

# Quark and Gluon Jet Discrimination

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Based on work with J. Gallicchio arXiv:1211.7038, 1106.3076, 1104.1175  
and P. Komiske and E. Metodiev arXiv:1612.01551

See also ATLAS arXiv:1405.6583, ATLAS-CONF-2016-034

Larkoski et al. arXiv:1405.6583

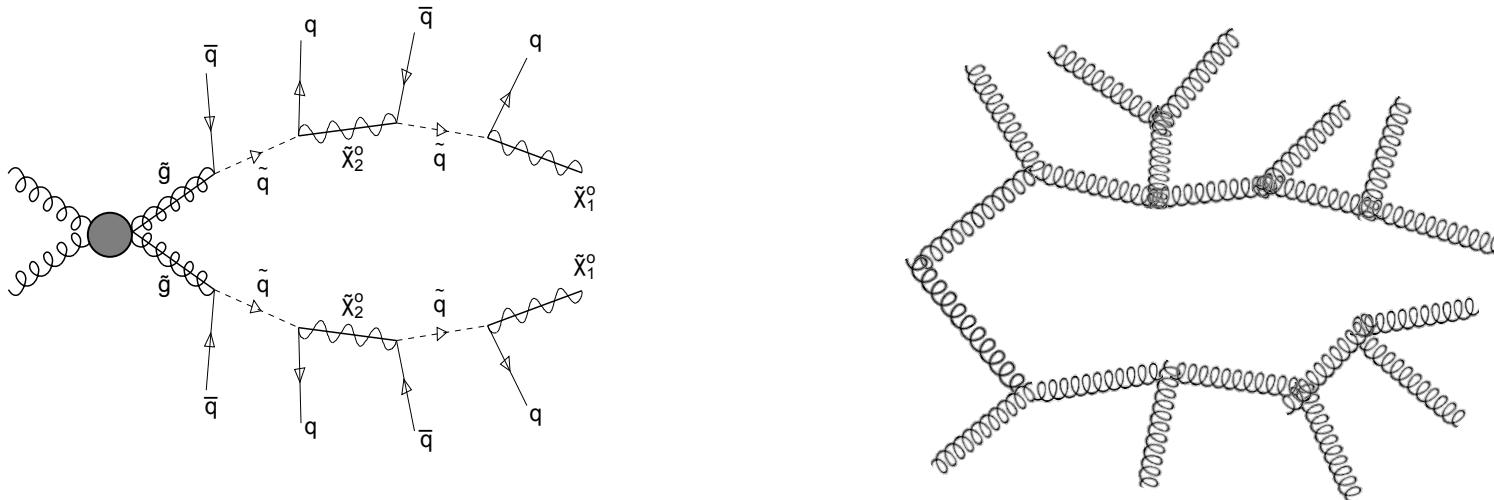
Schwartzman et al. arXiv:1407.5675, arXiv:1511.05190

# Why do we care?

## 1. BSM searches:

## New physics mostly **quark jets**

## Backgrounds mostly **gluon jets**



## 2. SM searches

- Gluonic backgrounds to e.g. hadronic top decays

### 3. Improve Monte Carlos

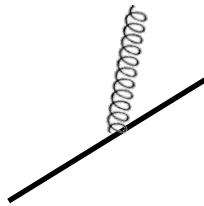
- Gluon jet modeling limits accuracy of current simulations

## 4. Test precision QCD

## 5. For the challenge: can we do it?

# Quark/Glue basics

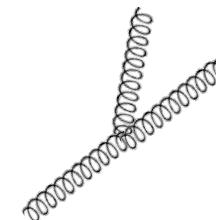
Probability of quark radiating:



$$P(q \rightarrow qg) = \frac{\alpha_s}{2\pi} C_F (\dots)$$

$$C_F = \frac{4}{3} = 1.3$$

Probability of quark radiating:



