| $d$<br><i>down</i>                  | $c$<br><i>charmed</i>             | $b$<br><i>bottom</i>              | $g$<br><i>gluon</i>       |
| $\nu_e$<br><i>electron neutrino</i> | $\nu_\mu$<br><i>muon neutrino</i> | $\nu_\tau$<br><i>tau neutrino</i> | $Z$<br><i>Z Boson</i>     |
| $e$<br><i>electron</i>              | $\mu$<br><i>muon</i>              | $\tau$<br><i>tau</i>              | $W$<br><i>W Boson</i>     |

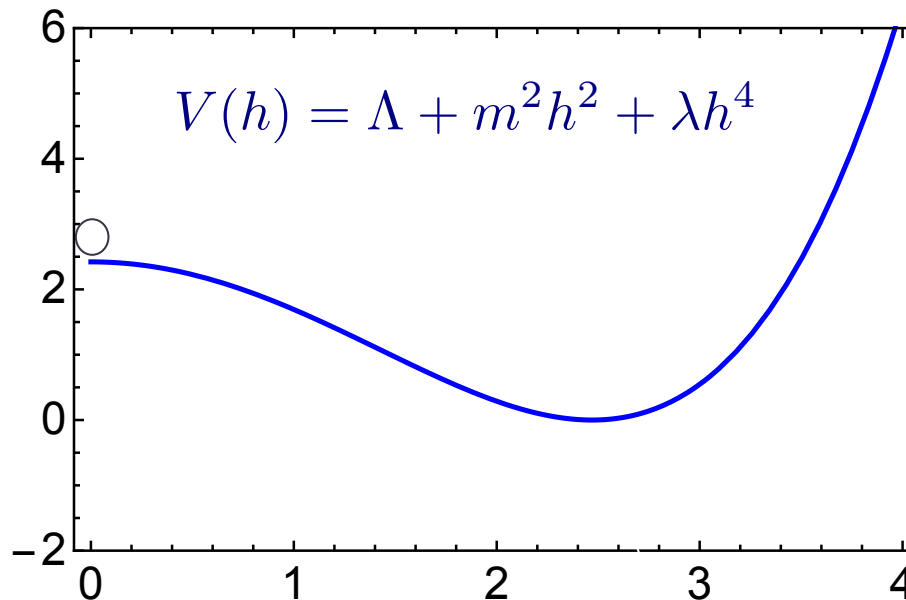


2012 -- ??



# What is the Higgs field?

- The Higgs field  $h(x)$  pervades all space
- The Higgs field  $h(x)$  has charge under the weak force
  - If  $\langle h \rangle = 0$  space is not empty – it has weak charge too
- The Higgs field  $h(x)$  has a potential



- Lowest energy state has  $\langle h \rangle = v$
- This Higgs field value surrounds us all

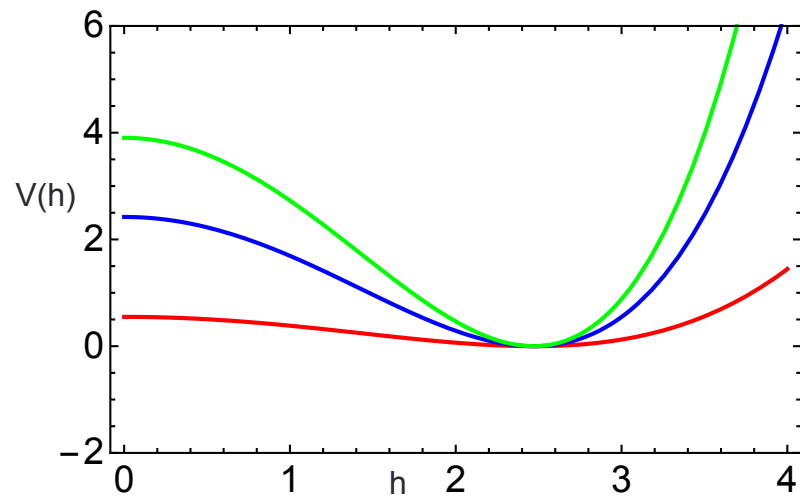
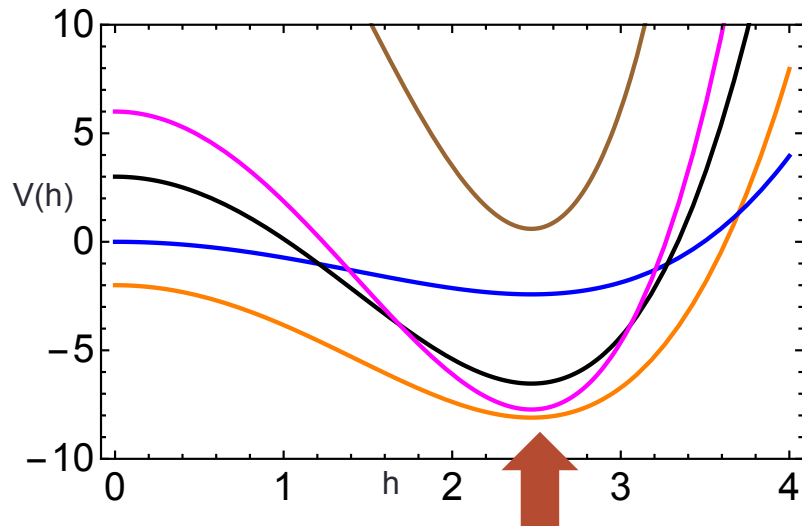
What do we know about this potential?

Classical potential:  $V(h) = \Lambda + m^2 h^2 + \lambda h^4$

- 3 free parameters ( $\Lambda$ ,  $m$ ,  $\lambda$ )
  - Must be measured from data

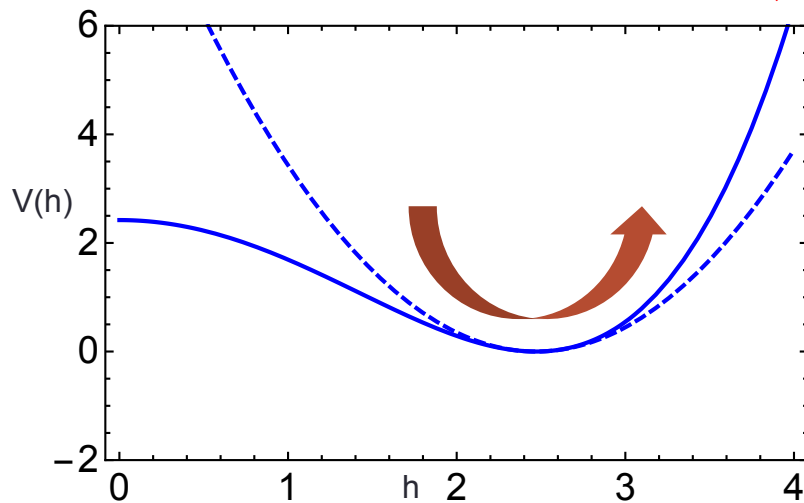
# Higgs potential

$$V(h) = \Lambda + m^2 h^2 + \lambda h^4$$

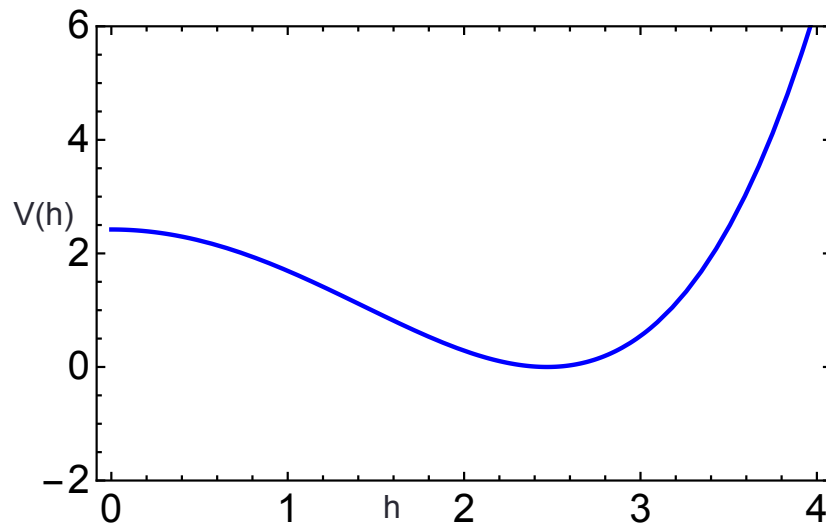


1933: Rate for beta decay ( $G_F = \langle v \rangle^{-2}$ )  
gives vacuum expectation value  $v = \frac{m}{\sqrt{\lambda}}$

1998: acceleration of universe gives  
vacuum energy density  $V(v) = (10^{-3} \text{ eV})^4$

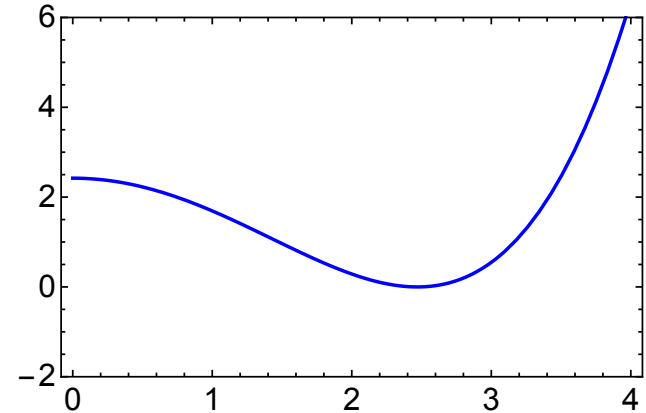


2012: Higgs boson mass  $V''(v) = (126 \text{ GeV})^2$   
gives curvature at minimum



Classical potential:  $V(h) = \Lambda + m^2 h^2 + \lambda h^4$

- 3 free parameters ( $\Lambda$ ,  $m$ ,  $\lambda$ )
  - Must be measured from data ✓



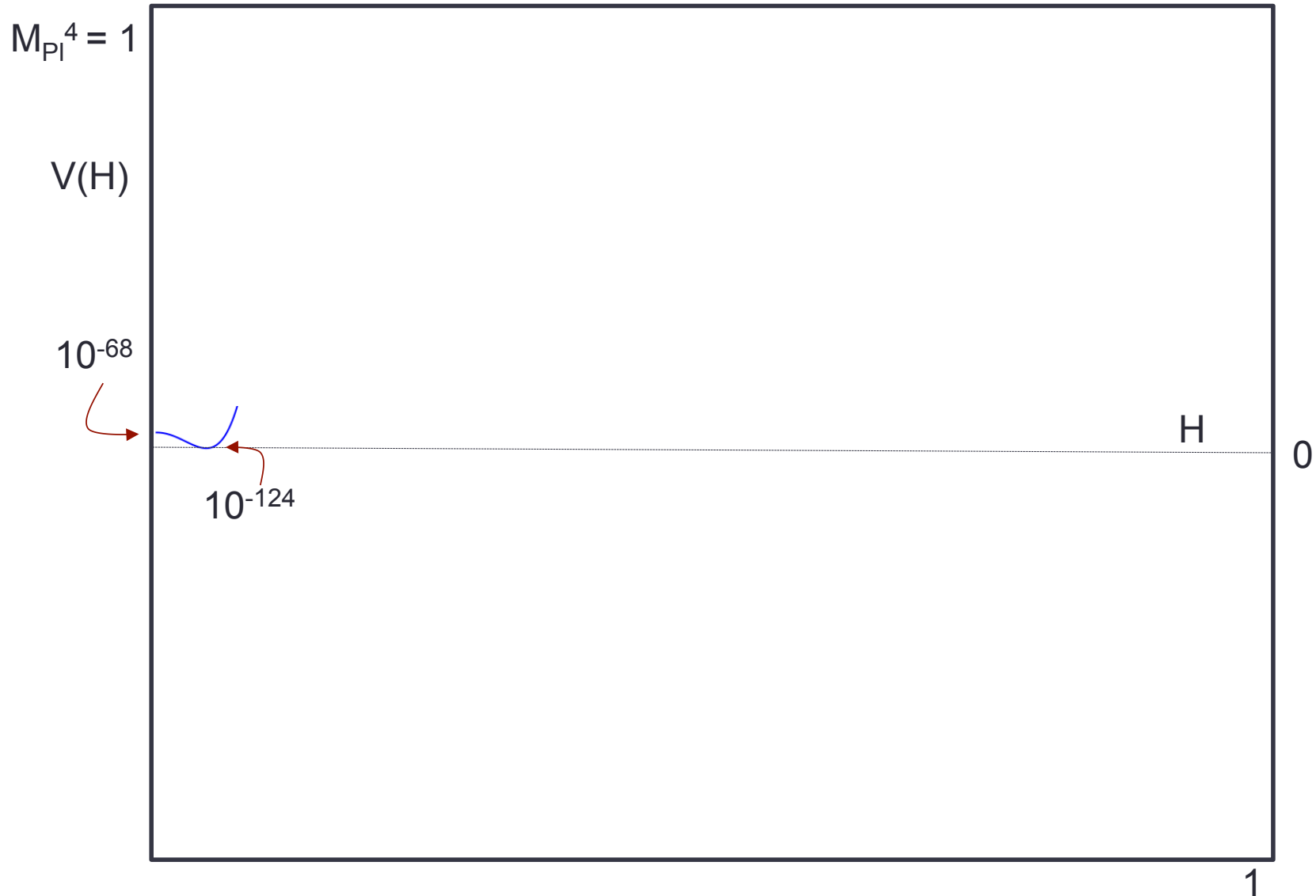
Why are the values of  $\Lambda$ ,  $m$ ,  $\lambda$  in nature **interesting**?

1. Fine tuning

2. Vacuum stability

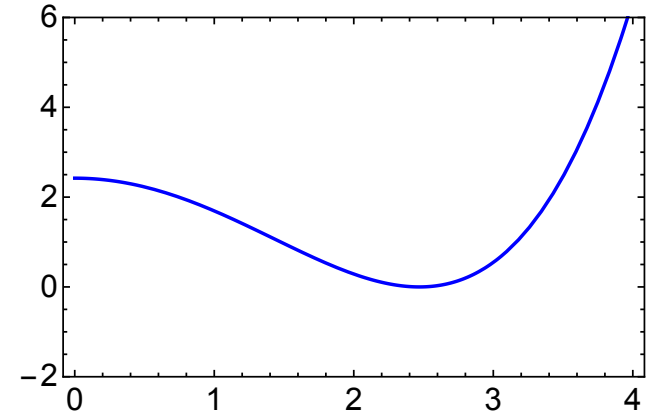


# 1. Fine tuning



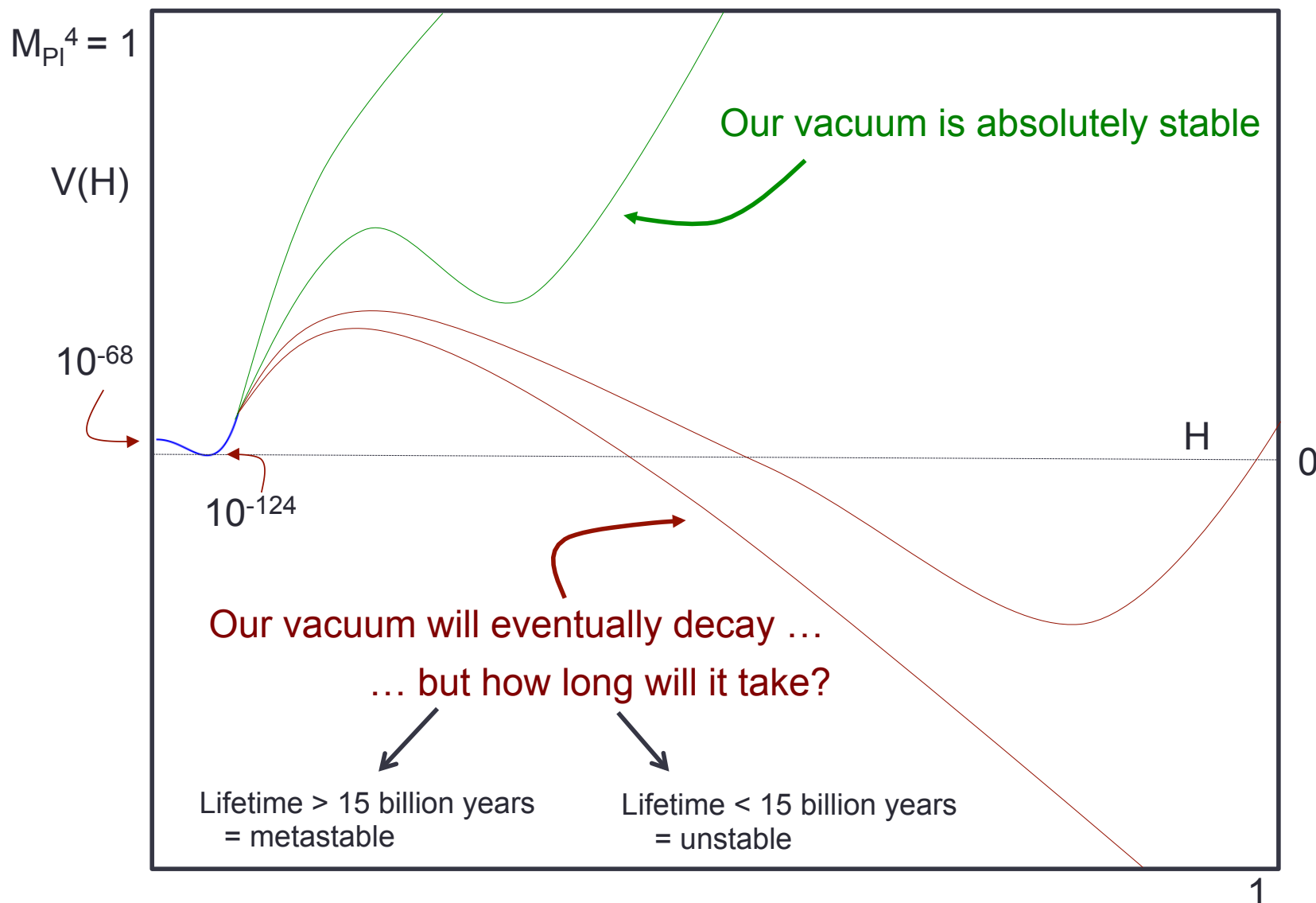
Classical potential:  $V(h) = \Lambda + m^2 h^2 + \lambda h^4$

- 3 free parameters ( $\Lambda$ ,  $m$ ,  $\lambda$ )
  - Must be measured from data ✓
- **Only** 3 free parameters
  - Quantum Field Theory  
determines  $V(h)$  for arbitrarily large  $h$
  - Called the quantum-corrected or **Effective Potential**

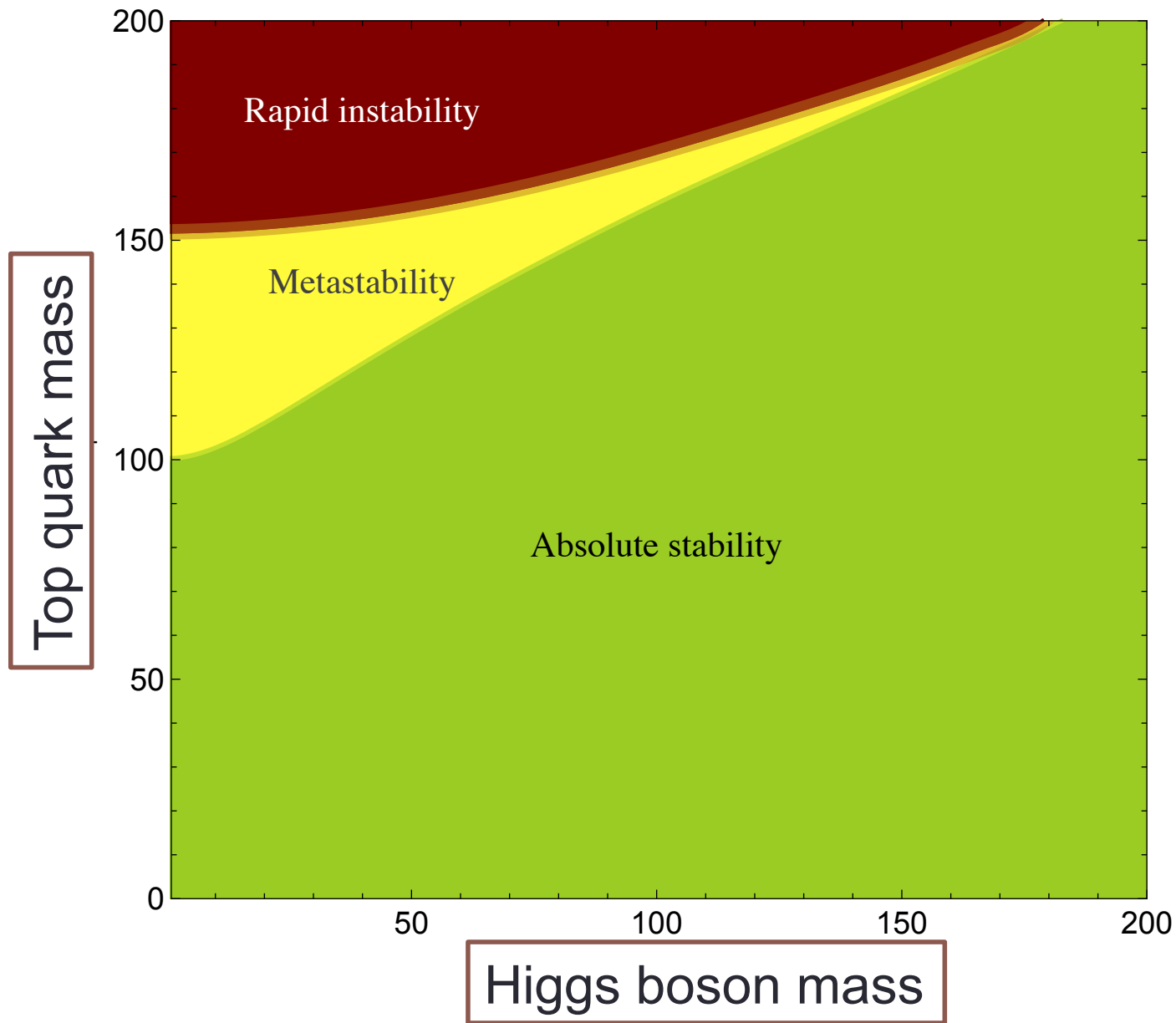


# Fine tuning

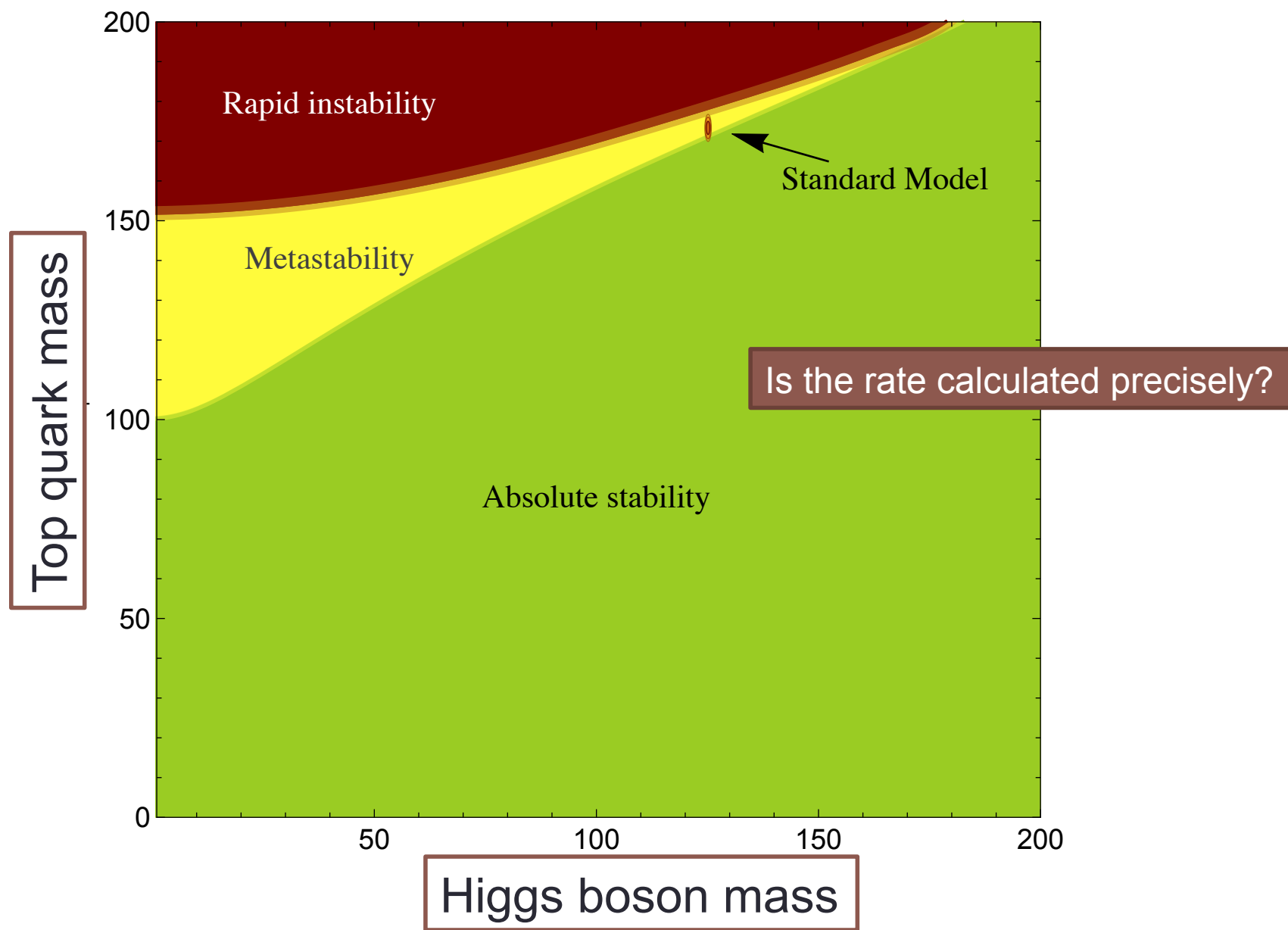
# Stability



# Stability phase diagram

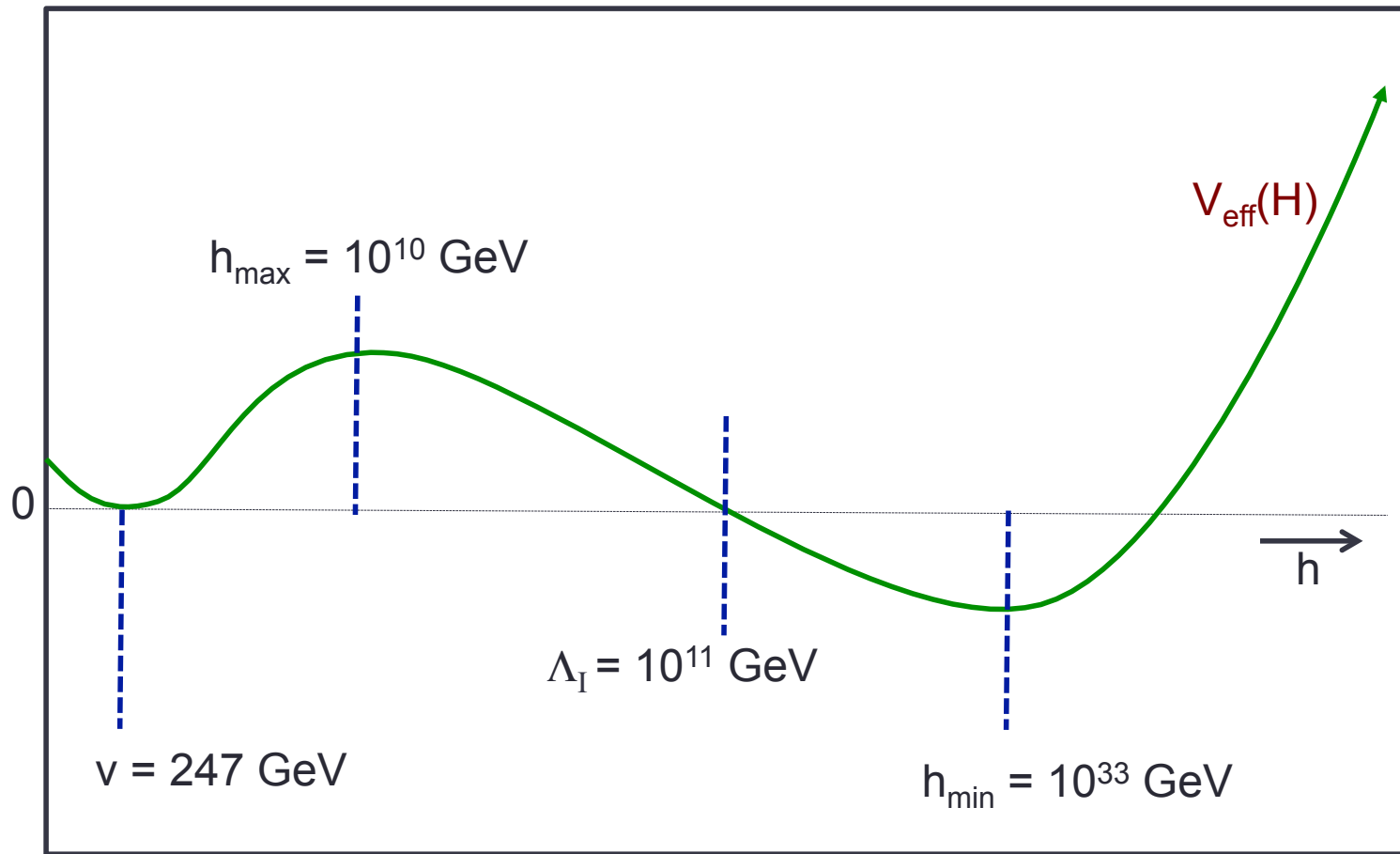


# Stability phase diagram





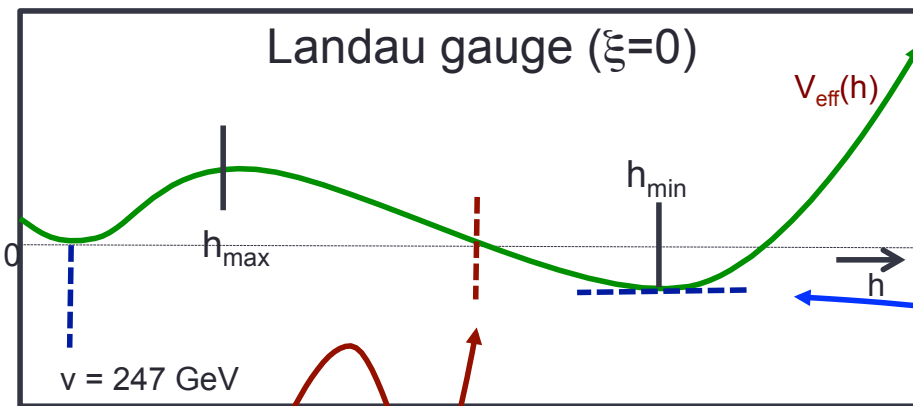
# Standard Model Effective Potential



Are these scales physical?

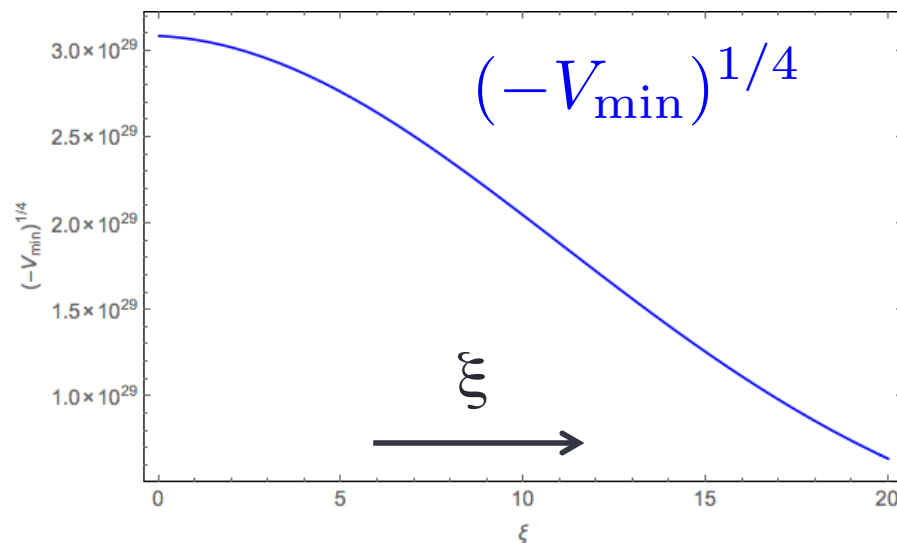
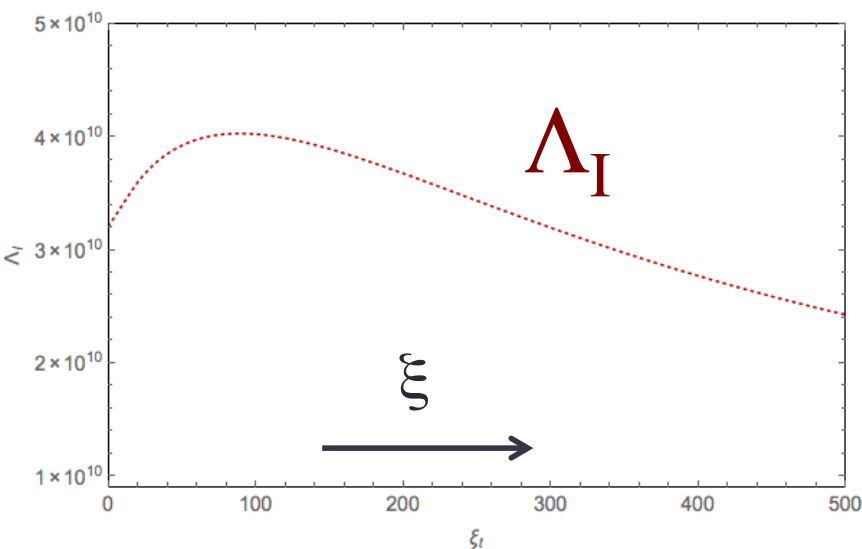
Is the stability Planck sensitive?

# Gauge dependence



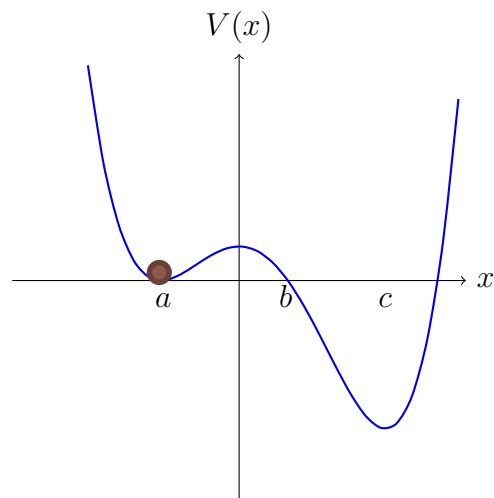
$V_{\text{min}} > 0 \rightarrow \text{Absolute stability}$

- Instability scale  $\Lambda_I$   
 = value of  $h$  where  $V(h) = 0$
- Indicates sensitivity to new physics



- $h_{\text{min}}$  also gauge dependent
- $h_{\text{max}}$  also gauge dependent

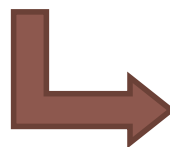
# How do we calculate a decay rate?



Isolate ground state energy  
from **late times**

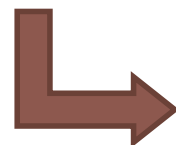
$$Z \equiv \langle a | e^{-H\mathcal{T}} | a \rangle = \int_{x(0)=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}$$

$$Z = \sum_E e^{-ET} |\psi_E(a)|^2$$



$$E_0 = - \lim_{\mathcal{T} \rightarrow \infty} \frac{1}{\mathcal{T}} \ln Z$$

Decay rate is the imaginary  
part of the energy



$$\frac{\Gamma}{2} = \text{Im} \lim_{\mathcal{T} \rightarrow \infty} \frac{1}{\mathcal{T}} \ln Z$$

Clearly this is not exactly what is meant

- **Z is real**
- True ground state at  $E_c = V(c)$  has nothing to do with the false vacuum

How do we get an imaginary part?

# Outline

Decay rates in QM and QFT  
(1602.01102/1604.06090)

Effective potentials  
(1408.0287 & 1408.0292)

## 1. The Coleman-Callan **potential-deformation method**

$$E = E_0 - \frac{1}{2} i \Gamma$$

- What is  $E$  the energy of?
- How does something manifestly real becomes complex?

## 2. Solve the Schrodinger equation

- What exactly do we mean by a tunneling rate?
- The two relevant time scales
- The Gamow-Siegert prescription

## 3. **A direct approach**

- Calculate the probability of going through the barrier

## 4. Using effective potentials to calculate decay rates

- Resolving the gauge dependence issue
- Understanding Planck sensitivity

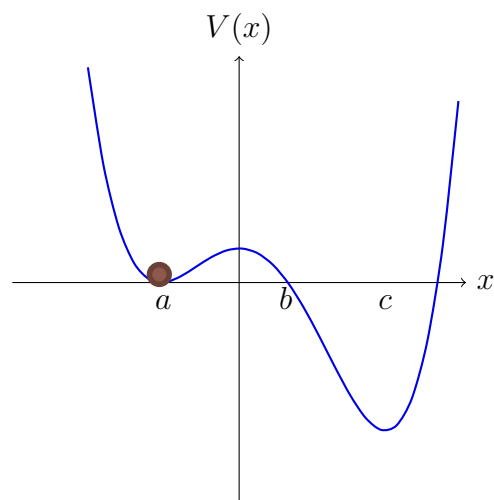
# 1. THE POTENTIAL DEFORMATION METHOD

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Coleman & Callan (1977)



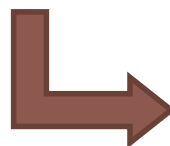
# Coleman and Callan



Isolate ground state energy  
from **late times**

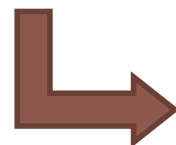
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$$Z = \sum_E e^{-ET} |\psi_E(a)|^2$$



$$E_0 = - \lim_{\mathcal{T} \rightarrow \infty} \frac{1}{\mathcal{T}} \ln Z$$

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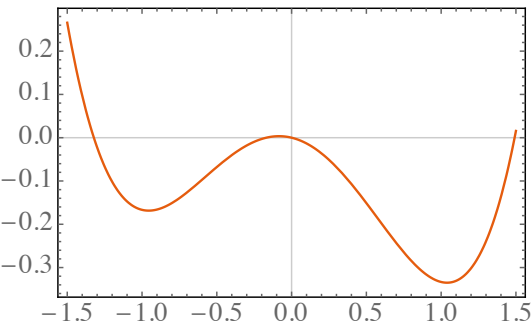
$$\frac{\Gamma}{2} = \text{Im} \lim_{\mathcal{T} \rightarrow \infty} \frac{1}{\mathcal{T}} \ln Z$$

Clearly this is not exactly what is meant

- **Z is real**
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How do we get an imaginary part?

# Saddle points



$$Z \equiv \langle a | e^{-H\mathcal{T}} | a \rangle = \int_{x(0)=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}$$

Dominated by **saddle points**

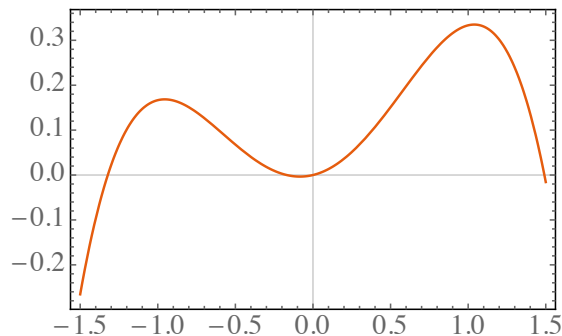
= solutions to the Euclidean equations of motion

$$S = \int dt \left[ \frac{1}{2} (\partial_t x)^2 - V(x) \right]$$

$$\partial_t^2 x = -V'(x)$$

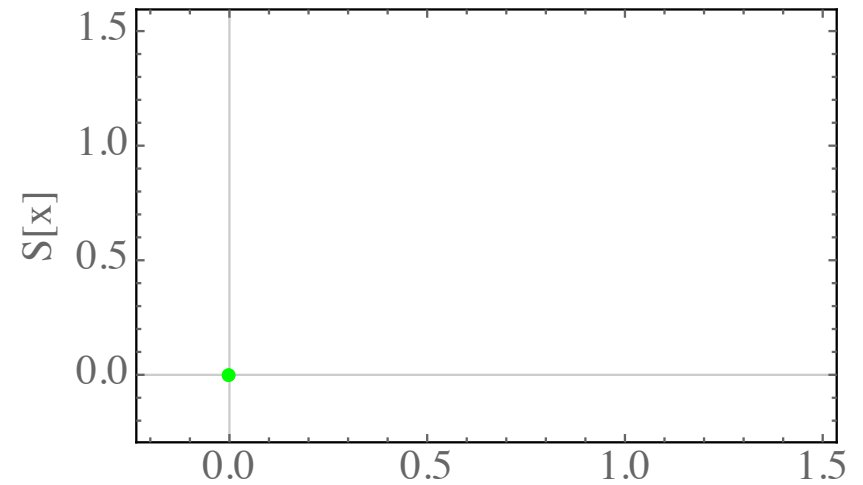
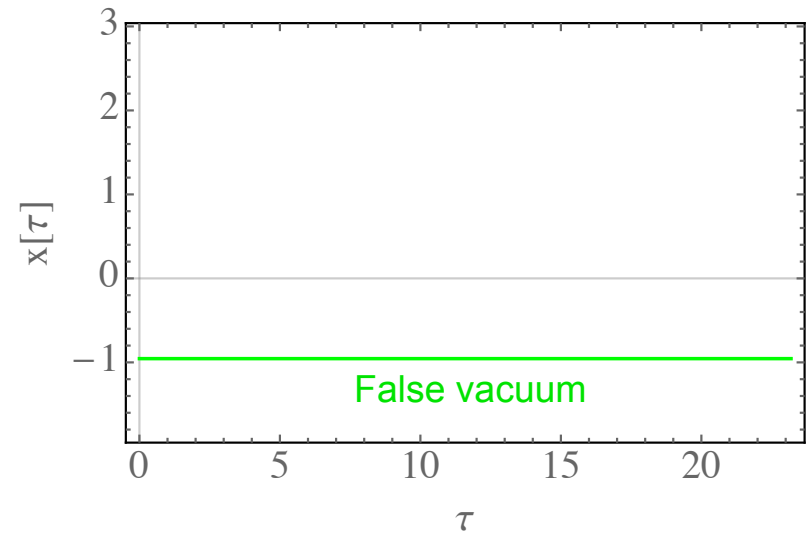
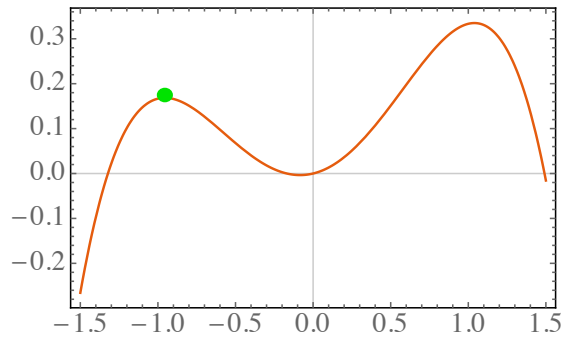
$$S_E = \int d\tau \left[ \frac{1}{2} (\partial_\tau x)^2 + V(x) \right]$$

$$\partial_\tau^2 x = V'(x)$$

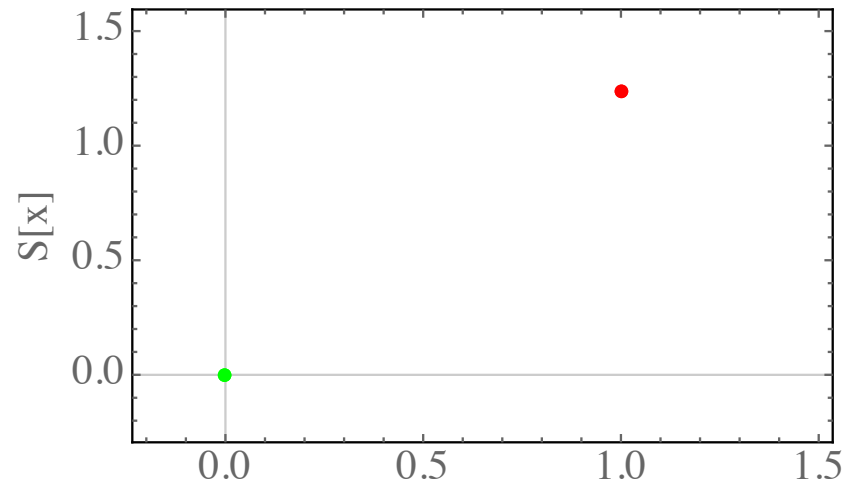
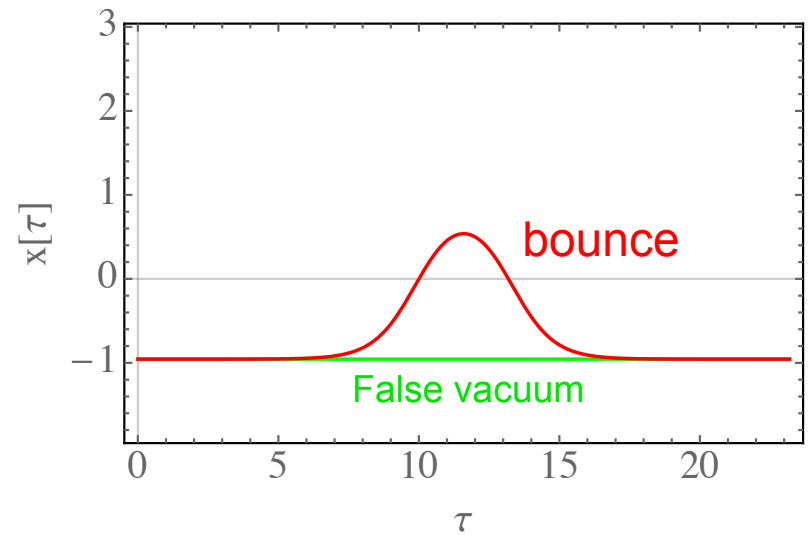
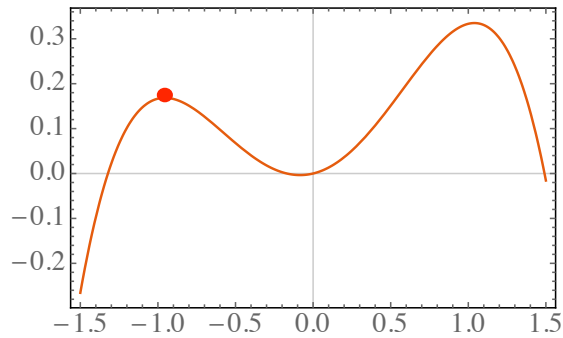


particle rolling down the **inverted potential**  
with boundary conditions  $x(0) = x(\mathcal{T}) = a$