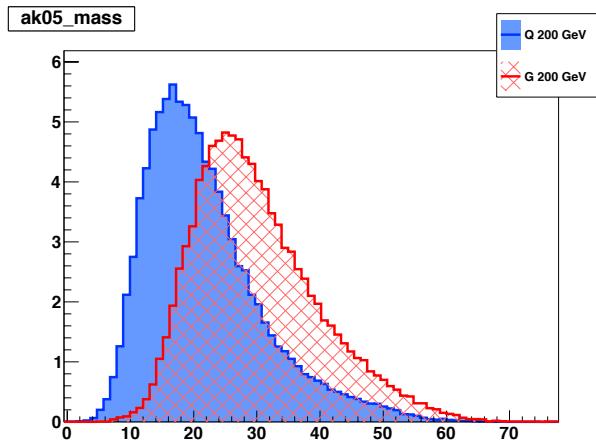
$$P(g \rightarrow gg) = \frac{\alpha_s}{2\pi} C_A (\dots)$$

$$C_A = 3$$

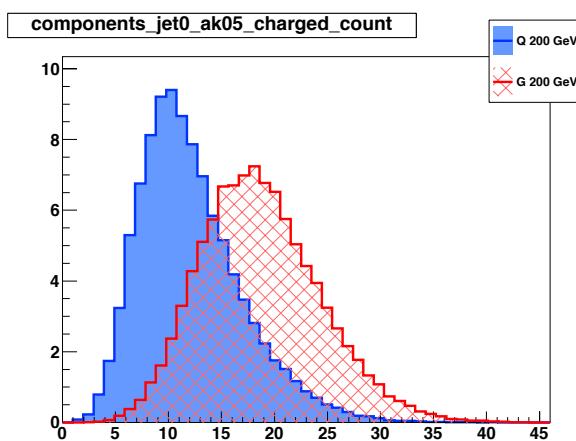
- Gluons around twice as likely to radiate than quarks
  - Gluon jets are fatter
  - Gluon jets are more massive
  - Gluon jets have more particles
  - ...