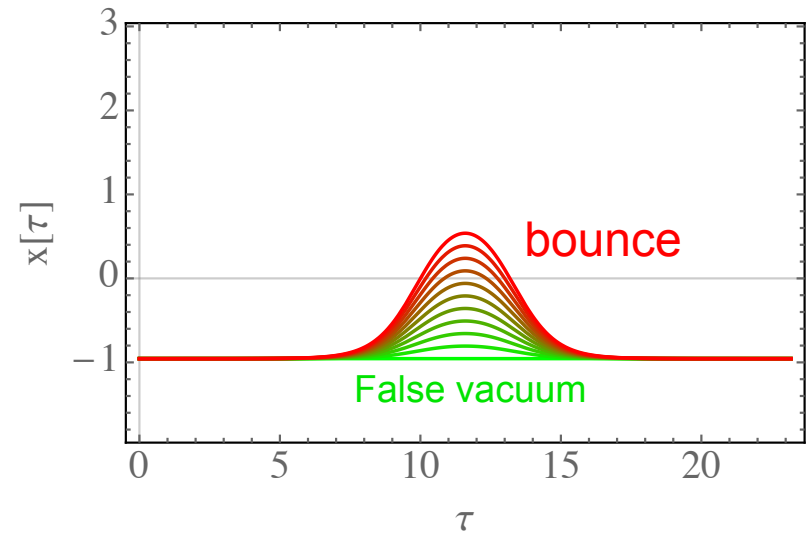
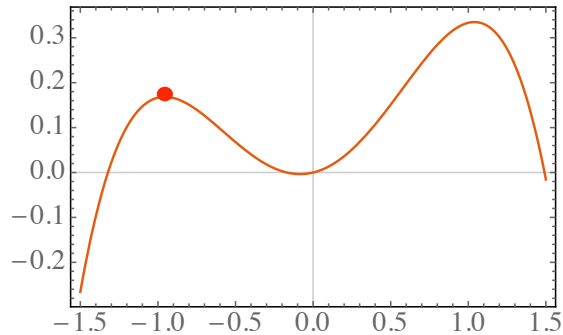
# Saddle points



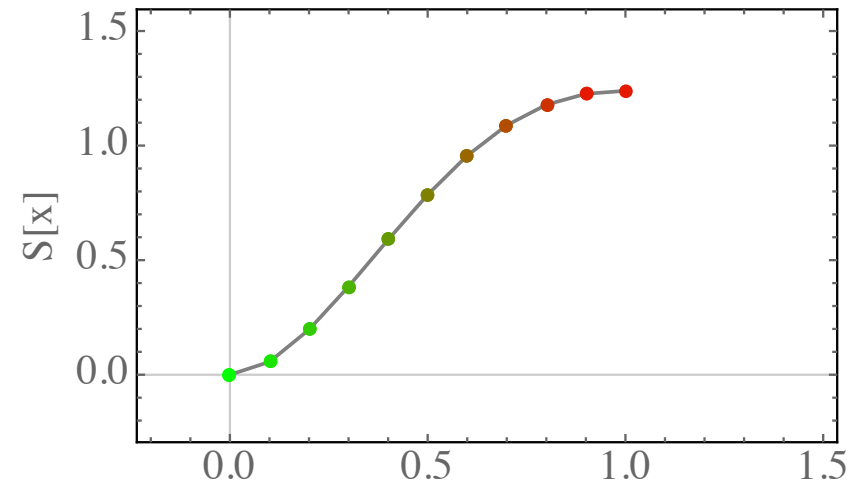
# Saddle points



# Saddle points



Bounce is a saddle point of action:  
local maximum along one direction



Maximum  $\rightarrow$  **negative eigenvalue of  $S''$**   $\rightarrow$   $Z$  has an imaginary part

$$\begin{aligned}
 Z &= \int_{x(0)=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]} \\
 &= \int_{x(0)=0}^{x(\mathcal{T})=0} \mathcal{D}x e^{-S_E[\bar{x}] - \frac{1}{2} S''_E[\bar{x}] x^2 - \dots} \\
 &= e^{-S_E[\bar{x}]} \int_{x(0)=0}^{x(\mathcal{T})=0} \mathcal{D}x e^{-\frac{1}{2} \int d\tau \{ -x \partial_\tau^2 x + x V''(\bar{x}) x \}} \\
 &= \int d\xi_0 \dots d\xi_n e^{[-\sum_n \frac{1}{2} \lambda_n \xi_n^2]} \\
 &= \sqrt{\frac{2\pi}{\lambda_1}} \sqrt{\frac{2\pi}{\lambda_2}} \dots
 \end{aligned}$$

$$\frac{\Gamma}{2} = \text{Im} \lim_{\mathcal{T} \rightarrow \infty} \frac{1}{\mathcal{T}} \ln Z \neq 0$$

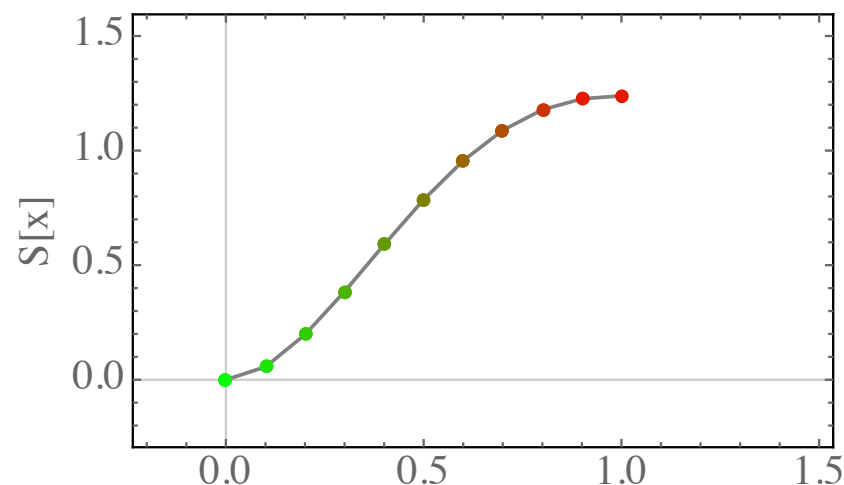
But  $Z$  is real! So how did this happen?

$$x(\tau) = \sum \xi_n y_n(\tau)$$

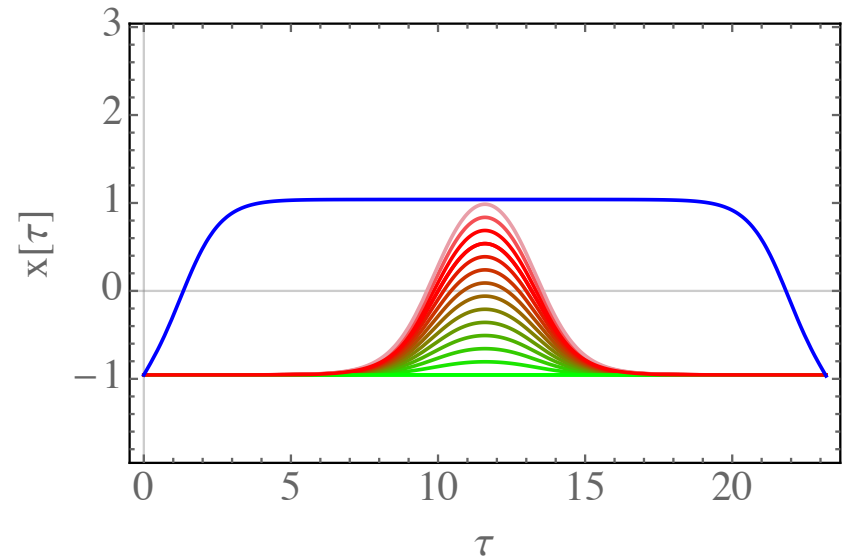
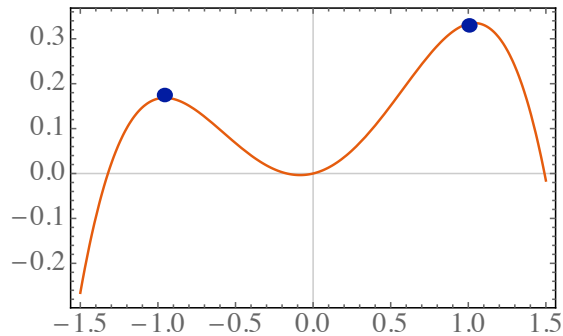
$$[-\partial_\tau^2 + V''(\bar{x})] y_n = \lambda_n y_n$$

$$\int d\tau y_n y_m = \delta_{nm}$$

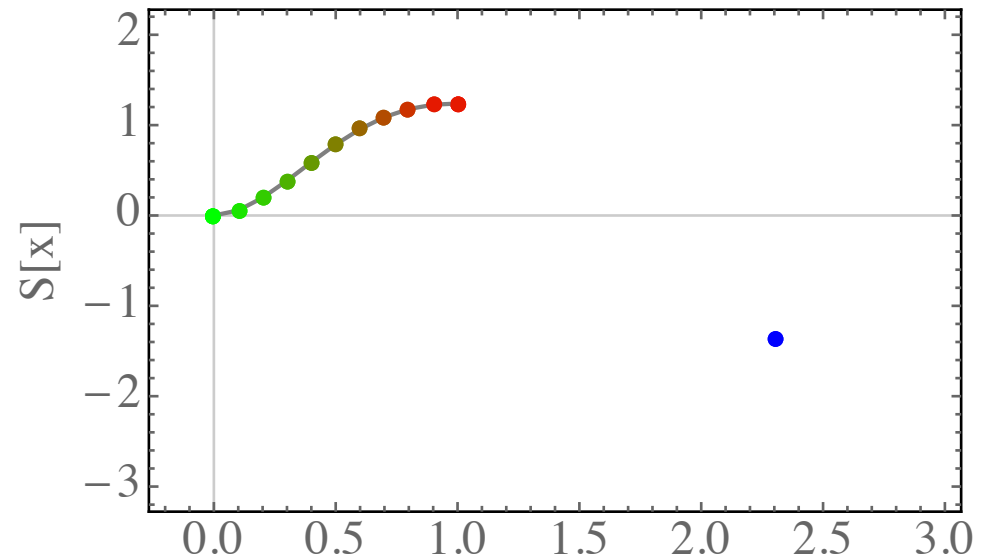
One of these is **negative** ( $\lambda_1 < 0$ )  
if  $\bar{x}$  is a maximum of  $S$  in some direction



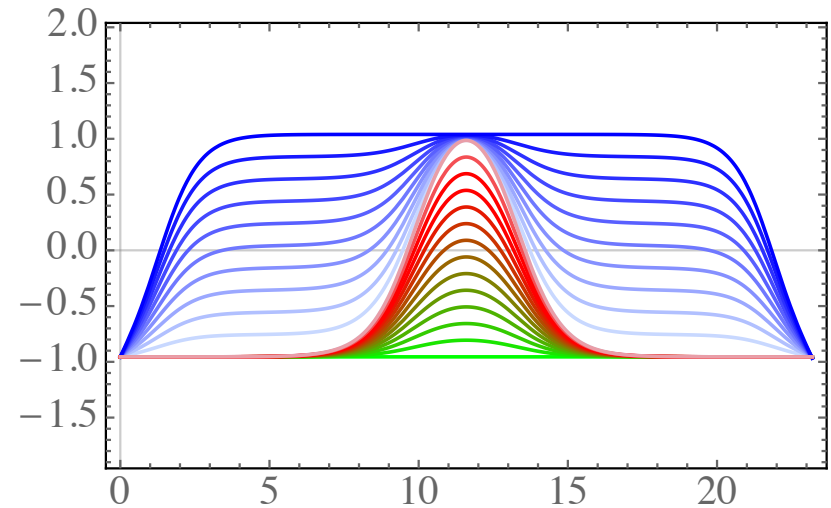
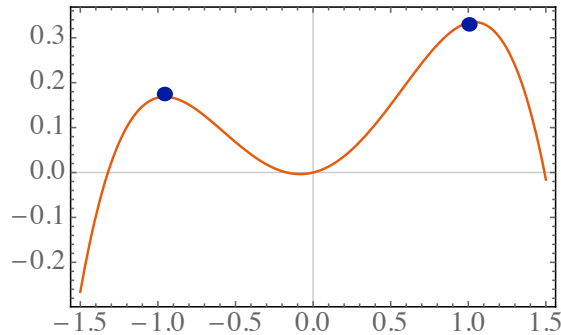
# The Shot



Shot stays at true vacuum most of the time



# The Shot



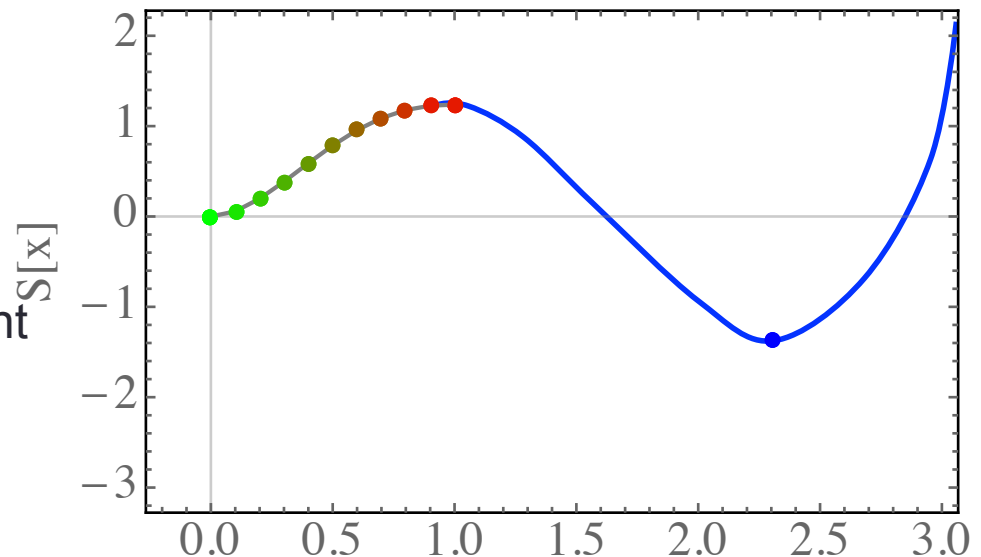
$$Z \equiv \langle a | e^{-H\mathcal{T}} | a \rangle = \int_{x(0)=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}$$

$$\approx e^{-S_E[x_{\text{shot}}]} \left( \gg e^{-S_E[x_{\text{bounce}}]} \right)$$

$$= e^{-E_0 \mathcal{T}}$$

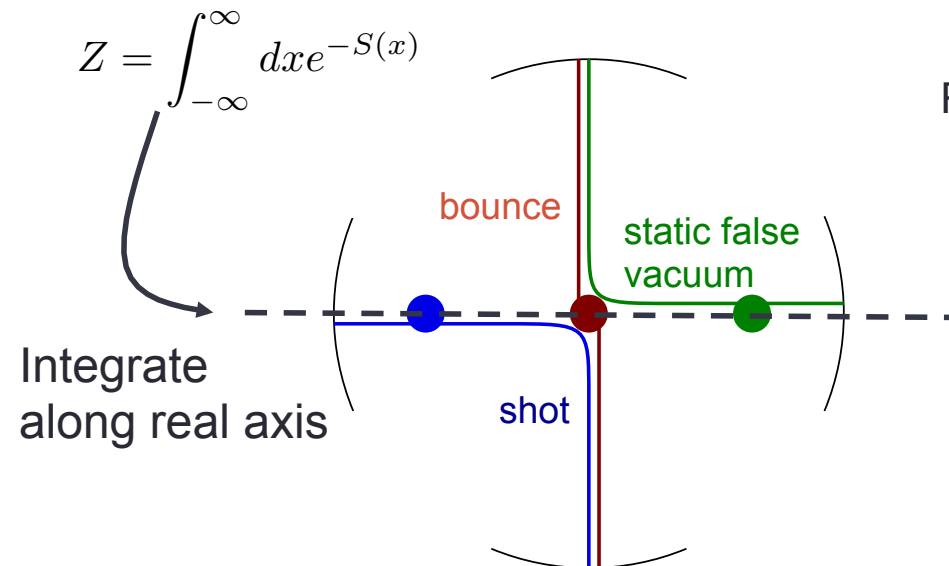
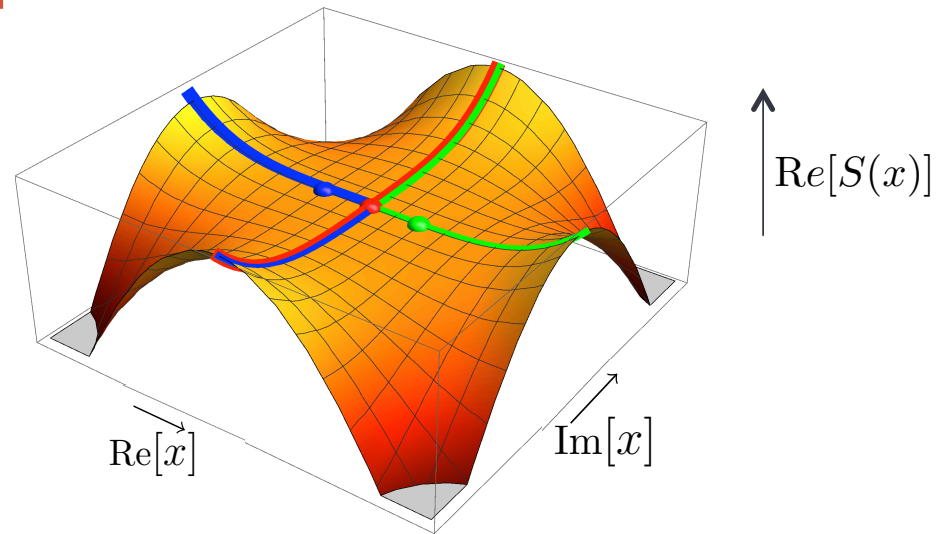
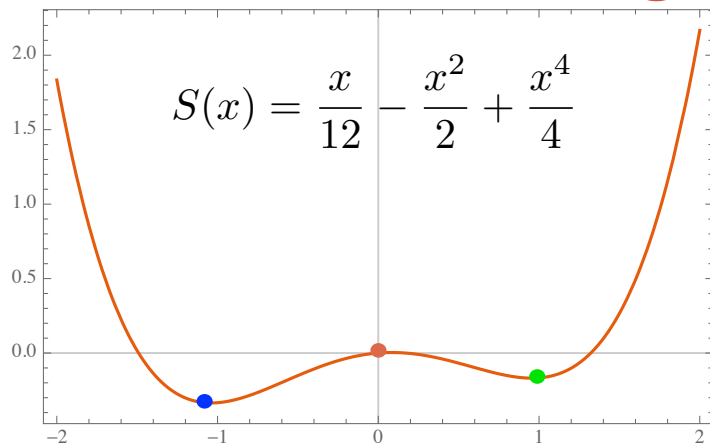
- **Bounce** is exponentially subdominant
- Consistent expansion must drop it
- **True vacuum dominates**

Shot stays at true vacuum most of the time





# Contour integration



Real axis = sum of steepest descent contours

$$\begin{aligned}
 \int_{\mathbb{R}} &= \int_{C_{\text{shot}}} + \int_{C_{\text{bounce}}} + \int_{C_{\text{FV}}} \\
 &= e^{-S(x_{\text{shot}})} + i\frac{\Gamma}{2}\mathcal{T} \\
 &\quad + e^{-S(x_{\text{bounce}})} - i\Gamma\mathcal{T} \\
 &\quad + e^{-S(x_{\text{FV}})} + i\frac{\Gamma}{2}\mathcal{T} \\
 &\approx e^{-S(x_{\text{shot}})}
 \end{aligned}$$

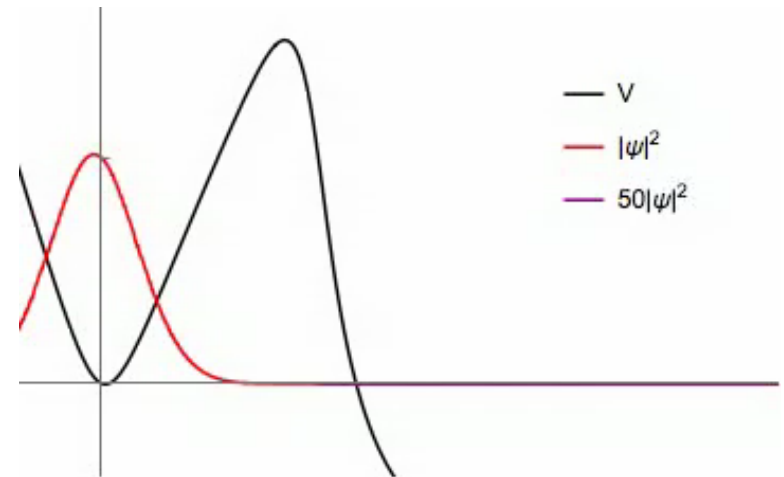
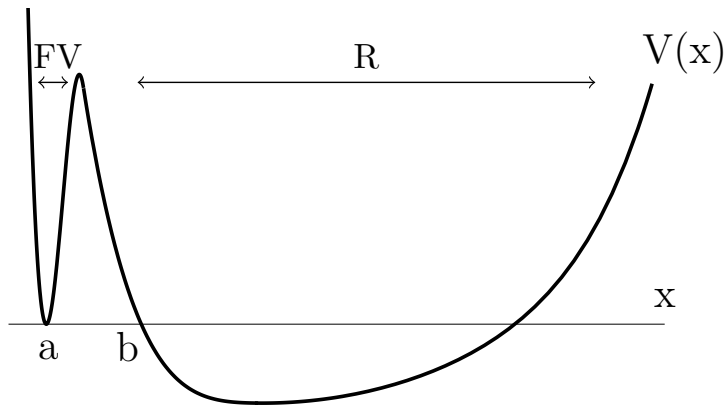
## 2. SCHRÖDINGER EQUATION

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Gamow (1928) & Siegert (1939)

# Quantum mechanics

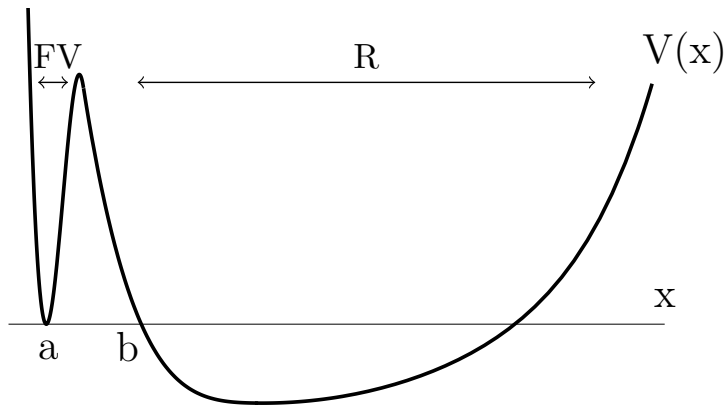
$$i\partial_t\psi(x,t) = \left[ -\frac{1}{2m}\partial_x^2 + V(x) \right] \psi(x,t)$$



$$P_{\text{FV}}(T) \equiv \int_{\text{FV}} dx |\psi(x, T)|^2$$

# Quantum mechanics

$$i\partial_t\psi(x,t) = \left[ -\frac{1}{2m}\partial_x^2 + V(x) \right] \psi(x,t)$$

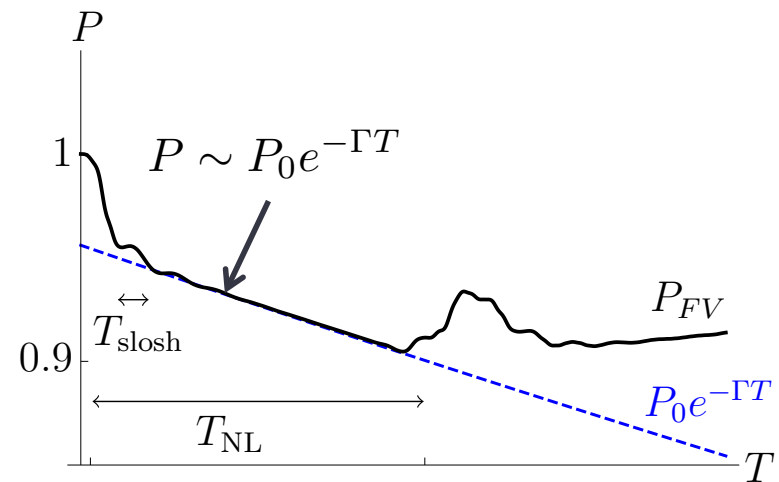
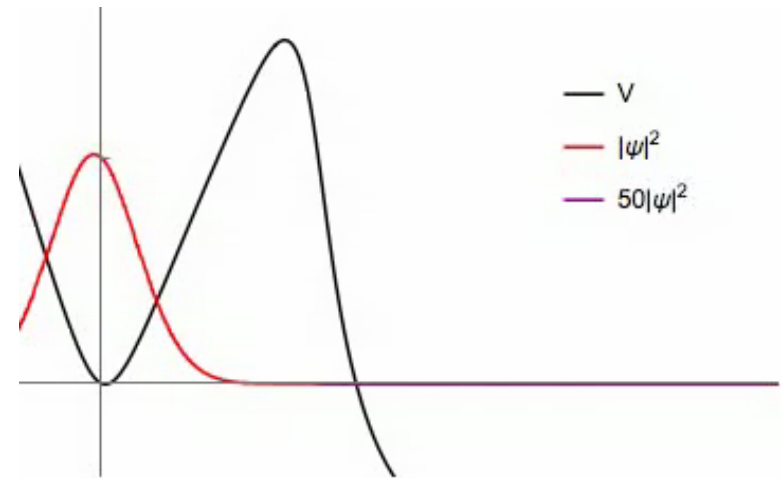


$$P_{\text{FV}}(T) \equiv \int_{\text{FV}} dx |\psi(x, T)|^2$$

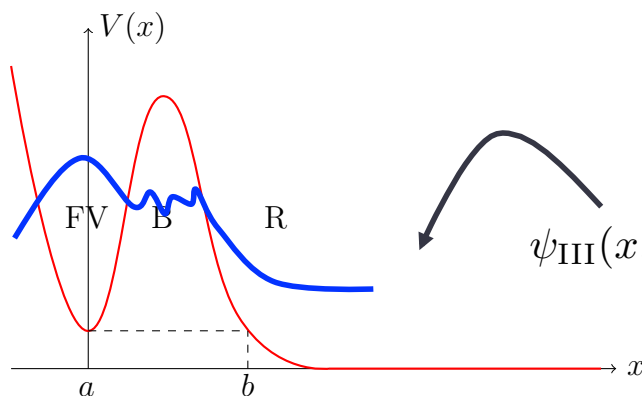
Two time scales

- $T > T_{\text{slosh}}$  – removes transients
- $T < T_{\text{NL}}$  -- avoids all  $\psi$  in true vacuum

$$\Gamma = - \lim_{\frac{T}{T_{\text{slosh}}} \rightarrow \infty} \lim_{\frac{T}{T_{\text{NL}}} \rightarrow 0} \frac{1}{P_{\text{FV}}} \frac{d}{dT} P_{\text{FV}}$$



# Gamow's method



- Hermitian Hamiltonian  $\rightarrow$  energies are real  
 $\rightarrow \psi^* \psi$  independent of time

$$\psi_{\text{III}}(x, t) = C e^{i(kx - Et)} + D e^{-i(kx - Et)}$$

Enforces  $T \ll T_{\text{NL}}$  (no return flux)

Choose outgoing boundary conditions:  $D=0$ ,  $\psi_{\text{III}}(x, t) = C e^{i(kx - Et)}$

- Modes now have outgoing flux

$$J = i(\psi^* \partial_x \psi - \psi \partial_x \psi^*) = -2p$$

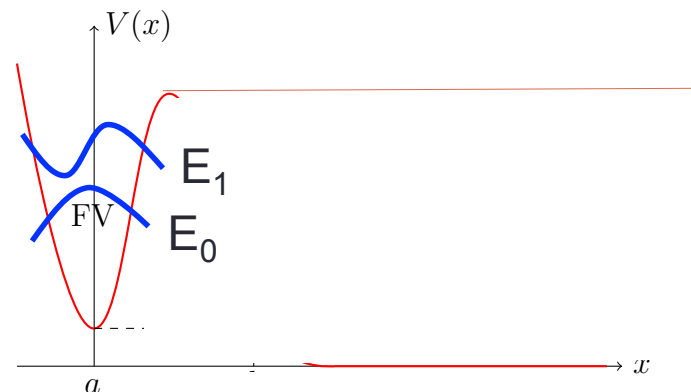
- Violates unitarity  $\rightarrow$  energies are complex

$$E = E_0 - \frac{i}{2}\Gamma \quad \psi(x, t) = e^{-iE_0 t - \frac{1}{2}\Gamma t} \psi_0(x)$$

- Probability is time dependent

$$P = \int \psi^* \psi \sim e^{-\Gamma t}$$

- Zeros of  $D \rightarrow$  energies are quantized
  - Resonances  $\sim$  bound states



Assume  $E_1$   $E_2$  etc components already died off

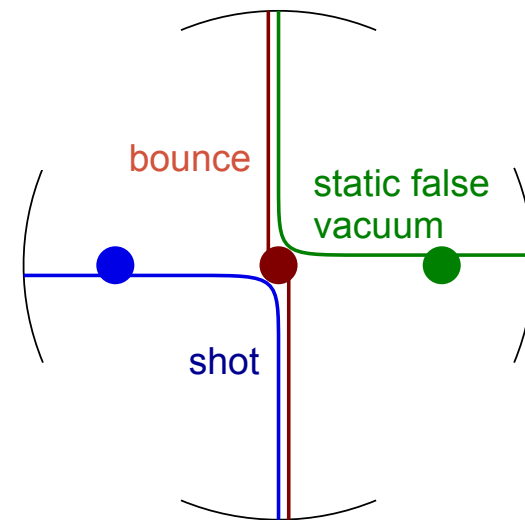
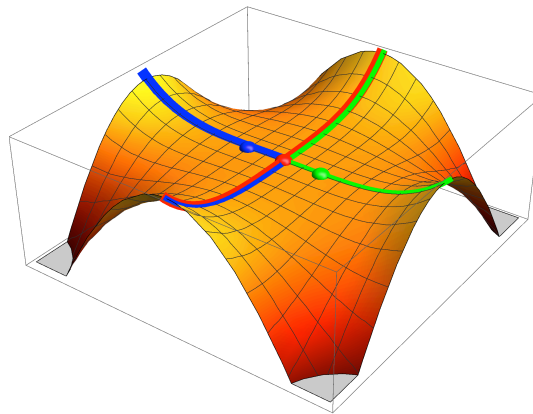
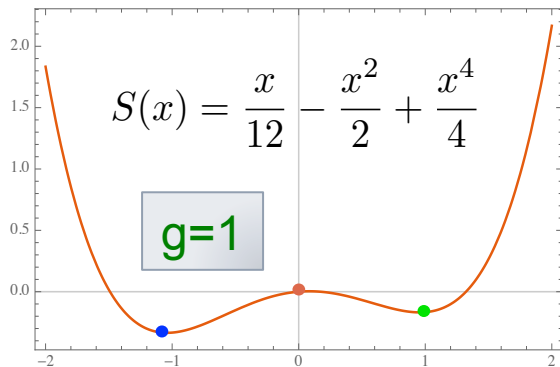
Enforces  $T \gg T_{\text{slosh}}$  (only metastable FV decay)

# 1. THE POTENTIAL DEFORMATION METHOD (CONTINUED)

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Colelman & Callan (1977)

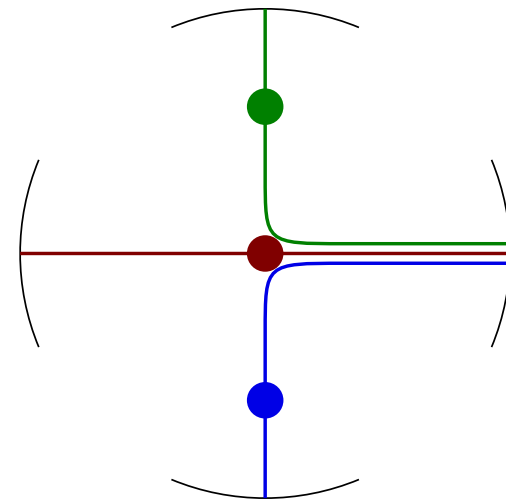
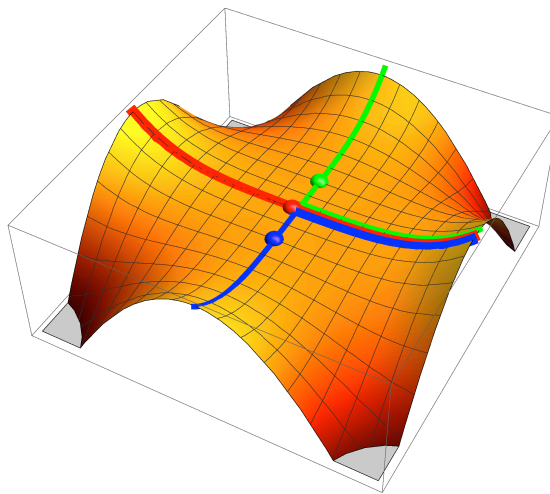
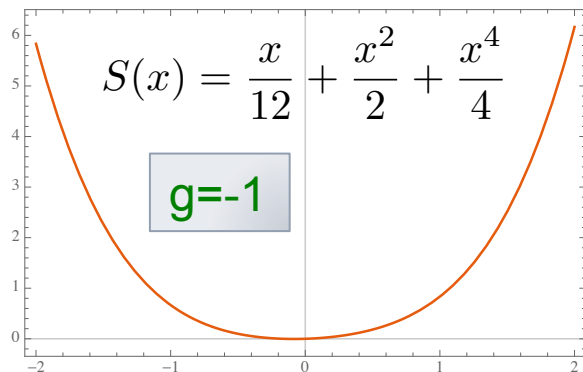
# Potential deformation



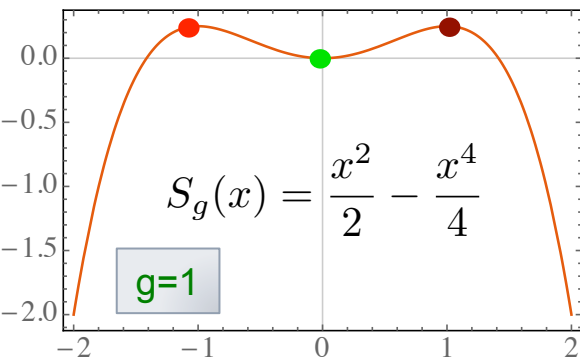
$$S_g(x) = \frac{x}{12} - g \frac{x^2}{2} + \frac{x^4}{4}$$

**deform potential  
to prevent tunneling**

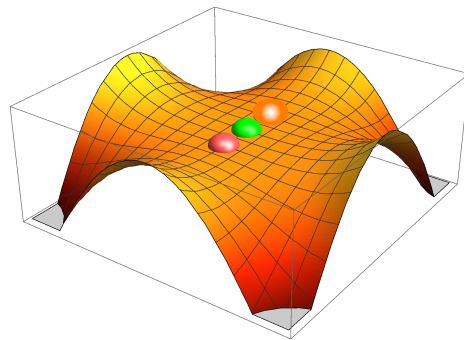
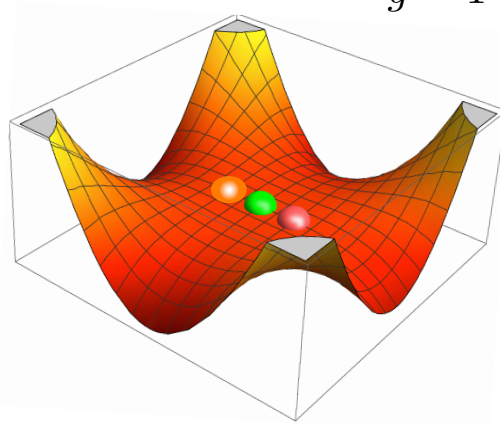
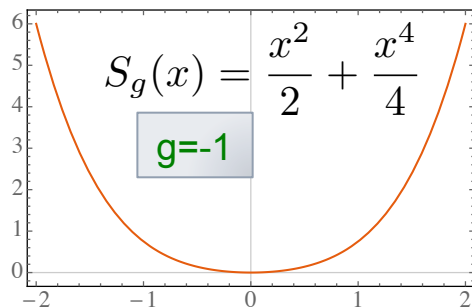
$$Z_g = \int_{-\infty}^{\infty} dx e^{-S_g(x)} \left\{ \begin{array}{l} \bullet \text{ real at } g = +1 \\ \bullet \text{ real at } g = -1 \\ \bullet \text{ an analytic function of } g \end{array} \right.$$



# More standard example

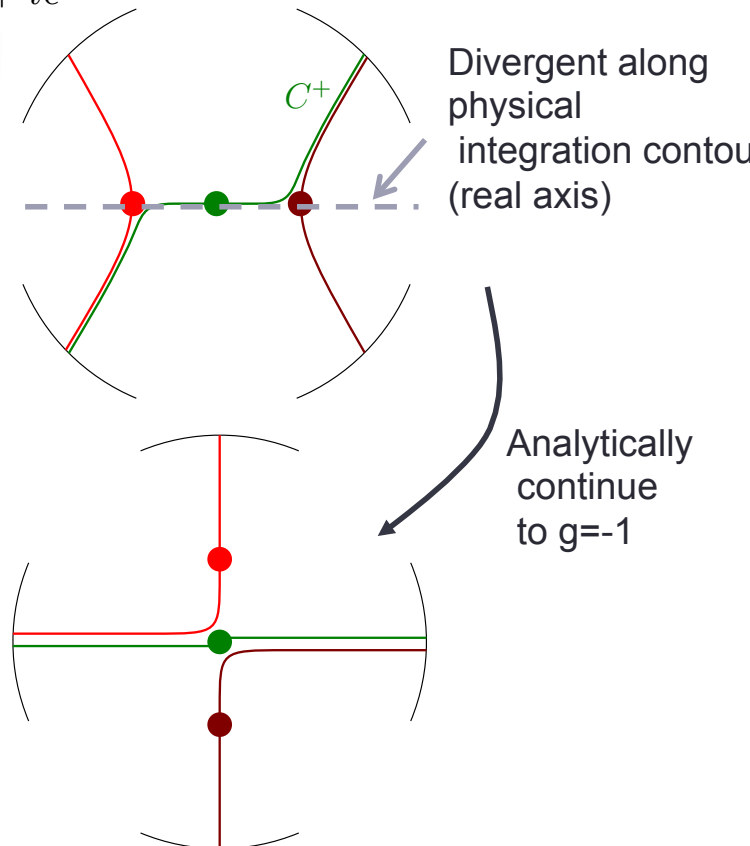


$$S_g(x) = \frac{x^2}{2} - g \frac{x^4}{4}$$



$$g = 1 + i\epsilon$$

$$Z_g = \int_{-\infty}^{\infty} dx e^{-S_g(x)}$$



- Fix integration to be **along contour passing through saddle at  $x=0$**
- Return to  $g=1$ , keeping integration **along green contour**
- $Z$  now has imaginary part at  $g=1$

Well-defined procedure. But is the imaginary part the decay rate?

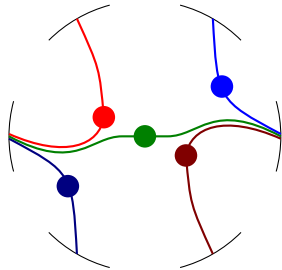


# Add convergence factor

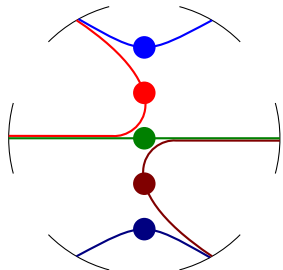
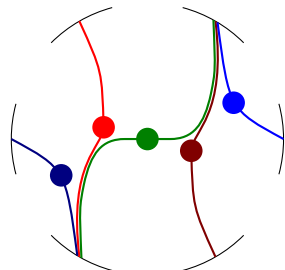
$$S_g(x) = \frac{x^2}{2} - g \frac{x^4}{4} + \frac{x^6}{60}$$

- Modifying potential/action away from region of interest should not affect rate

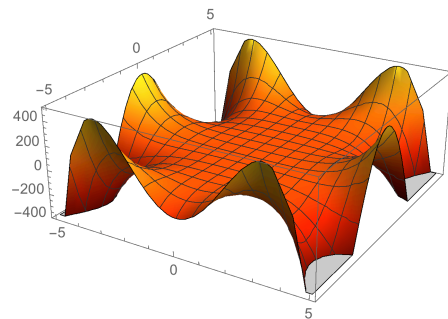
$$g = \exp\left(i\frac{\pi}{4}\right)$$



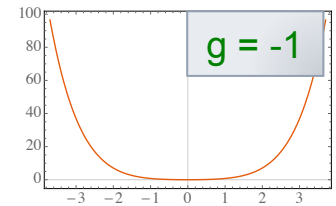
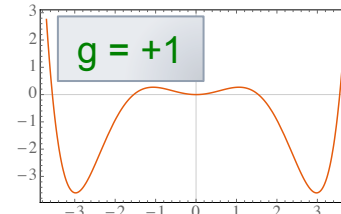
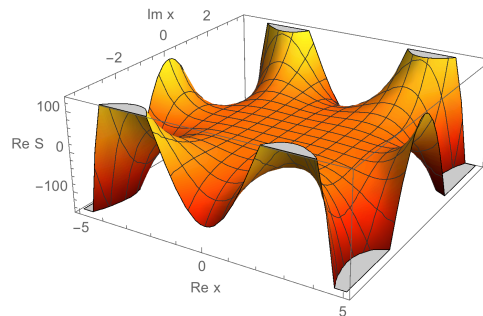
$$g = \exp\left(i\frac{\pi}{3}\right)$$



$$g = -\exp(-i\epsilon)$$



$$g = \exp(i\epsilon)$$



$$Z_g = \int_{-\infty}^{\infty} dx e^{-S_g(x)}$$

- real at  $g = +1$
- real at  $g = -1$
- $Z_g$  is an analytic function of  $g$

- We can still fix the contour at  $g=-1$  and follow it back.

But why?

# Physical limits

$T \gg T_{\text{slosh}}$  (only metastable FV decay)

$T \ll T_{\text{NL}}$  (no return flux)

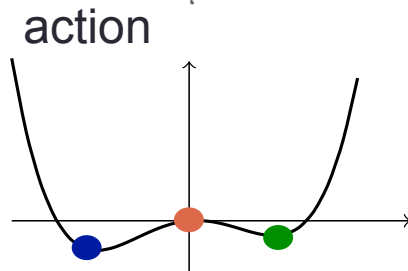
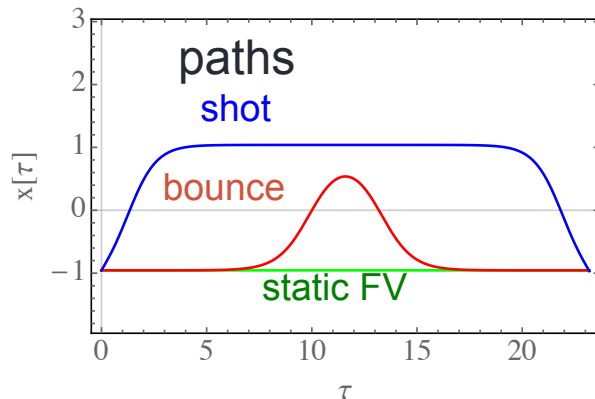
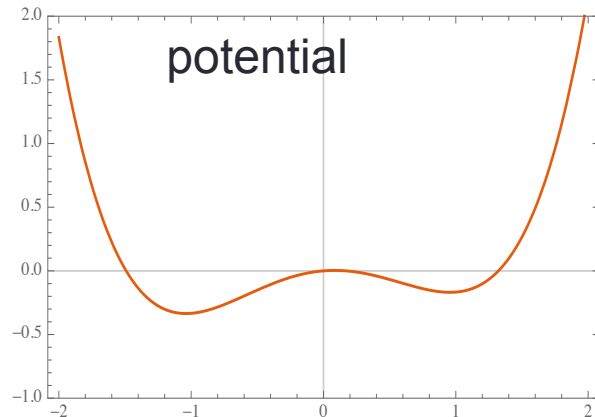
$$\Gamma = - \lim_{\frac{T}{T_{\text{slosh}}} \rightarrow \infty} \lim_{\frac{T}{T_{\text{NL}}} \rightarrow 0} \frac{1}{P_{\text{FV}}} \frac{d}{dT} P_{\text{FV}}$$

$$Z \equiv \langle a | e^{-H\tau} | a \rangle = \int_{x(0)=a}^{x(\tau)=a} \mathcal{D}x e^{-S_E[x]}$$

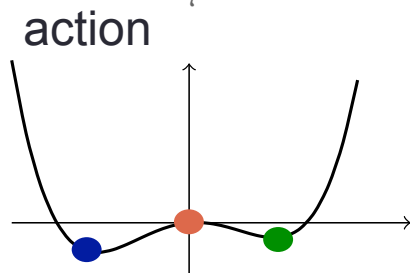
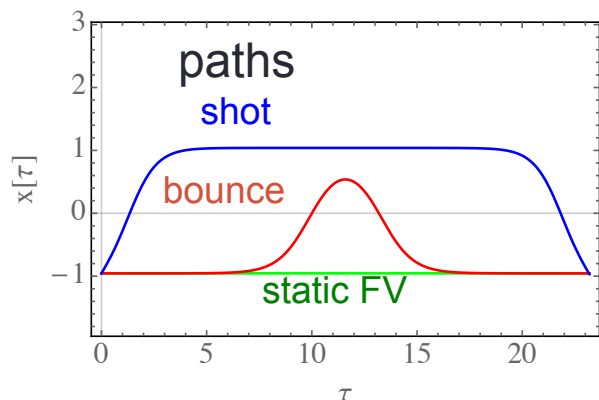
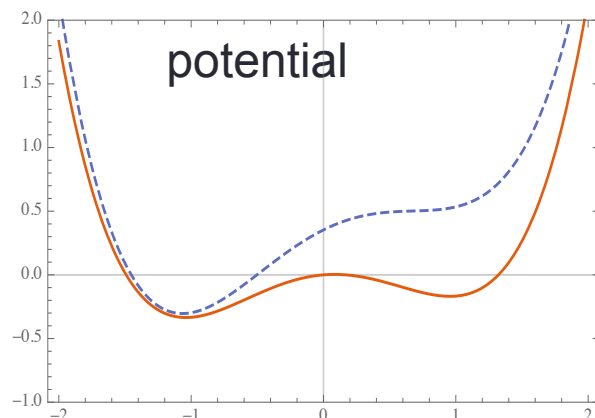
$$\sim e^{-E_0 T} + e^{-E_{\text{FV}} T}$$

$$\rightarrow - \lim_{T \rightarrow \infty} \frac{1}{T} \ln Z = \min(E_0, E_{\text{FV}})$$

Taking  $T \rightarrow \infty$  picks out true ground state  $E_0$



# Physical limits



$T \gg T_{\text{slosh}}$  (only metastable FV decay)