# Example distributions

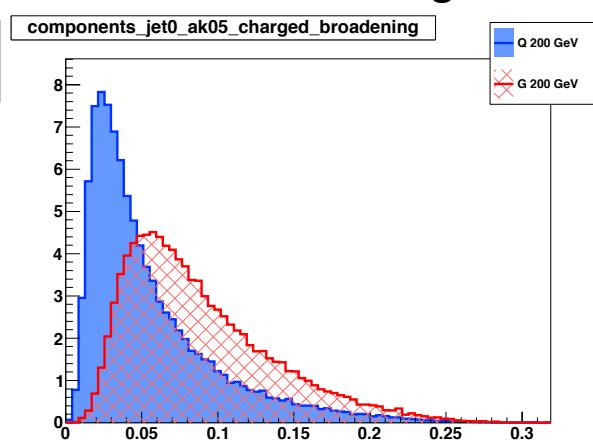
Jet mass



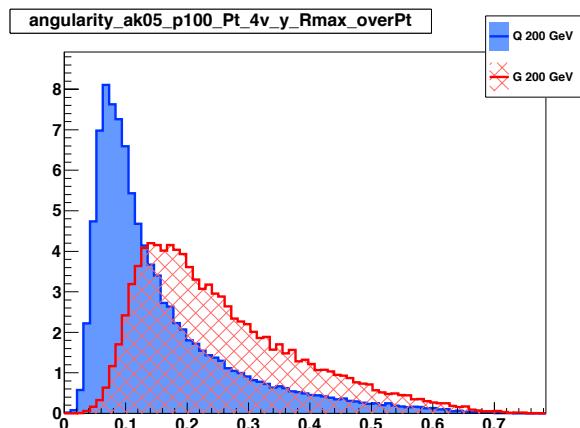
Charged particle count



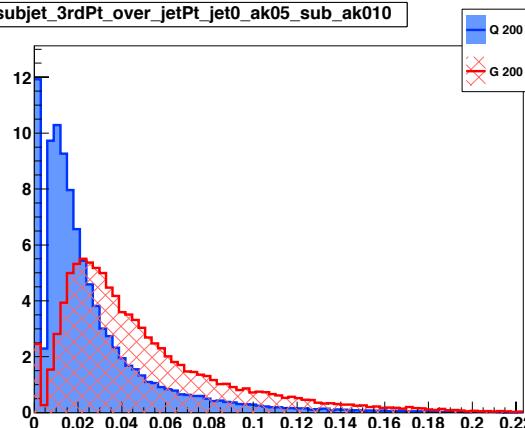
Jet broadening



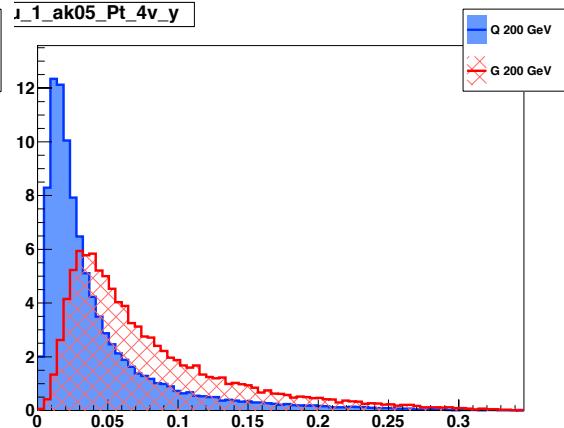
Jet angularity



$P_T$  fraction of 3<sup>rd</sup> hardest subjet

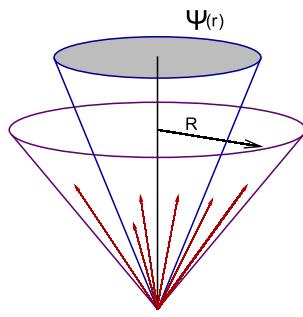


Moment of Hu

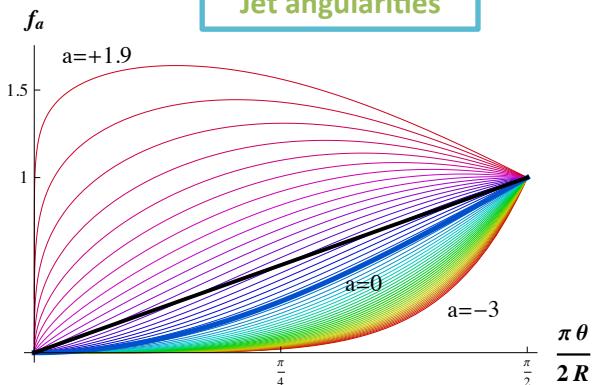


# We looked at 10,000 variables

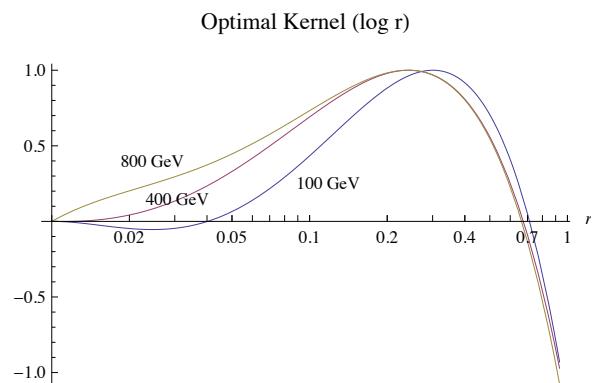
Integrated/differential  
“Jet Shape”



Jet angularities

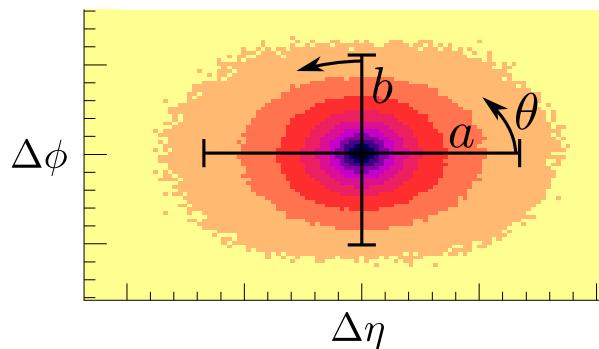


Iteratively optimized  
radial profile



Properties of  
Covariance tensor

$$C = \sum_{i \in \text{jet}} \frac{p_T^i}{p_T^{\text{jet}}} \begin{pmatrix} \Delta\eta_i \Delta\eta_i & \Delta\eta_i \Delta\phi_i \\ \Delta\phi_i \Delta\eta_i & \Delta\phi_i \Delta\phi_i \end{pmatrix}$$



Combination of Eigenvalues

Eigenvalues:  $a > b$

Quadratic Moment:  $g = \sqrt{a^2 + b^2}$

Determinant:  $\det = a \cdot b$

Ratio:  $\rho = b/a$

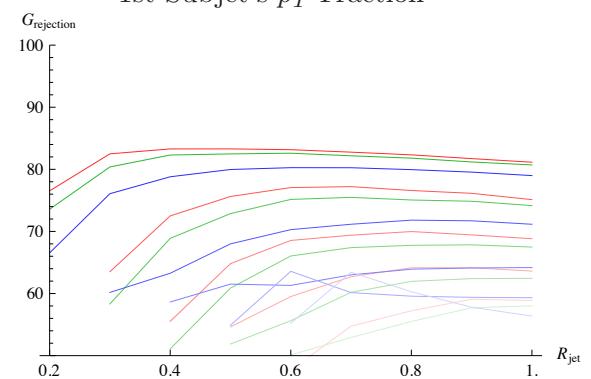
Eccentricity:  $\epsilon = \sqrt{a^2 - b^2}$