$T \ll T_{\text{NL}}$  (no return flux)

$$\Gamma = - \lim_{\frac{T}{T_{\text{slosh}}} \rightarrow \infty} \lim_{\frac{T}{T_{\text{NL}}} \rightarrow 0} \frac{1}{P_{\text{FV}}} \frac{d}{dT} P_{\text{FV}}$$

$$Z \equiv \langle a | e^{-HT} | a \rangle = \int_{x(0)=a}^{x(T)=a} \mathcal{D}x e^{-S_E[x]}$$

$$\sim e^{-E_0 T} + e^{-E_{\text{FV}} T}$$

$$\rightarrow - \lim_{T \rightarrow \infty} \frac{1}{T} \ln Z = \min(E_0, E_{\text{FV}})$$

Taking  $T \rightarrow \infty$  picks out true ground state  $E_0$

We want to

1. Deform the potential so FV is true ground state
2. Take  $T \rightarrow \infty$ 
  - Picks out  $E_{\text{FV}}(g)$
3. Deform back

$T \ll T_{\text{NL}}$  (no return flux)

$T \gg T_{\text{slosh}}$  (only metastable FV decay)

The  $T \rightarrow \infty$  limit **does not commute** with analytic continuation

•  $\min(E_0, E_{\text{FV}})$  is **not analytic**

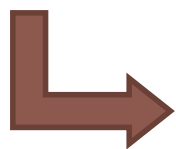
$$Z \sim \int dx e^{-S_E} \sim \int dx e^{-\frac{TE_{\text{FV}}}{\hbar}}$$

$T \rightarrow \infty$  limit  
 like  $\hbar \rightarrow 0$  limit  
 forces saddle point approximation

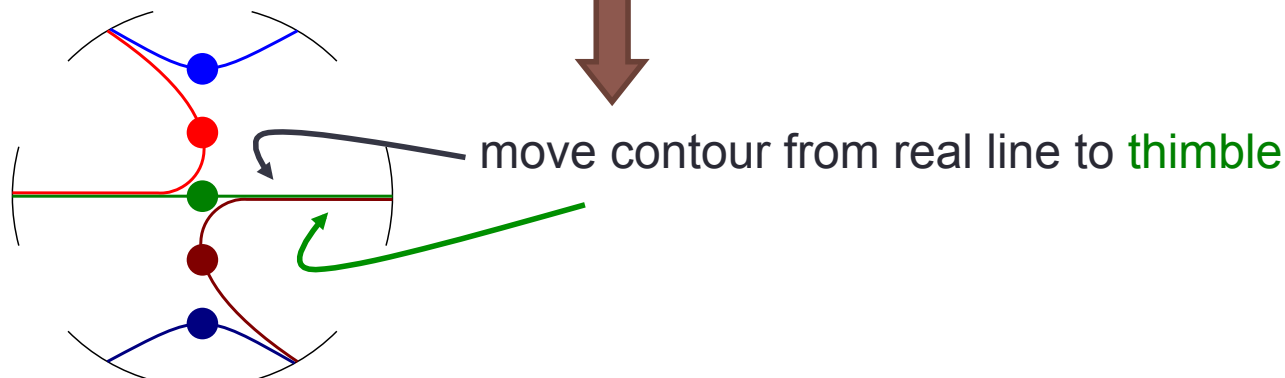
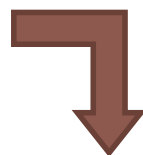
# Saddle point approximation

$$\begin{aligned}
 Z_g &= \int dx e^{\frac{1}{\hbar}(-\frac{1}{2}x^2 + \frac{g}{4}x^4)} \\
 &\approx \int dx e^{-\frac{1}{2\hbar}x^2} \left[ 1 + \frac{g}{4}x^4 + \frac{1}{2} \left( \frac{g}{4}x^4 \right)^2 + \dots \right] \\
 &= \sqrt{2\pi} \left( 1 + \frac{3g}{4} + \frac{105g^2}{32} + \frac{3465g^3}{128} + \frac{675675g^4}{2048} + \frac{43648605g^5}{8192} + \frac{7027425405g^6}{65536} + \dots \right)
 \end{aligned}$$

- Asymptotic series
- Coefficients grow factorially
- Summing the series does **not** reproduce the original function

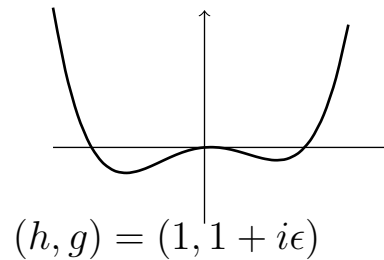


Performing the saddle point approximation does not commute with analytic continuation

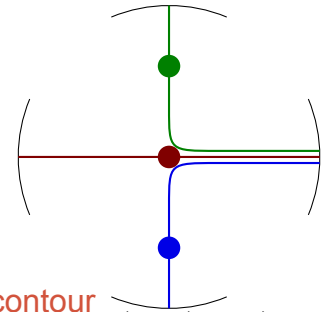
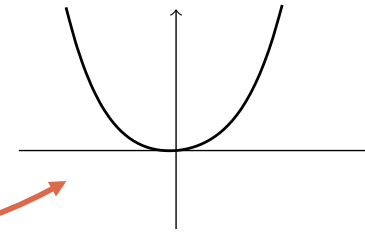


# Examples

$$S_g(x) = h \frac{x}{12} - g \frac{x^2}{2} + \frac{x^4}{4}$$

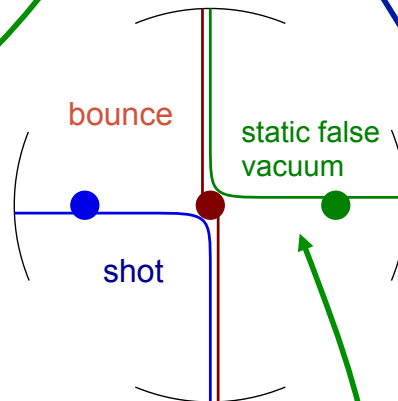
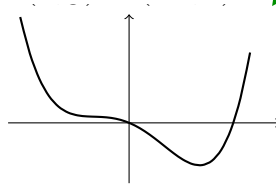


Deform to stabilize  
bounce

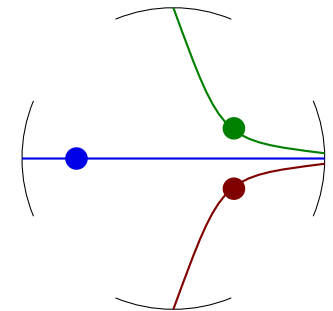
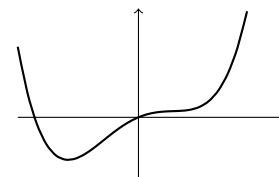


$Z$  has imaginary part  
equal to **all** of the bounce contour

Deform to stabilize  
false vacuum



Deform to stabilize  
shot



$Z$  has imaginary part  
equal to **minus half** of the bounce contour

- Probability grows with time

$T \rightarrow \infty$  limit fixes to **green contour**

$Z$  has imaginary part  
equal to **half** of the  
bounce contour

# Discontinuity

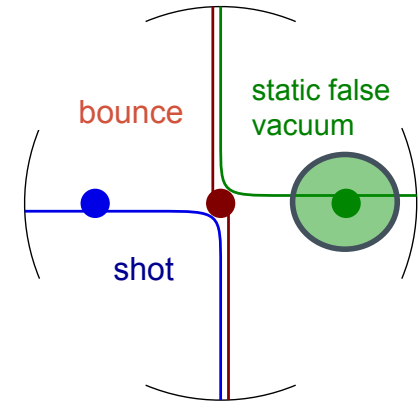
Can we just integrate along the **FV contour**?

**Yes**, at least for this toy integral

$$Z = \int_C dx e^{-S(x)}$$

**No**

- Not clear what “**fixing to a contour**” means for a path integral
- Saddle point approximation **loses the imaginary** part
  - Expanding around the saddle gives a **real integral**
  - Imaginary part comes from region far away
- Saddle point approximation **does work** for the discontinuity



$$1/2 \left[ \left( \text{contour 1} \right) - \left( \text{contour 2} \right) \right] = 1/2 \left( \text{contour 3} \right)$$

The diagrammatic equation shows three contours. The first contour (left) starts on the negative real axis, goes right, then curves up and around the origin, then goes down and right. The second contour (middle) starts on the negative real axis, goes right, then curves down and around the origin, then goes up and right. The third contour (right) is a vertical line on the positive real axis, starting from the bottom and going up. The equation states that half the difference of the first two contours equals half the third contour.

# Summary of potential deformation method

1. Deform the potential so FV is true ground state  $T \ll T_{NL}$  (no return flux)
2. Take  $T \rightarrow \infty$ 
  - Picks out  $E_{FV}(g)$   $T \gg T_{slosh}$  (only metastable FV decay)
  - Fixes integration contour to be the steepest descent contour passing through the static FV saddle point
3. Deform back

OR

- Compute  $Z$  by integrating along the steepest descent contour passing through the static FV saddle point

OR

- Compute  $\Gamma$  by integrating along the steepest descent contour passing through the bounce, taking the imaginary part, and multiply by  $1/2$
- Mathematically consistent procedure to get imaginary part out of an analytic real function  $Z$
- Has the right ingredients associated with the necessary limits

Does this procedure give  
the decay rate?

# 3. DIRECT METHOD

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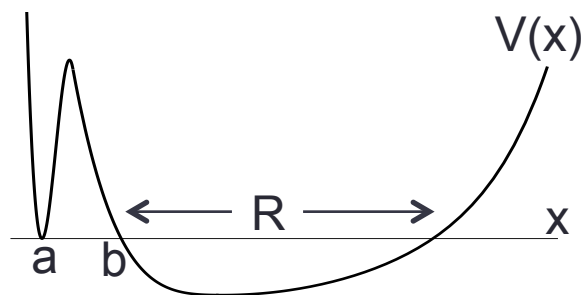
Andreassen, Farhi, Frost, MDS (2016)



# A direct approach

Back to our definition

$$P_{\text{FV}}(T) \equiv \int_{\text{FV}} dx |\psi(x, T)|^2$$



- Start with:  $\psi(x, t=0) = \delta(x-a)$
- We will compute

$$\Gamma_R \equiv \lim_{\substack{T/T_{\text{NL}} \rightarrow 0 \\ T/T_{\text{slosh}} \rightarrow \infty}} \frac{1}{P_{\text{FV}}} \frac{dP_R}{dT}$$

$$P_R(T) = \int_R dx_f |D(a, x_f, T)|^2$$

probability of finding  $\psi$   
in region R at time T

$$\Gamma = - \lim_{\frac{T}{T_{\text{slosh}}} \rightarrow \infty} \lim_{\frac{T}{T_{\text{NL}}} \rightarrow 0} \frac{1}{P_{\text{FV}}} \frac{d}{dT} P_{\text{FV}}$$

$T \gg T_{\text{slosh}}$  (only metastable FV decay)

$T \ll T_{\text{NL}}$  (no return flux)

**Propagator** from a to  $x_f$  in time T

$$D(a, x_f, T) \equiv \int_{x(0)=a}^{x(T)=x_f} \mathcal{D}x e^{iS[x]}$$

# Step 1: Split up propagator

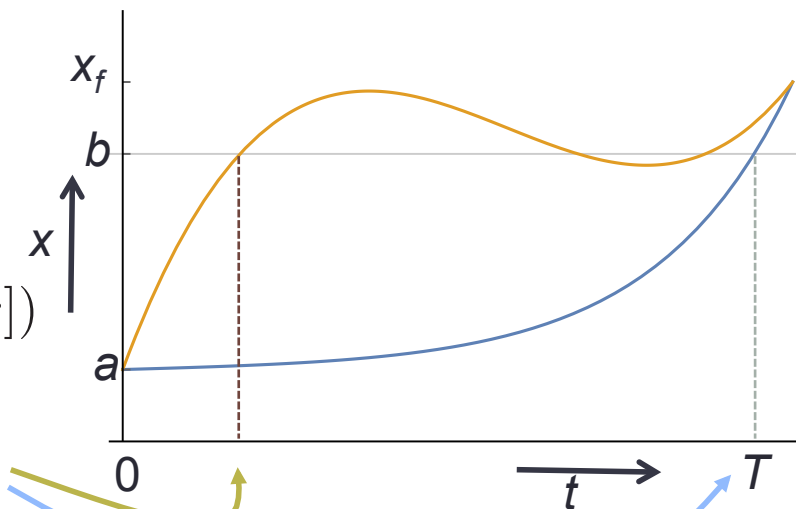
$$D(a, x_f, T) \equiv \int_{x(0)=a}^{x(T)=x_f} \mathcal{D}x e^{iS[x]}$$

Split path integral into *before*  $b$  and *after*  $b$ :

$$D(a, x_f, T) = \int_{x(0)=a}^{x(T)=x_f} \mathcal{D}x e^{iS[x]} \int dt \delta(t - t_b[x])$$

$t_b[x] \equiv$  First time path  $x(t)$  hits  $b$

$$= \int dt \underbrace{\int_{x(0)=a}^{x(t)=b} \mathcal{D}x e^{iS[x]} \delta(t - t_b[x])}_{\bar{D}(a, b, t)} \underbrace{\int_{x(t)=b}^{x(T)=x_f} \mathcal{D}x e^{iS[x]}}_{D(b, x_f, T-t)}$$



hits  $b$  only once, at  $t$

- Regular propagator from  $b$  to  $x_f$
- Paths can go back past  $b$

$$D(a, x_f, T) = \int dt \bar{D}(a, b, t) D(b, x_f, T-t)$$

# Step 2: Apply $T \ll T_{NL}$

$$D(a, x_f, T) = \int dt \bar{D}(a, b, t) D(b, x_f, T - t)$$

- Hits  $b$  only once, at  $t$
- Regular propagator from  $b$  to  $x_f$
- Paths can go back past  $b$

$$\begin{aligned} P_R(T) &= \int_R dx_f |D(a, x_f, T)|^2 \\ &= \int dx_f dt_1 dt_2 \bar{D}(a, b, t_1) \bar{D}^*(a, b, t_2) \underbrace{D(b, x_f, T - t_1)}_{\langle x_f, T | b, t_1 \rangle} \underbrace{D(b, x_f, T - t_2)}_{\langle b, t_2 | x_f, T \rangle} \end{aligned}$$

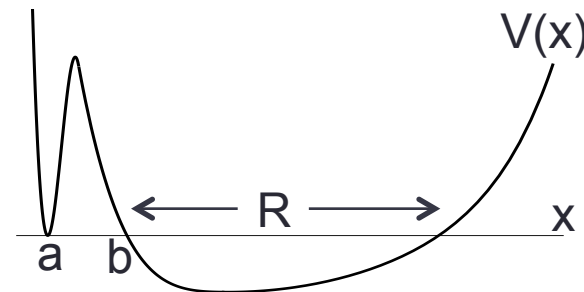
$T \ll T_{NL}$  (no return flux)

Propagation from  $b$  out of  $R$  is negligible:  $\int_R dx_f |x_f\rangle \langle x_f| = 1$

$$\begin{aligned} \Rightarrow P_R(T) &= \int dt_1 dt_2 \bar{D}(a, b, t_1) \bar{D}^*(a, b, t_2) \langle b, t_2 | b, t_1 \rangle \\ &= \int_0^T dt D(a, b, t) \bar{D}^*(a, b, t) + \text{c.c.} \end{aligned}$$

# Step 3: Simplify

$$P_R(T) = \int_0^T dt D(a, b, t) \bar{D}^*(a, b, t) + \text{c.c.}$$



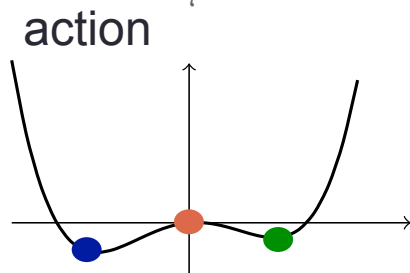
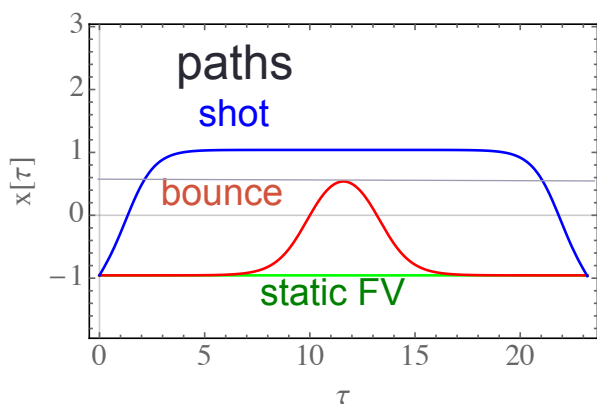
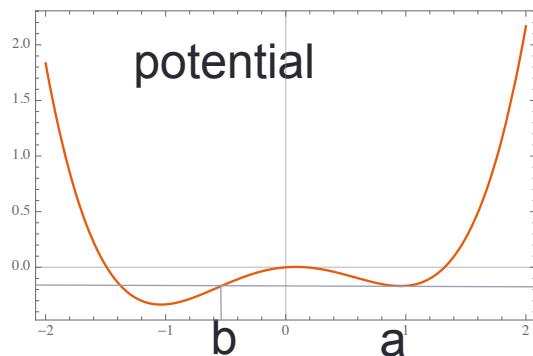
$$\Gamma_R \equiv \lim_{\substack{T/T_{\text{NL}} \rightarrow 0 \\ T/T_{\text{slosh}} \rightarrow \infty}} \frac{1}{P_{\text{FV}}} \frac{dP_R}{dT} = \lim_{T \rightarrow \infty} \frac{D(a, b, T) \bar{D}^*(a, b, T)}{\int_{\text{FV}} dx |D(a, x, T)|^2} + \text{c.c.}$$

Go to Euclidean time and take  $T \gg T_{\text{slosh}}$

$$\Gamma_R = 2\text{Im} \lim_{T \rightarrow \infty} \left( \frac{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]} \delta(\tau_b[x])}{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}} \right)_{\mathcal{T} \rightarrow iT}$$

- Non-perturbative definition of the decay rate
- Does not require analytic continuing potential
- Does not require saddle-point approximation

# Expansion



$$\Gamma_R = 2\text{Im} \lim_{T \rightarrow \infty} \left( \frac{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]} \delta(\tau_b[x])}{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}} \right)_{\mathcal{T} \rightarrow iT}$$

Bounce dominates numerator

FV dominates denominator

$$\approx \frac{\exp(-S_{\text{shot}}) + \exp(-S_{\text{bounce}})}{\exp(-S_{\text{shot}}) + \exp(-S_{\text{bounce}}) + \exp(-S_{\text{FV}})}$$

$$S_{\text{shot}} = E_{\text{TV}}\mathcal{T} + S_S^0 = iE_{\text{TV}}T + S_S^0$$

$$S_{\text{FV}} = E_{\text{FV}}\mathcal{T} = iE_{\text{FV}}T$$

$$S_{\text{bounce}} = E_{\text{FV}}\mathcal{T} + S_B^0 = iE_{\text{FV}}T + S_B^0$$

$$S_S^0 > S_B^0 > 0$$

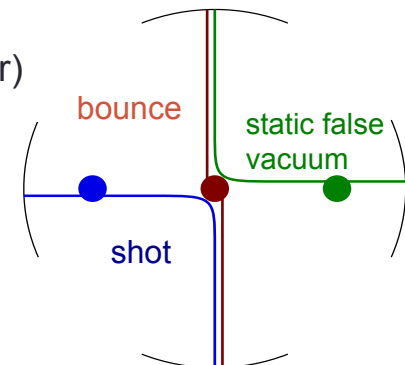
$$b = 2.5 \quad a = 1.2$$

shot must go faster than bounce,  
→ it has more kinetic energy

$$e^{-S_{\text{FV}}} \gg e^{-S_{\text{bounce}}} \gg e^{-S_{\text{shot}}}$$

# Factor of 1/2

$$\Gamma = \text{Im (FV contour)} = \frac{1}{2} \text{Im (bounce contour)}$$



forces all paths to hit b at time  $t=0$

$$\Gamma_R = 2\text{Im} \lim_{T \rightarrow \infty} \left( \frac{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]} \delta(\tau_b[x])}{\int_{x(-\mathcal{T})=a}^{x(\mathcal{T})=a} \mathcal{D}x e^{-S_E[x]}} \right)_{\mathcal{T} \rightarrow iT}$$

Expand around bounce:  $x(\tau) = \bar{x}(\tau) + \sum \xi_n y_n(\tau)$

Hits b at its maximum

linear in  $\xi_n$

$$\Gamma^{\text{NLO}} = \frac{e^{-S_E[\bar{x}]}}{e^{-S_E[x_{FV}]}} \lim_{\mathcal{T} \rightarrow \infty} \left| \frac{2\text{Im} \int d^n \zeta J[\tau_*(\zeta), \zeta] e^{-\frac{1}{2} \sum \lambda_i \zeta_i^2} \Theta[\xi_n y_n(0)]}{\int \mathcal{D} \delta x e^{-\frac{1}{2} S_E''[x_{FV}] \delta x^2}} \right|$$

Must hit b

- Half the fluctuations don't hit b, half do
- Gaussian integral is symmetric.
- Can remove  $\theta$ -function restriction and multiply by  $\frac{1}{2}$

$$\Gamma^{\text{NLO}} = \frac{e^{-S_E[\bar{x}]}}{e^{-S_E[x_{FV}]}} \sqrt{\frac{S_E[\bar{x}]}{2\pi}} \left| \frac{\det'(-\partial_t^2 + V''(\bar{x}(t)))}{\det(-\partial_t^2 + V''(a))} \right|^{-1/2}$$

Agrees with formula from potential deformation method

# Summary of tunneling rates

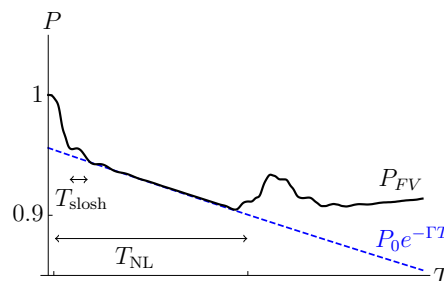
$T \ll T_{NL}$  (no return flux)

Precise definition of decay rate involves **two limits**  $\Gamma = - \lim_{\frac{T}{T_{slosh}} \rightarrow \infty} \lim_{\frac{T}{T_{NL}} \rightarrow 0} \frac{1}{P_{FV}} \frac{d}{dT} P_{FV}$

$T \gg T_{slosh}$  (remove transients)

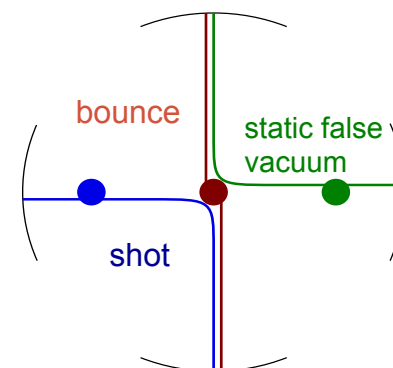
Three methods to compute  $\Gamma$

1. **Solve Schrodingers equation**
- Impractical for QFT

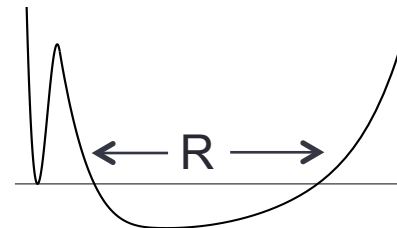


2. **Deform potential** to stabilize false vacuum
- Take  $T \rightarrow \infty$  limit
- Deform back and compute imaginary part

Is the result the decay rate?



3. **Direct approach** using Minkowski space causal propagators
- Does not rely on saddle-point approximation
- Does not rely on deforming potential
- QFT derivation is simple – no bold leap of faith
- Non-perturbative formula



$$\Gamma_R = 2\text{Im} \lim_{T \rightarrow \infty} \left( \frac{\int_{x(-T)=a}^{x(T)=a} \mathcal{D}x e^{-S_E[x]} \delta(\tau_b[x])}{\int_{x(-T)=a}^{x(T)=a} \mathcal{D}x e^{-S_E[x]}} \right)_{T \rightarrow iT}$$

# EFFECTIVE POTENTIALS AND DECAY RATES

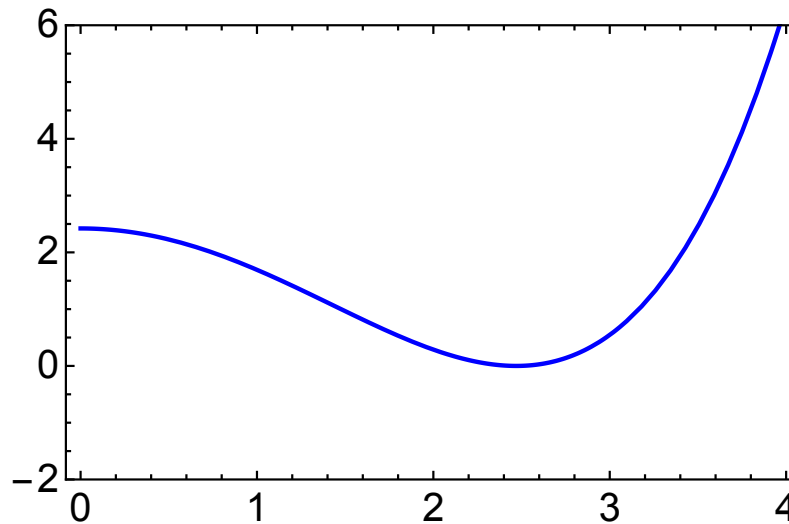
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# COMPUTING EFFECTIVE POTENTIALS

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# How do we compute $V_{\text{eff}}$ ?



Classical potential:  $V(h) = \Lambda + m^2 h^2 + \lambda h^4$

- Renormalizable
- Three parameters ( $\Lambda$ ,  $m$  and  $\lambda$ ), measured from data

How can the quantum-corrected potential be computed?

# How do we compute $V_{\text{eff}}$ ?

Method 1:

$$\int \mathcal{D}H e^{i\Gamma} \equiv \int \mathcal{D}H \underbrace{\mathcal{D}\psi \cdots \mathcal{D}A e^{iS}}_{\text{Integrate out everything but H}} \quad \text{Classical action}$$

Effective Action

$$\Gamma = \int d^4x \left\{ -Z[H] H \square H - V_{\text{eff}}(H) + \cdots \right\}$$

Problems:

- Generally non-local (has nasty things like  $\ln \frac{1 + \square/m_t^2}{H^2}$  in it)
  - Nearly impossible to compute
  - Can't include loops of H itself this way
- OK if  $H \approx \langle H \rangle$

If we integrate over everything,  
effective action is just a number

$$e^{i\Gamma} = \int \mathcal{D}H \cdots \mathcal{D}A e^{iS}$$

## Method 2: Legendre transform

Classical action

$$\left. \frac{\delta S}{\delta H} \right|_{H=v} = 0$$

Classical minimum

We want an effective action

$$\left. \frac{\delta \Gamma}{\delta H} \right|_{H=H_q} = 0$$

True quantum minimum

1. Compute  $W[J]$  
$$e^{W[J]} \equiv \int \mathcal{D}H \dots \mathcal{D}A e^{i \int d^4x \{ \mathcal{L} + JH \}}$$

2. Solve  $H = \frac{\partial W}{\partial J}$  for  $J[H]$

Current introduced by hand  
So that  $\Gamma$  depends on something

3. Compute  $\Gamma[H] = W[J[H]] - \int d^4x H J[H]$

Has the property that  $\frac{\delta \Gamma}{\delta H} = J[H]$  so that  $\frac{\delta \Gamma}{\delta H} = 0$  when  $J=0$  (i.e. in original theory)

- Agrees with method 1 in perturbation theory

What do you get?

Tree-level (classical)

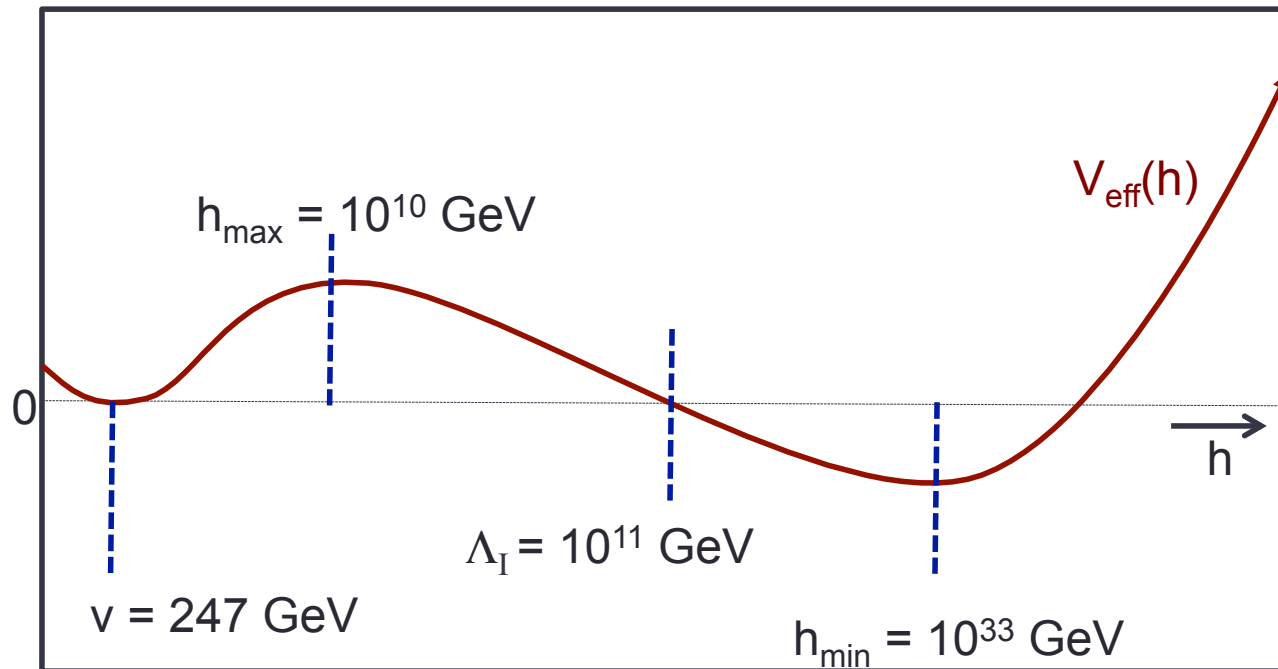
$$V_{\text{eff}} = \frac{1}{4}\lambda h^4 - m^2 h^2$$

$$+ h^4 \frac{1}{2048\pi^2} \left[ -5g_1^4 + 6(g_1^2 + g_2^2)^2 \ln \frac{h^2(g_1^2 + g_2^2)}{4\mu^2} - 10g_1^2 g_2^2 - 15g_2^4 + 12g_2^4 \ln \frac{g_2^2 h^2}{4\mu^2} + 144y_t^4 - 96y_t^4 \ln \frac{y_t^2 h^2}{2\mu^2} \right]$$

$$\frac{-1}{256\pi^2} \left[ \xi_B g_1^2 \left( \ln \frac{\lambda h^4 (\xi_B g_1^2 + \xi_W g_2^2)}{4\mu^4} - 3 \right) + \xi_W g_2^2 \left( \ln \frac{\lambda^3 h^{12} \xi_W^2 g_2^4 (\xi_B g_1^2 + \xi_W g_2^2)}{64\mu^{12}} - 9 \right) \right] \lambda h^4$$

one-loop

+ ...



What do you get?

Tree-level (classical)

$$V_{\text{eff}} = \frac{1}{4}\lambda h^4 - m^2 h^2$$

$$+ h^4 \frac{1}{2048\pi^2} \left[ -5g_1^4 + 6(g_1^2 + g_2^2)^2 \ln \frac{h^2(g_1^2 + g_2^2)}{4\mu^2} - 10g_1^2 g_2^2 - 15g_2^4 + 12g_2^4 \ln \frac{g_2^2 h^2}{4\mu^2} + 144y_t^4 - 96y_t^4 \ln \frac{y_t^2 h^2}{2\mu^2} \right]$$

$$+ \frac{-1}{256\pi^2} \left[ \xi_B g_1^2 \left( \ln \frac{\lambda h^4 (\xi_B g_1^2 + \xi_W g_2^2)}{4\mu^4} - 3 \right) + \xi_W g_2^2 \left( \ln \frac{\lambda^3 h^{12} \xi_W^2 g_2^4 (\xi_B g_1^2 + \xi_W g_2^2)}{64\mu^{12}} - 9 \right) \right] \lambda h^4$$

one-loop

+ ...

Two curious features

1. Not gauge-invariant

2. Large logarithms

# 1. Gauge-dependence

**Method 1** to compute  $\Gamma$  **is** gauge-invariant:

$$\int \mathcal{D}H e^{i\Gamma} \equiv \int \mathcal{D}H \underbrace{\mathcal{D}\psi \cdots \mathcal{D}A e^{iS}}$$

Completely integrate over gauge-orbits

Action/energy at minimum also gauge-invariant:  $e^{i\Gamma} = \int \mathcal{D}H \cdots \mathcal{D}A e^{iS}$

**Method 2** to compute  $\Gamma$  introduces a **charged source J**

$$e^{W[J]} \equiv \int \mathcal{D}H \cdots \mathcal{D}A e^{i \int d^4x \{ \mathcal{L} + JH \}}$$

$$\Gamma = W - HJ$$

$$\frac{\delta \Gamma}{\delta H} = J$$

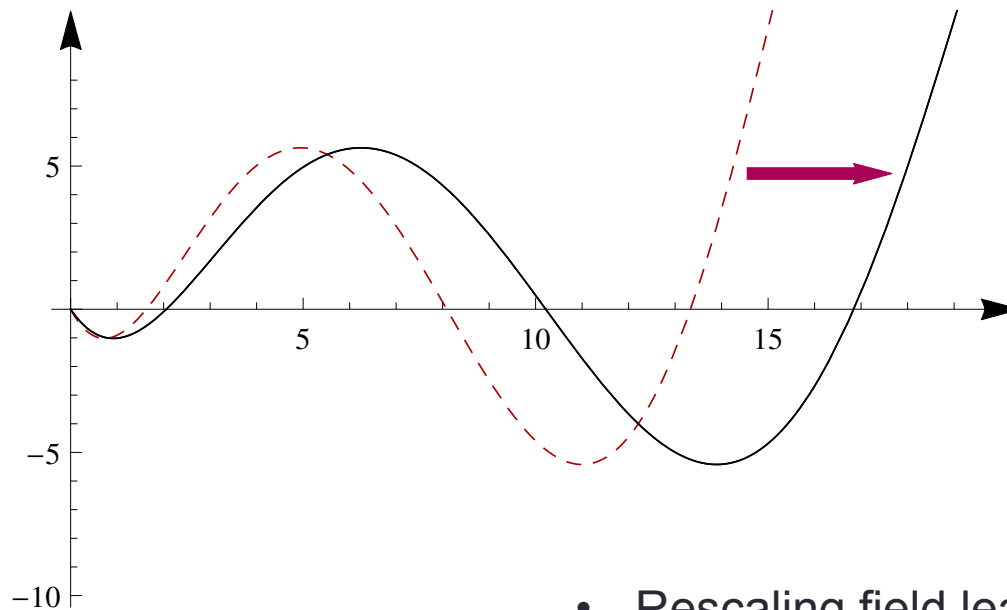
- Action **away from minimum** has **current** present
- Action **at minimum** has **no current**, should be gauge-invariant

Encoded in

Nielsen identity

$$\left[ \xi \frac{\partial}{\partial \xi} + C(h, \xi) \frac{\partial}{\partial h} \right] V_{\text{eff}}(h, \xi) = 0$$

# Potential at minimum indep. of rescaling



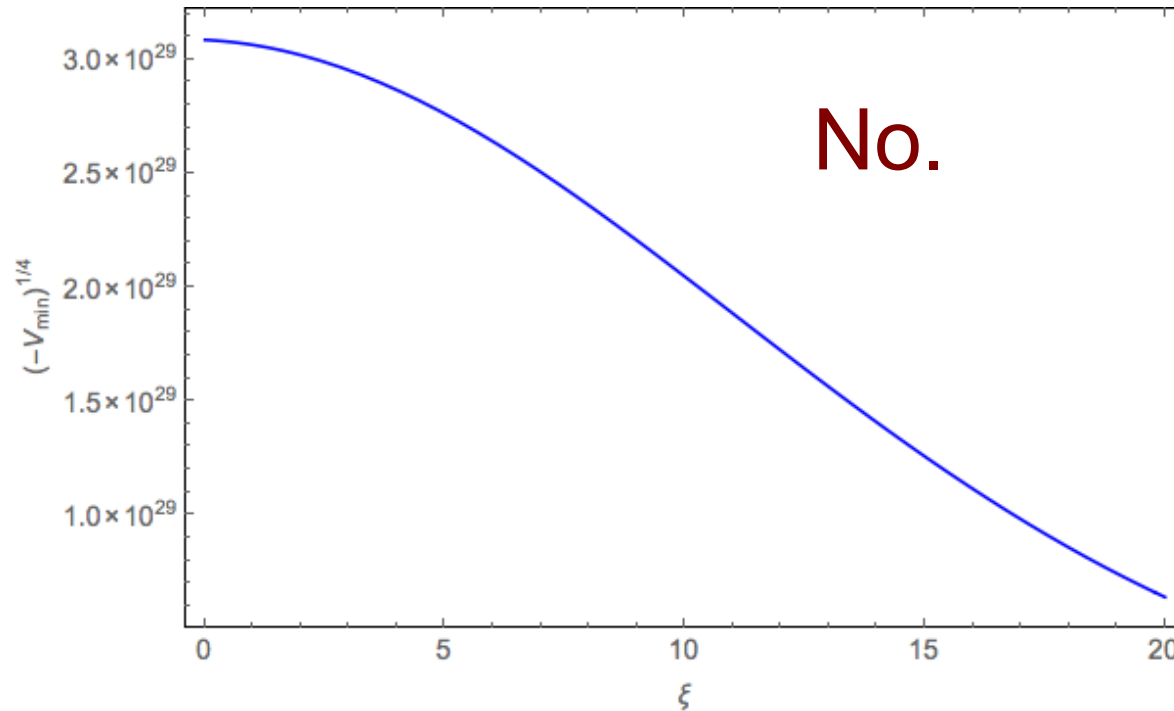
- Rescaling field leaves  $V_{\min}$  unchanged

Nielsen identity

$$\left[ \xi \frac{\partial}{\partial \xi} + C(h, \xi) \frac{\partial}{\partial h} \right] V_{\text{eff}}(h, \xi) = 0$$



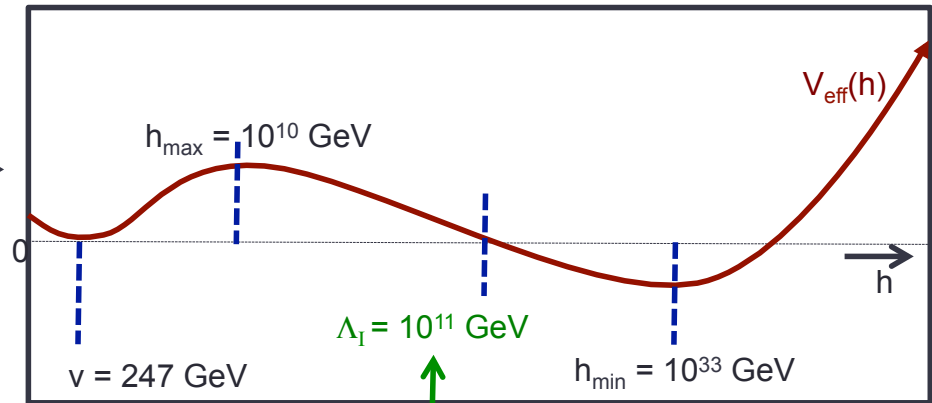
# But is it?



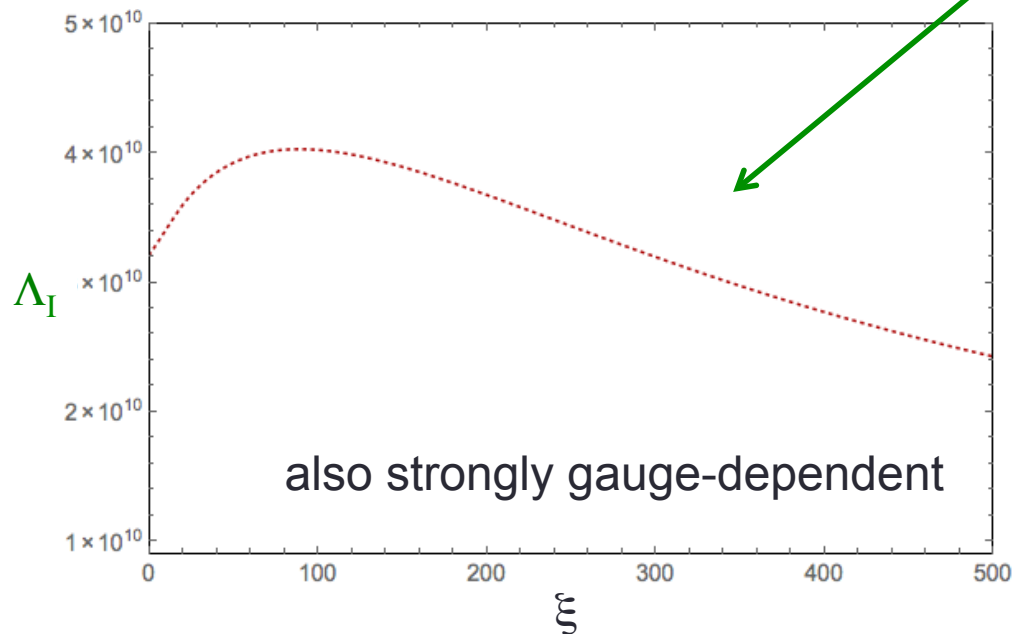
$(-V_{\min})^{1/4}$  appears linearly-dependent on gauge parameter  $\xi$

# What about field values?

Landau gauge ( $\xi=0$ )  $\rightarrow$



Instability scale  $\Lambda_I$  = value of  $h$  where  $V(h) = 0$



- $h_{\text{min}}$  also gauge dependent
- $h_{\text{max}}$  also gauge dependent
- ...

## 2. Large Logarithms

Can be resummed with RGE:

Explicit  $\mu$  dependence

$$\left( \mu \frac{\partial}{\partial \mu} + \beta_i \frac{\partial}{\partial g_i} - \gamma h \frac{\partial}{\partial h} \right) V_{\text{eff}} = 0$$

compensated for by rescaling couplings and fields

- Same RGE as 1PI Green's functions or off-shell matrix elements
- Observables/S-matrix elements satisfy simpler RGE:

$$\left( \mu \frac{\partial}{\partial \mu} + \beta_i \frac{\partial}{\partial g_i} \right) \sigma = 0$$

- Field-rescaling term canceled by LSZ wavefunction Z-factors



Effective potential depends on the normalization of fields??!!

# Resum logarithms

1. Compute  $V_{\text{eff}}$  to fixed order (say 2-loops) at scale (say)  $\mu_0 \sim 100 \text{ GeV}$

2. Solve RGE  $\left( \mu \frac{\partial}{\partial \mu} + \beta_i \frac{\partial}{\partial g_i} - \gamma h \frac{\partial}{\partial h} \right) V_{\text{eff}} = 0$

$$V_{\text{eff}}(h, g_i, \mu) \rightarrow V_{\text{eff}}(e^{\Gamma(\mu_0, \mu)} h, g_i(\mu), \mu)$$



$$\Gamma(\mu_0, \mu) \equiv \int_{\mu_0}^{\mu} \gamma(\mu') d \ln \mu'$$

3. Set  $\mu \sim h$

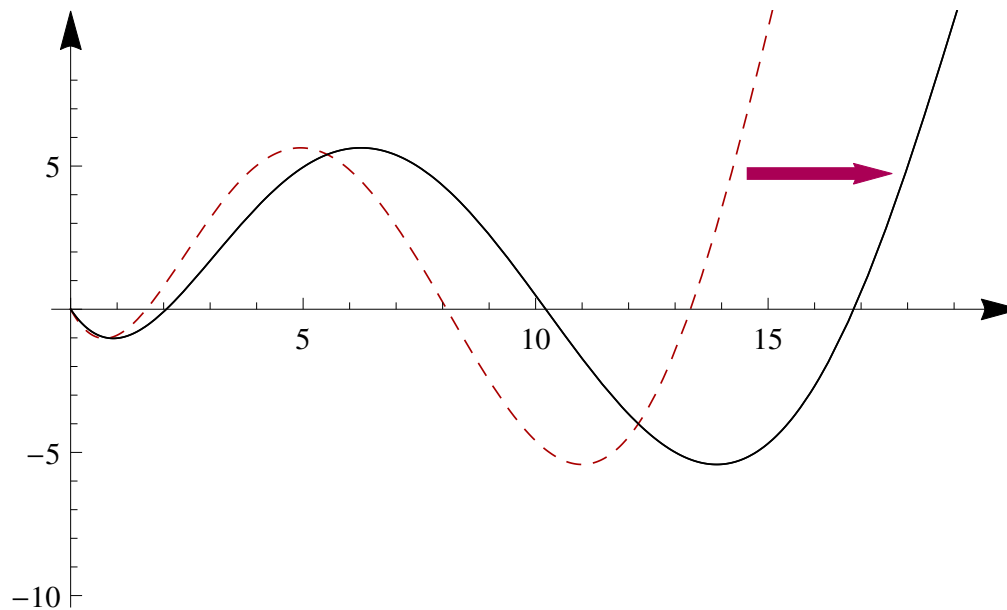
$$V_{\text{eff}}(h, \mu_0) = V_{\text{eff}}(e^{\Gamma(\mu_0, h)} h, g_i(h), h)$$



Potential depends on scale  $\mu_0$  where it is calculated??!!

$$\longrightarrow \left( \frac{\partial}{\partial \mu_0} - \gamma h \frac{\partial}{\partial h} \right) V(h, \mu_0) = 0$$

# Potential at minimum



Nielsen identity (gauge invariance)

$$\left[ \xi \frac{\partial}{\partial \xi} + C(h, \xi) \frac{\partial}{\partial h} \right] V_{\text{eff}}(h, \xi) = 0$$

Calculation-scale invariance

$$\left( \frac{\partial}{\partial \mu_0} - \gamma h \frac{\partial}{\partial h} \right) V(h, \mu_0) = 0$$

$V_{\text{min}}$  should be gauge invariant and independent of how it is calculated

# Even gauge-invariant $\Gamma$ is unphysical

Even if we source a gauge-invariant field  $e^{W[J]} \equiv \int \mathcal{D}H \dots \mathcal{D}A e^{i \int d^4x \{\mathcal{L} + JH\}}$

$$\left. \begin{aligned} e^{W[J]} &\equiv \int \mathcal{D}H \dots \mathcal{D}A e^{i \int d^4x \{\mathcal{L} + JH^\dagger H\}} \\ e^{W[J]} &\equiv \int \mathcal{D}H \dots \mathcal{D}A e^{i \int d^4x \{\mathcal{L} + J|H|\}} \end{aligned} \right\} \Gamma(h) \text{ is now gauge-invariant}$$

Effective potential still depends on how it is calculated  $\left( \frac{\partial}{\partial \mu_0} - \gamma h \frac{\partial}{\partial h} \right) V(h, \mu_0) = 0$

- This is OK.
- Off-shell quantities can be unphysical

- **Observables should be physical**

- S-matrix elements
- Vacuum energy ( $V_{\min}$ )
- Tunnelling rates
- Critical temperature

But are they?

## What about field values?

Instability scale?

Inflation scale?

Planck/new physics sensitivity?

Are these questions about observables?

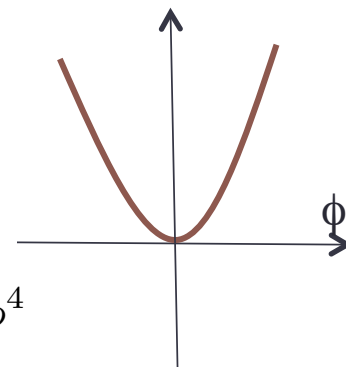
# SCALAR QED

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# Scalar QED

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^2 + \frac{1}{2}|D_\mu\phi|^2 - V(\phi)$$

$$V_0(\phi) = \frac{\lambda}{24}\phi^4$$



- mass term gives small corrections, so we drop it

1-loop potential in  $R_\xi$  gauges:

$$V_1(\phi) = \phi^4 \frac{\hbar}{16\pi^2} \left[ \frac{3}{4}e^4 \left( \ln \frac{e^2\phi^2}{\mu^2} - \frac{5}{6} \right) + \frac{\lambda^2}{16} \left( \ln \frac{\lambda\phi^2}{2\mu^2} - \frac{3}{2} \right) \right. \\ \left. + \left( \frac{\lambda^2}{144} - \frac{1}{12}e^2\lambda\xi \right) \left( \ln \frac{\phi^2}{\mu^2} - \frac{3}{2} \right) + \frac{1}{4}K_+^4 \ln K_+^2 + \frac{1}{4}K_-^4 \ln K_-^2 \right]$$

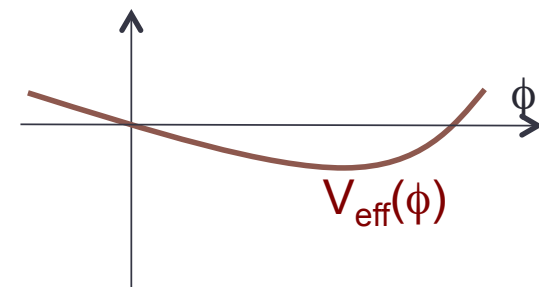
$$K_\pm^2 = \frac{1}{12} \left( \lambda \pm \sqrt{\lambda^2 - 24\lambda e^2\xi} \right)$$

- Not gauge-invariant

- For most values of  $e$  and  $\lambda$ , there is no minimum

$$\text{When } \lambda \approx \frac{e^4}{16\pi^2} \Rightarrow V_0 \approx V_1 \longrightarrow$$

- And....  $V_{\min}$  depends on  $\xi$



Spontaneous  
symmetry breaking



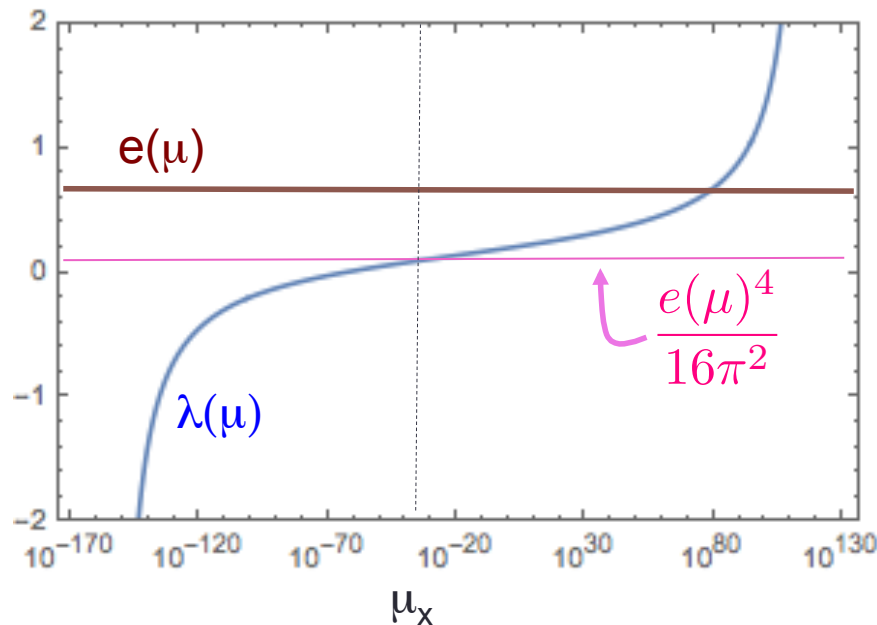
# When is $\lambda \approx \frac{e^4}{16\pi^2}$ ?

Solve  
RGEs:

$$\left. \begin{aligned} \beta_e &= \frac{\hbar}{16\pi^2} \left( \frac{e^3}{3} \right) + \dots \\ \beta_\lambda &= \frac{\hbar}{16\pi^2} \left( 36e^4 - 12e^2\lambda + \frac{10\lambda^2}{3} \right) \end{aligned} \right\}$$

$$e^2(\mu) = \frac{e^2(\mu_0)}{1 - \frac{e^2(\mu_0)}{24\pi^2} \ln \frac{\mu}{\mu_0}}$$

$$\lambda(\mu) = \frac{e^2(\mu)}{10} \left[ 19 + \sqrt{719} \tan \left( \frac{\sqrt{719}}{2} \ln \frac{e(\mu)^2}{C} \right) \right]$$



- $e$  runs relatively slowly
- For any  $e$ ,  $\lambda$  runs through all values
- There is always a scale  $\mu_X$  where

$$\lambda(\mu_X) \approx \frac{e(\mu_X)^4}{16\pi^2}$$

- Near this scale,  $V_{\text{eff}}$  is perturbative

# Proper loop expansion

$$V_0(\phi) = \frac{\lambda}{24} \phi^4$$

$$V_1(\phi) = \phi^4 \frac{\hbar}{16\pi^2} \left[ \frac{3}{4} e^4 \left( \ln \frac{e^2 \phi^2}{\mu^2} - \frac{5}{6} \right) + \frac{\lambda^2}{16} \left( \ln \frac{\lambda \phi^2}{2\mu^2} - \frac{3}{2} \right) \right. \\ \left. + \left( \frac{\lambda^2}{144} - \frac{1}{12} e^2 \lambda \xi \right) \left( \ln \frac{\phi^2}{\mu^2} - \frac{3}{2} \right) + \frac{1}{4} K_+^4 \ln K_+^2 + \frac{1}{4} K_-^4 \ln K_-^2 \right]$$

$$K_{\pm}^2 = \frac{1}{12} \left( \lambda \pm \sqrt{\lambda^2 - 24\lambda e^2 \xi} \right)$$

Comparable when

$$\lambda \approx \hbar \frac{e^4}{16\pi^2}$$

- Then  $V_0$  and  $V_1$  of order  $\hbar$

These terms all have extra  $\hbar$  suppression

Expanding in  $\hbar$  with  $\lambda \sim \hbar$

order  $\hbar$  : 
$$V^{\text{LO}} = \frac{\lambda}{24} \phi^4 + \frac{\hbar e^4}{16\pi^2} \phi^4 \left( -\frac{5}{8} + \frac{3}{2} \ln \frac{e\phi}{\mu} \right) \longrightarrow V_{\min}^{\text{LO}} = -\frac{3}{128\pi^2} e^4 \langle \phi \rangle^4$$

order  $\hbar^2$ : 
$$V^{\text{NLO}} = \frac{\hbar e^2 \lambda}{16\pi^2} \phi^4 \left( \frac{\xi}{8} - \frac{\xi}{24} \ln \frac{e^2 \lambda \xi \phi^4}{6\mu^4} \right) \longrightarrow V_{\min}^{\text{NLO}} = \dots$$

Problem: higher-loop contributions also of order  $\hbar^2$

# 2-Loop potential in scalar QED

- Known in Landau gauge
- Some terms computed by Kang (1974), not in  $\overline{\text{MS}}$
- Some terms at order  $e^6 \hbar^2$  unknown

We computed all the relevant 2-loop graphs:

$$\text{Diagram 1} = \frac{\hbar^2 \phi^4 e^6}{(16\pi^2)^2} \xi \left[ -12 \ln^2 \frac{e\phi}{\mu} + \left( 8 - 3 \ln \frac{\lambda \xi}{6e^2} \right) \ln \frac{e\phi}{\mu} - \frac{5}{2} - \frac{\pi^2}{16} - \frac{3}{16} \ln^2 \frac{\lambda \xi}{6e^2} + \ln \frac{\lambda \xi}{6e^2} \right]$$

$$\text{Diagram 2} = \frac{\hbar^2 \phi^4 e^6}{(16\pi^2)^2} \left[ (2 + 6\xi) \ln^2 \frac{e\phi}{\mu} - (3 + 7\xi) \ln \frac{e\phi}{\mu} + \frac{7}{4} + \frac{\pi^2}{8} + \frac{15}{4} \xi + \frac{3\pi^2}{8} \xi \right]$$

$$\text{Diagram 3} = \frac{\hbar^2 \phi^4 e^6}{(16\pi^2)^2} \left[ (18 + 6\xi) \ln^2 \frac{e\phi}{\mu} - (21 + 7\xi) \ln \frac{e\phi}{\mu} + \frac{47}{4} + \frac{7\pi^2}{24} + \frac{15}{4} \xi + \frac{3\pi^2}{8} \xi \right]$$

$$\text{Diagram 4} = \frac{\hbar^2 \phi^4 e^6}{(16\pi^2)^2} \xi \left[ -12 \ln^2 \frac{e\phi}{\mu} + 14 \ln \frac{e\phi}{\mu} - \frac{15}{2} - \frac{3\pi^2}{4} \right]$$

Then the relevant part of the 2-loop potential is

$$V_2 = \left( \frac{\hbar}{16\pi^2} \right)^2 e^6 \phi^4 \left[ (10 - 6\xi) \ln^2 \frac{e\phi}{\mu} + \left( -\frac{62}{3} + 4\xi - \frac{3}{2} \xi \ln \frac{\lambda \xi}{6e^2} \right) \ln \frac{e\phi}{\mu} + \xi \left( -\frac{1}{2} + \frac{1}{4} \ln \frac{\lambda \xi}{6e^2} \right) + \frac{71}{6} \right] + \dots \quad \text{terms of order } \hbar^3$$

# Potential at minimum

$$V^{\text{LO}} = \frac{\lambda}{24}\phi^4 + \frac{\hbar e^4}{16\pi^2}\phi^4 \left( -\frac{5}{8} + \frac{3}{2} \ln \frac{e\phi}{\mu} \right)$$

$$V^{\text{NLO}} = \frac{\hbar e^2 \lambda}{16\pi^2}\phi^4 \left( \frac{\xi}{8} - \frac{\xi}{24} \ln \frac{e^2 \lambda \xi \phi^4}{6\mu^4} \right)$$

$$+ \frac{\hbar^2 e^6}{(16\pi^2)^2}\phi^4 \left[ (10 - 6\xi) \ln^2 \frac{e\phi}{\mu} + \left( -\frac{62}{3} + 4\xi - \frac{3}{2}\xi \ln \frac{\lambda \xi}{6e^2} \right) \ln \frac{e\phi}{\mu} + \xi \left( -\frac{1}{2} + \frac{1}{4} \ln \frac{\lambda \xi}{6e^2} \right) + \frac{71}{6} \right]$$

- Solve  $V'(\phi=v)=0$  for  $\lambda(v)$ :

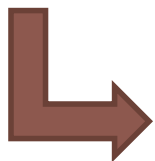
$$\lambda = \frac{\hbar e^4}{16\pi^2} \left( 6 - 36 \ln \frac{ev}{\mu} \right) + \frac{\hbar e^6}{(16\pi^2)^2} \left\{ -160 - 24\xi + (376 + 90\xi) \ln \frac{ev}{\mu} - 240 \ln^2 \frac{ev}{\mu} + 9\xi \ln \left[ \frac{\xi \hbar \mu^2}{16\pi^2 v^2} \left( 1 - 6 \ln \frac{ev}{\mu} \right) \right] \right\}$$

- Plug in to  $V(v)$ :

$$V_{\min} = v^4 \frac{e^4 \hbar}{16\pi^2} \left( -\frac{3}{8} \right) + v^4 \frac{e^6 \hbar^2}{(16\pi^2)^2} \frac{1}{12} \left\{ 62 - 9\xi + (-60 + 18\xi) \ln \frac{ev}{\mu} + \frac{9}{2}\xi \ln \left[ \frac{e^2 \xi \hbar}{16\pi^2} \left( 1 - 6 \ln \frac{ev}{\mu} \right) \right] \right\}$$

Still gauge-dependent!

Problem :  $v = \langle \phi \rangle$  is gauge-dependent



Express  $V_{\min}$  in terms of only other dimensionful scale:  $\mu$

# In terms of $\mu_X$

Define  $\mu_X$  by  $\lambda(\mu_X) \equiv \frac{\hbar}{16\pi^2} e^4(\mu_X) \left[ 6 - 36 \ln[e(\mu_X)] \right]$

- Tree-level vev is  $v = \mu_X$
- Exact (non-perturbative) definition of  $\mu_X$

Then, vev is:

$$v = \mu_X + \mu_X \frac{\hbar e^2}{16\pi^2} \left\{ -\frac{40}{9} + \frac{94}{9} \ln e - \frac{20}{3} \ln^2 e - \frac{\xi}{2} + \frac{3}{2} \xi \ln e + \frac{\xi}{4} \ln \left[ \frac{\xi \hbar}{16\pi^2} (1 - 6 \ln e) \right] - \frac{1}{6} \xi + \xi \ln e \right\}.$$

- gauge-dependent vev is OK – not physical

Potential at minimum is:

$$V_{\min} = \frac{e^4 \hbar}{16\pi^2} \mu_X^4 \left( -\frac{3}{8} \right) + \frac{e^6 \hbar}{(16\pi^2)^2} \mu_X^4 \left( \frac{71}{6} - \frac{62}{3} + 10 \ln^2 e \right) + \frac{e^6 \hbar}{(16\pi^2)^2} \mu_X^4 \left( \frac{\xi}{4} - \frac{3}{2} \xi \ln e \right)$$

- gauge-dependent vacuum energy is **not OK**

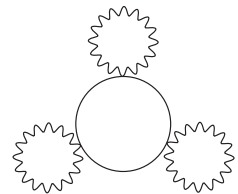
Still gauge-dependent!

What's missing?

More diagrams!

# Daisy resummation

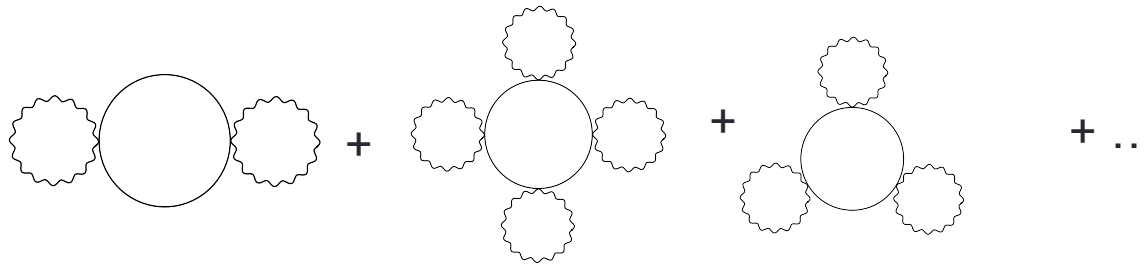
Higher order graphs can scale like inverse powers of  $\lambda$ :



$$\propto (e^2)^3 (e^2 \phi^2)^3 \int \frac{d^4 k}{2\pi^4} \left( \frac{i}{k^2 - \frac{\lambda}{2} \phi^2} \right)^3 \propto \phi^4 \frac{e^{12}}{\lambda}$$

Effective masses depend on  $\lambda$

Only one series of graphs contribute at order  $\sim \hbar^2$



“daisy diagrams”

We can sum the series:

$$V^{e^6 \text{ daisies}} = \phi^4 \frac{\hbar}{16\pi^2} \left( -\frac{e^2 \lambda \xi}{24} \right) \left[ \frac{\hat{\lambda}(\phi)}{\lambda} + \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \ln \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \right]$$

$$\hat{\lambda}(\phi) \equiv \frac{\hbar e^4}{16\pi^2} \left( 6 - 36 \ln \frac{e\phi}{\mu} \right)$$

# Full potential at NLO:

$$\begin{aligned}
 V^{\text{NLO}} = & \frac{\hbar e^2 \lambda}{16\pi^2} \phi^4 \left( \frac{\xi}{8} - \frac{\xi}{24} \ln \frac{e^2 \lambda \xi \phi^4}{6\mu^4} \right) \\
 & + \frac{\hbar^2 e^6}{(16\pi^2)^2} \phi^4 \left[ (10 - 6\xi) \ln^2 \frac{e\phi}{\mu} + \left( -\frac{62}{3} + 4\xi - \frac{3}{2} \xi \ln \frac{\lambda \xi}{6e^2} \right) \ln \frac{e\phi}{\mu} + \xi \left( -\frac{1}{2} + \frac{1}{4} \ln \frac{\lambda \xi}{6e^2} \right) + \frac{71}{6} \right] \\
 & + \phi^4 \frac{\hbar e^2 \lambda}{16\pi^2} \left( -\frac{\xi}{24} \right) \left[ \frac{\hat{\lambda}(\phi)}{\lambda} + \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \ln \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \right]
 \end{aligned}$$

Now... vacuum energy is gauge-invariant!

$$V_{\min} = -\frac{3\hbar e^4}{128\pi^2} \mu_X^4 + \frac{e^6 \hbar^2}{(16\pi^2)^2} \mu_X^4 \left( \frac{71}{6} - \frac{62}{3} \ln e + 10 \ln^2 e \right)$$

Field values are still gauge-dependent:

$$v = \mu_X + \mu_X \frac{\hbar e^2}{16\pi^2} \left\{ -\frac{40}{9} + \frac{94}{9} \ln e - \frac{20}{3} \ln^2 e - \frac{\xi}{2} + \frac{3}{2} \xi \ln e + \frac{\xi}{4} \ln \left[ \frac{\xi \hbar}{16\pi^2} (1 - 6 \ln e) \right] - \frac{1}{6} \xi + \xi \ln e \right\}.$$

$$\Lambda_I = \mu_I + \mu_I \frac{\hbar e^2}{16\pi^2} \left\{ -\frac{77}{9} + \frac{124}{9} \ln e - \frac{20}{3} \ln^2 e - \frac{\xi}{2} + \frac{3}{2} \xi \ln e + \frac{\xi}{4} \ln \left[ \frac{\xi \hbar}{16\pi^2} (1 - 6 \ln e) \right] - \frac{5}{12} \xi + \xi \ln e \right\}.$$

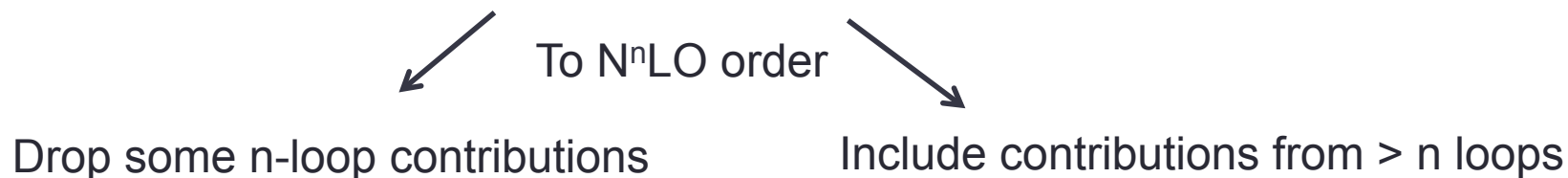
# STANDARD MODEL

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# Lessons from scalar QED

## 1. Gauge invariance requires consistent expansion in $\hbar$



## 2. Don't resum logs by solving RGE for $V_{\text{eff}}$

$$\left( \mu \frac{\partial}{\partial \mu} + \beta_i \frac{\partial}{\partial g_i} - \gamma h \frac{\partial}{\partial h} \right) V_{\text{eff}} = 0$$

- Mixes up orders in  $\hbar$  in an uncontrolled way

## 3. Do resum logs by using couplings at some scale $\mu_X$

- Natural condition for  $\mu_X$  is that  $V_{\text{LO}}'(\phi=\mu_X) = 0$

## 4. Don't express $V_{\text{min}}$ in terms of $v = \langle \phi \rangle$

- Express  $V_{\text{min}}$  in terms of  $\mu_X$  instead

# Standard Model

$$V^{(\text{LO})}(h) = \frac{1}{4}\lambda h^4 + h^4 \frac{1}{2048\pi^2} \left[ -5g_1^4 + 6(g_1^2 + g_2^2)^2 \ln \frac{h^2(g_1^2 + g_2^2)}{4\mu^2} \right. \\ \left. - 10g_1^2 g_2^2 - 15g_2^4 + 12g_2^4 \ln \frac{g_2^2 h^2}{4\mu^2} + 144y_t^4 - 96y_t^4 \ln \frac{y_t^2 h^2}{2\mu^2} \right]$$

Tree-level

Part of 1-loop  $\lambda \sim \mathcal{O}(\hbar)$

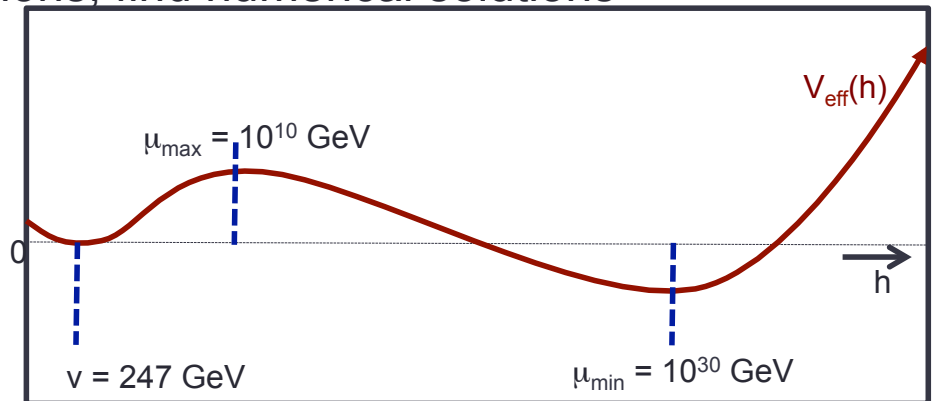
- Scale  $h=\mu_X$  where  $\frac{d}{dh}V^{(\text{LO})}(h) = 0$  is

$$\lambda = \frac{1}{256\pi^2} \left[ g_1^4 + 2g_1^2 g_2^2 + 3g_2^4 - 48y_t^4 - 3(g_1^2 + g_2^2)^2 \ln \frac{g_1^2 + g_2^2}{4} - 6g_2^4 \ln \frac{g_2^2}{4} + 48y_t^4 \ln \frac{y_t^2}{2} \right]$$

- Run couplings with 3-loop  $\beta$ -functions, find numerical solutions

$$\mu_X^{\text{max}} = 2.46 \times 10^{10} \text{ GeV}$$

$$\mu_X^{\text{min}} = 3.43 \times 10^{30} \text{ GeV}$$



# Standard Model at NLO

- We know the 1-loop contribution to  $V_{\text{NLO}}$

$$V^{(1,\text{NLO})}(h) = \frac{-1}{256\pi^2} \left[ \xi_B g_1^2 \left( \ln \frac{\lambda h^4 (\xi_B g_1^2 + \xi_W g_2^2)}{4\mu^4} - 3 \right) + \xi_W g_2^2 \left( \ln \frac{\lambda^3 h^{12} \xi_W^2 g_2^4 (\xi_B g_1^2 + \xi_W g_2^2)}{64\mu^{12}} - 9 \right) \right] \lambda h^4$$

- We know the 2-loop contribution to  $V_{\text{NLO}}$  in Landau gauge

$$\begin{aligned} \lambda_{\text{eff}}^{(2)} = & \frac{1}{(4\pi)^4} \left[ 8g_3^2 y_t^4 (3r_t^2 - 8r_t + 9) + \frac{1}{2} y_t^6 (-6r_t r_W - 3r_t^2 + 48r_t - 6r_{tW} - 69 - \pi^2) + \right. \\ & + \frac{3y_t^2 g_2^4}{16} (8r_W + 4r_Z - 3r_t^2 - 6r_t r_Z - 12r_t + 12r_{tW} + 15 + 2\pi^2) + \\ & + \frac{y_t^2 g_Y^4}{48} (27r_t^2 - 54r_t r_Z - 68r_t - 28r_Z + 189) + \frac{y_t^2 g_2^2 g_Y^2}{8} (9r_t^2 - 18r_t r_Z + 4r_t + 44r_Z - 57) + \\ & + \frac{g_2^6}{192} (36r_t r_Z + 54r_t^2 - 414r_W r_Z + 69r_W^2 + 1264r_W + 156r_Z^2 + 632r_Z - 144r_{tW} - 2067 + 90\pi^2) + \\ & + \frac{g_2^4 g_Y^2}{192} (12r_t r_Z - 6r_t^2 - 6r_W (53r_Z + 50) + 213r_W^2 + 4r_Z (57r_Z - 91) + 817 + 46\pi^2) + \\ & + \frac{g_2^2 g_Y^4}{576} (132r_t r_Z - 66r_t^2 + 306r_W r_Z - 153r_W^2 - 36r_W + 924r_Z^2 - 4080r_Z + 4359 + \\ & + \frac{g_Y^6}{576} (6r_Z (34r_t + 3r_W - 470) - 102r_t^2 - 9r_W^2 + 708r_Z^2 + 2883 + 206\pi^2) + \\ & + \frac{y_t^4}{6} (4g_Y^2 (3r_t^2 - 8r_t + 9) - 9g_2^2 (r_t - r_W + 1)) + \frac{3}{4} (g_2^6 - 3g_2^4 y_t^2 + 4y_t^6) \text{Li}_2 \frac{g_2^2}{2y_t^2} + \\ & + \frac{y_t^2}{48} \xi \left( \frac{g_2^2 + g_Y^2}{2y_t^2} \right) \left( 9g_2^4 - 6g_2^2 g_Y^2 + 17g_Y^4 + 2y_t^2 (7g_Y^2 - 73g_2^2 + \frac{64g_2^4}{g_Y^2 + g_2^2}) \right) + \\ & \left. + \frac{g_2^2}{64} \xi \left( \frac{g_2^2 + g_Y^2}{g_2^2} \right) \left( 18g_2^2 g_Y^2 + g_Y^4 - 51g_2^4 - \frac{48g_2^6}{g_Y^2 + g_2^2} \right) \right]. \end{aligned}$$

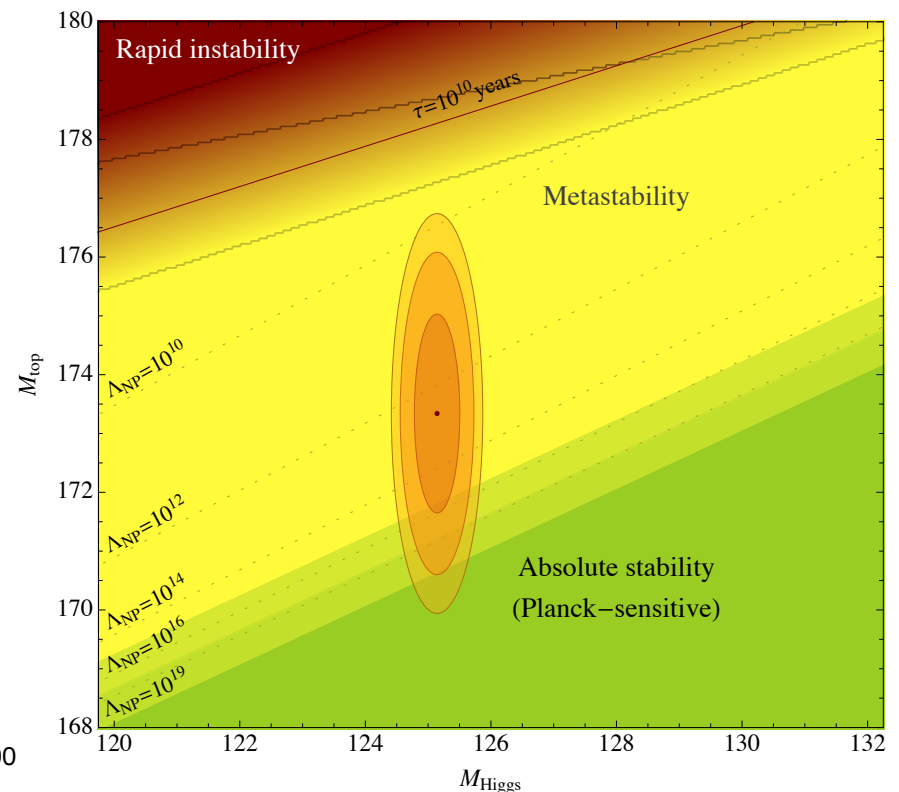
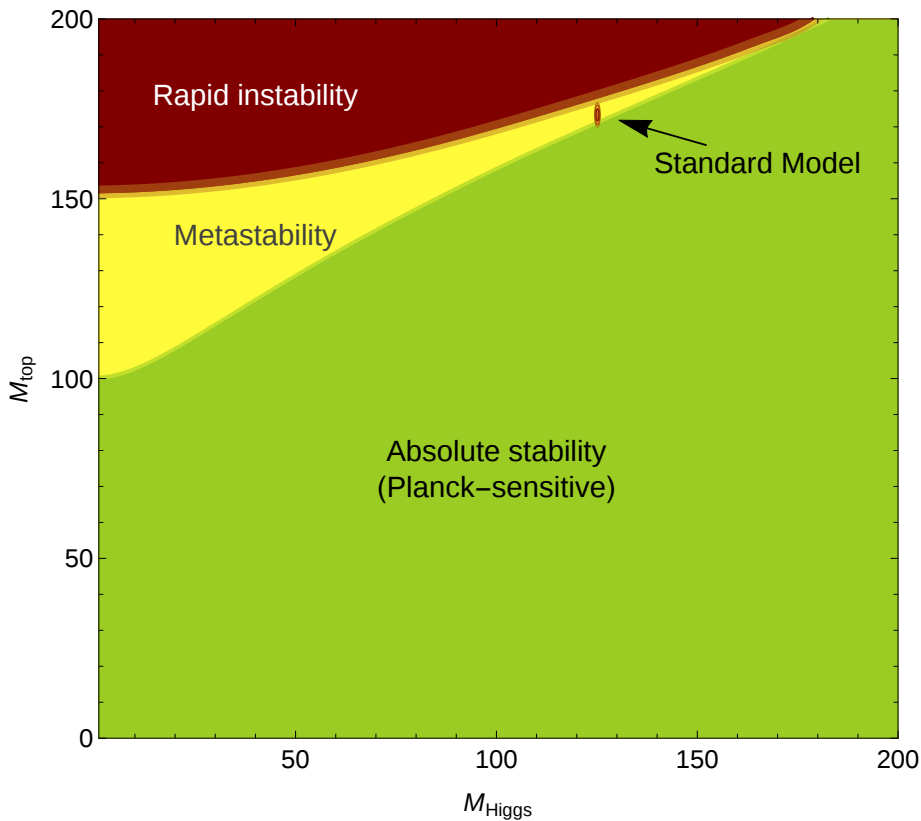
- We don't know the Daisy contribution. But we do know it vanishes in Landau gauge at NLO

$$V^{e^6 \text{daisies}} = \phi^4 \frac{\hbar}{16\pi^2} \left( -\frac{e^2 \lambda \xi}{24} \right) \left[ \frac{\hat{\lambda}(\phi)}{\lambda} + \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \ln \left( 1 - \frac{\hat{\lambda}(\phi)}{\lambda} \right) \right]$$

- Assuming everything works like in scalar QED, we have everything we need for NLO

# Results

Absolute stability: for what values of the Higgs and top masses is  $V_{\min} = 0$ ?

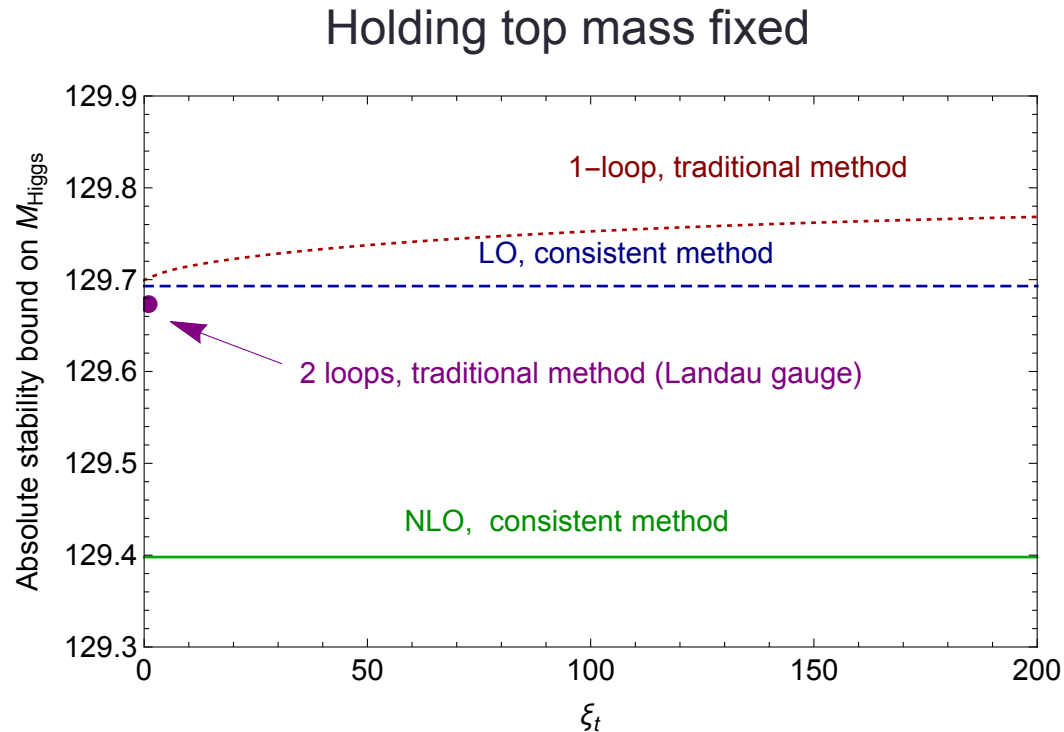


$$m_h^{\text{pole}} = (125.14 \pm 0.23) \text{ GeV}$$

$$m_t^{\text{pole}} = (173.34 \pm 1.12) \text{ GeV}$$

# Results

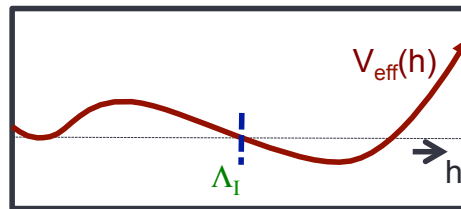
Absolute stability: for what values of the Higgs mass is  $V_{\min} = 0$  at fixed top mass?



- Absolute stability bound lowered by 300 MeV
- Larger shift that including the 2-loop  $V_{\text{eff}}$

# Sensitivity to new physics

Old way:  
when is  $\Lambda_I = \Lambda_{NP}$ ?

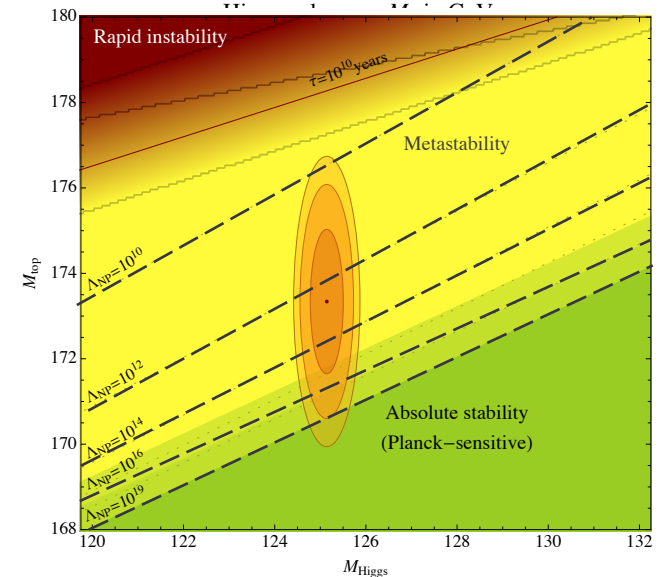
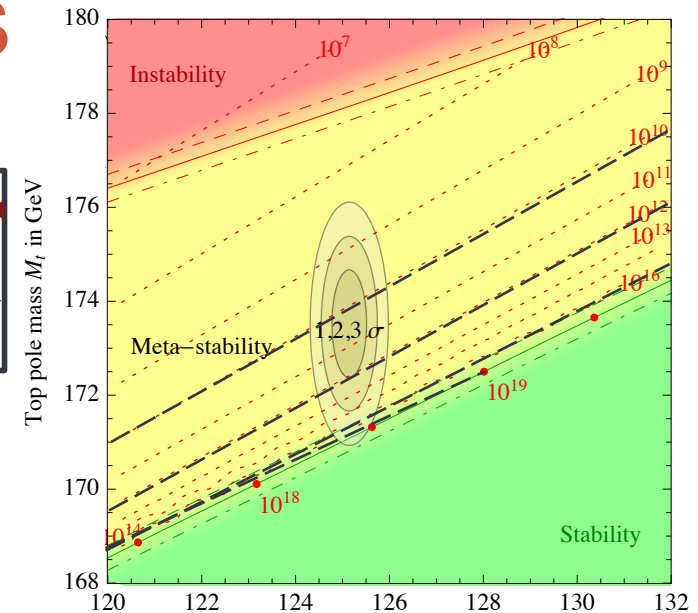


- gauge dependent, since  $\Lambda_I$  is gauge-dependent

New gauge-invariant way

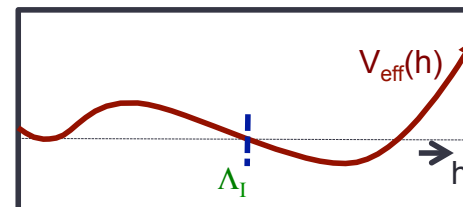
- Add  $\mathcal{O}_6 = \frac{1}{\Lambda_{NP}^2} |H|^6$  to the SM Lagrangian
- See how big  $\Lambda_{NP}$  must be so that  $V_{\text{min}} = 0$

From Buttazzo et al (arXiv:1307.3536)



# Planck-sensitivity

Does the tunneling rate depend on quantum gravity?

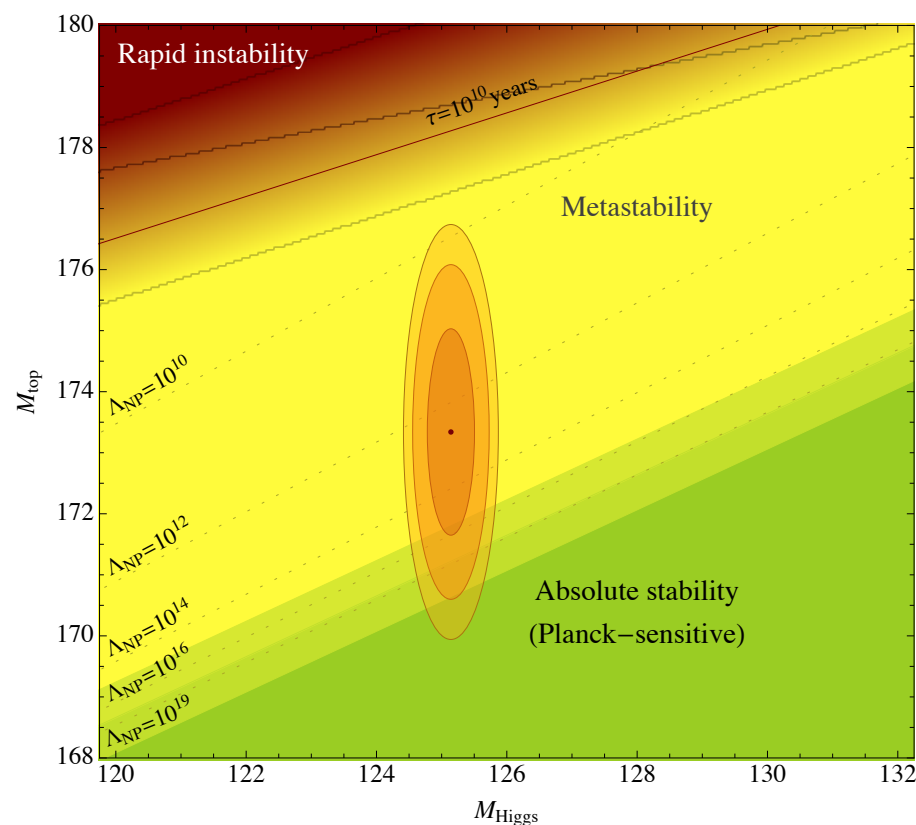


- Guidice, Strumia et al (arXiv:1307.3536):
  - Instability scale below  $M_{\text{Pl}}$ , so **no**.

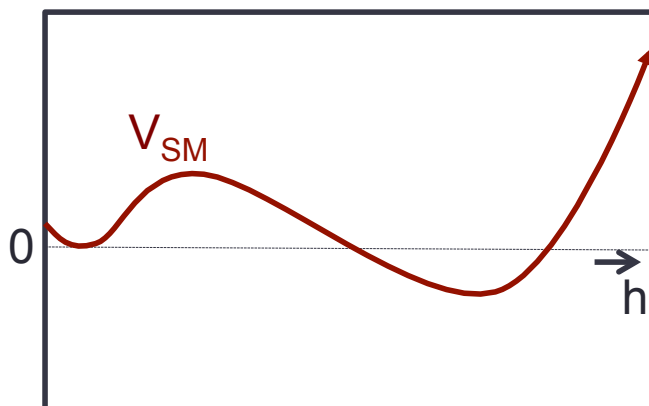
$$\beta_\lambda = 0 \text{ at } \mu = 10^{17} \text{ GeV} < M_{\text{Pl}}$$

- Sher, Brandina et al (arXiv:1408.5302):
  - field at center of bubble is greater than  $M_{\text{Pl}}$ , so **yes**

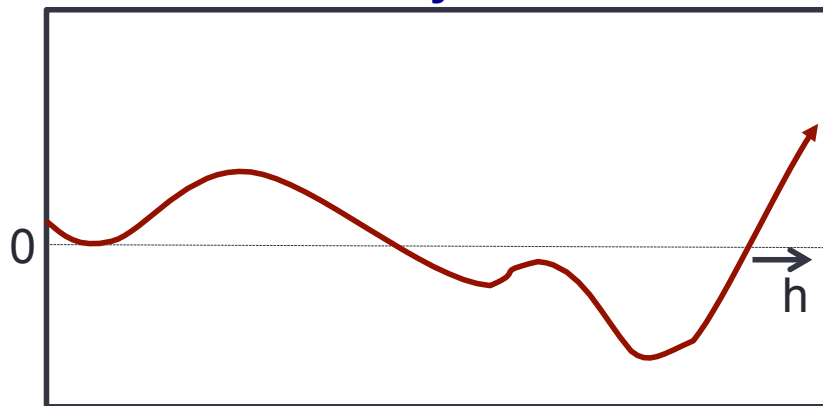
$$\phi_B(r=0) = 10^{19} \text{ GeV} \sim M_{\text{Pl}}$$



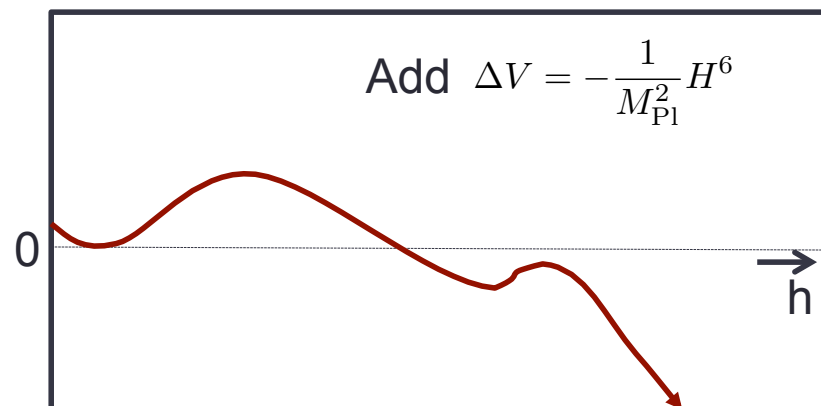
# $M_{\text{Pl}}$ corrections:



Standard Model potential  
**Lifetime =  $10^{600}$  years**



- Planck sensitivity not due to coincidence that  $\beta_\lambda = 0$  at  $\mu \sim M_{\text{Pl}}$
- Tunneling is **non-perturbative** and **always** UV sensitive.



- **Lifetime = 0 sec**
- Arbitrarily small bubbles form and grow

Add 
$$\Delta V = -\alpha \frac{1}{M_{\text{Pl}}^2} H^6 + \beta \frac{1}{M_{\text{Pl}}^2} H^8$$

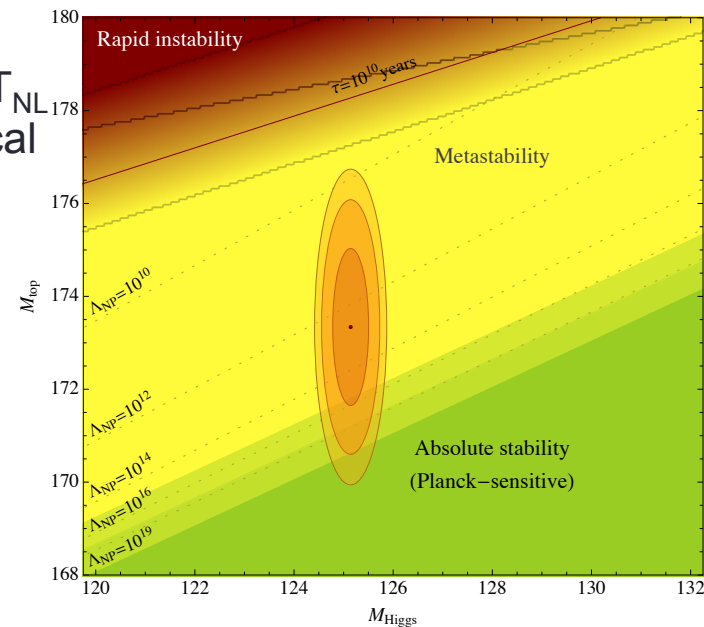
- **Lifetime can be anything!**



# Conclusions

Tunneling involves many **exotic elements** of quantum field theory

- Tunneling rates
  - Two time scales relevant for tunneling:  $T_{\text{slosh}} \ll T \ll T_{\text{NL}}$
  - Asymptotic expansions and analytic continuation critical
    - Can be avoided with a direct approach
- Requires consistent use of perturbation theory
  - $\lambda \sim \hbar$  power counting
- UV physics does not decouple
  - Stability is necessarily Planck-sensitive
  - Can make lifetime shorter, not longer



## Do we know if the universe is stable?

- Our universe will probably decay, eventually.
- We don't know how long it will last