Planar Flow:  $pf = \frac{4ab}{(a+b)^2}$

Orientation:  $\theta$

Subjet counts  
and properties

1st Subjet's  $p_T$  Fraction



# We looked at 10,000 variables

The best two variables in Pythia are:

1

Charged particle count

- Better spatial and energy resolution works better
  - e.g. particles > calorimeter clusters > subjets

and

2

Linear radial moment (girth)

- Similar to jet broadening

$$g = \frac{1}{p_T^{\text{jet}}} \sum_{i \in \text{jet}} p_T^i |r_i|$$

- Many variables have similar performance

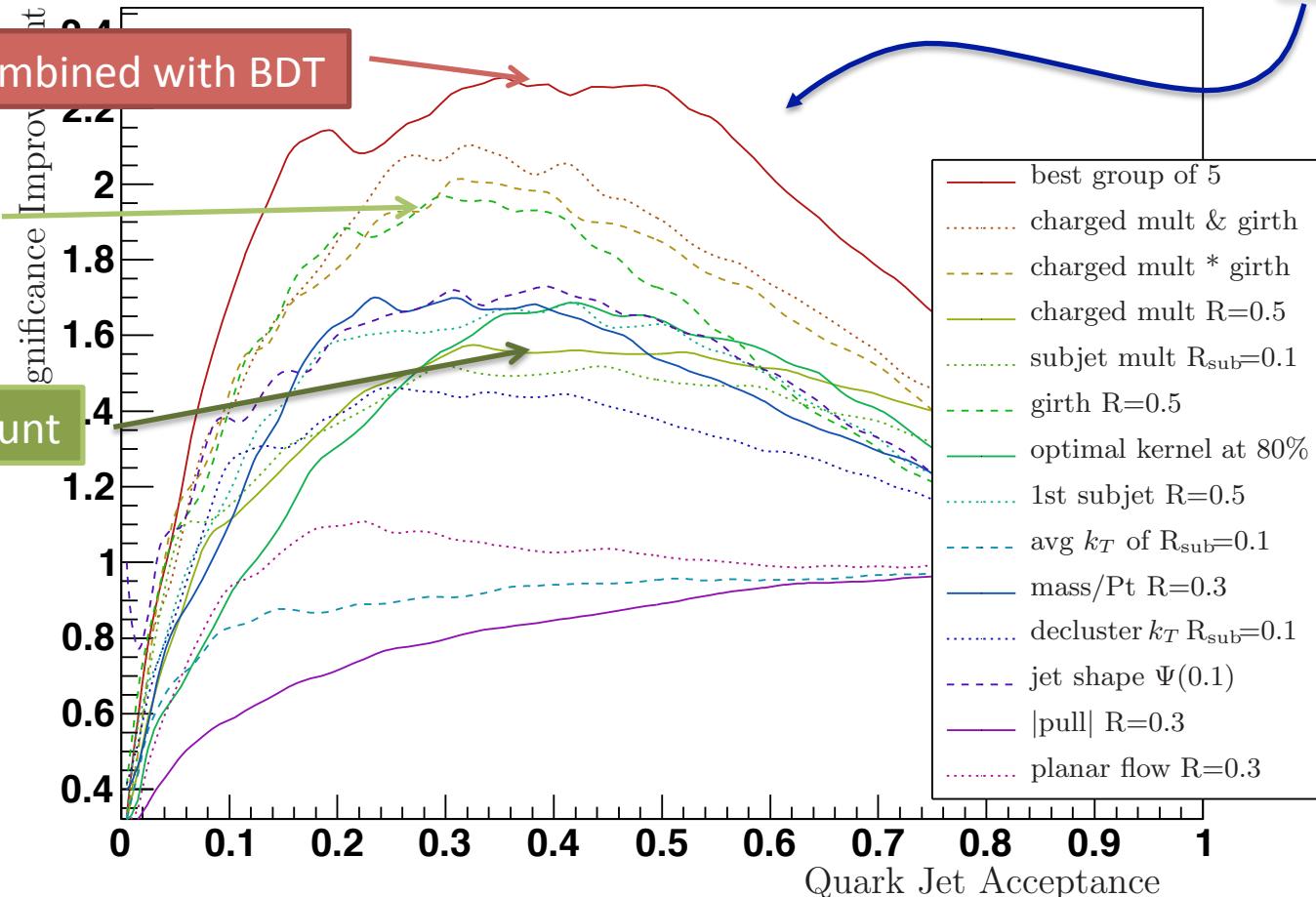
# Quark and gluon jet substructure

Significance Improvement

$$\sigma = \frac{S}{\sqrt{B}}$$

Cut

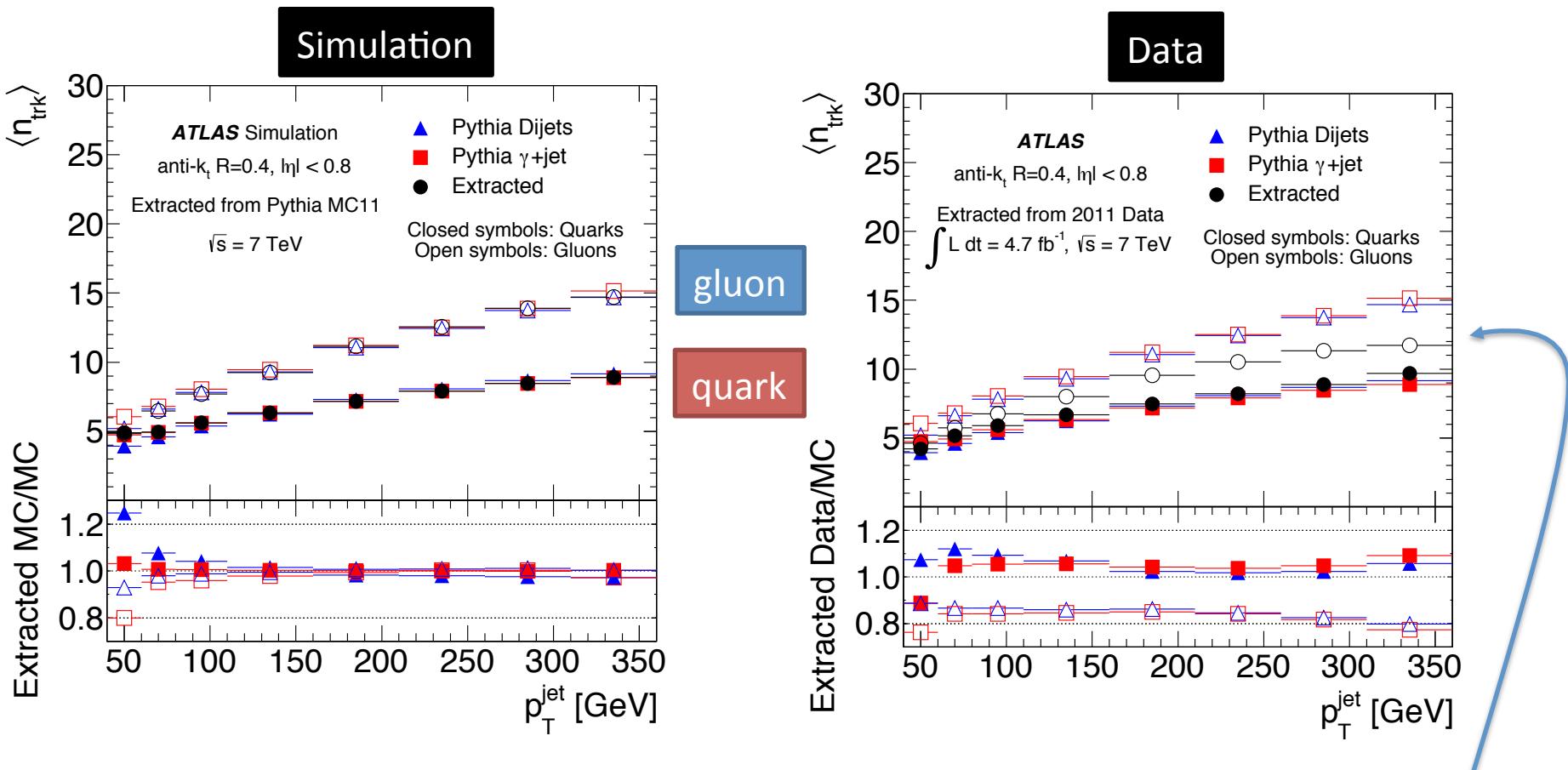
$$\frac{S\epsilon_s}{\sqrt{B\epsilon_b}} = \sigma \frac{\epsilon_s}{\sqrt{\epsilon_b}}$$



100 GeV jets, Pythia

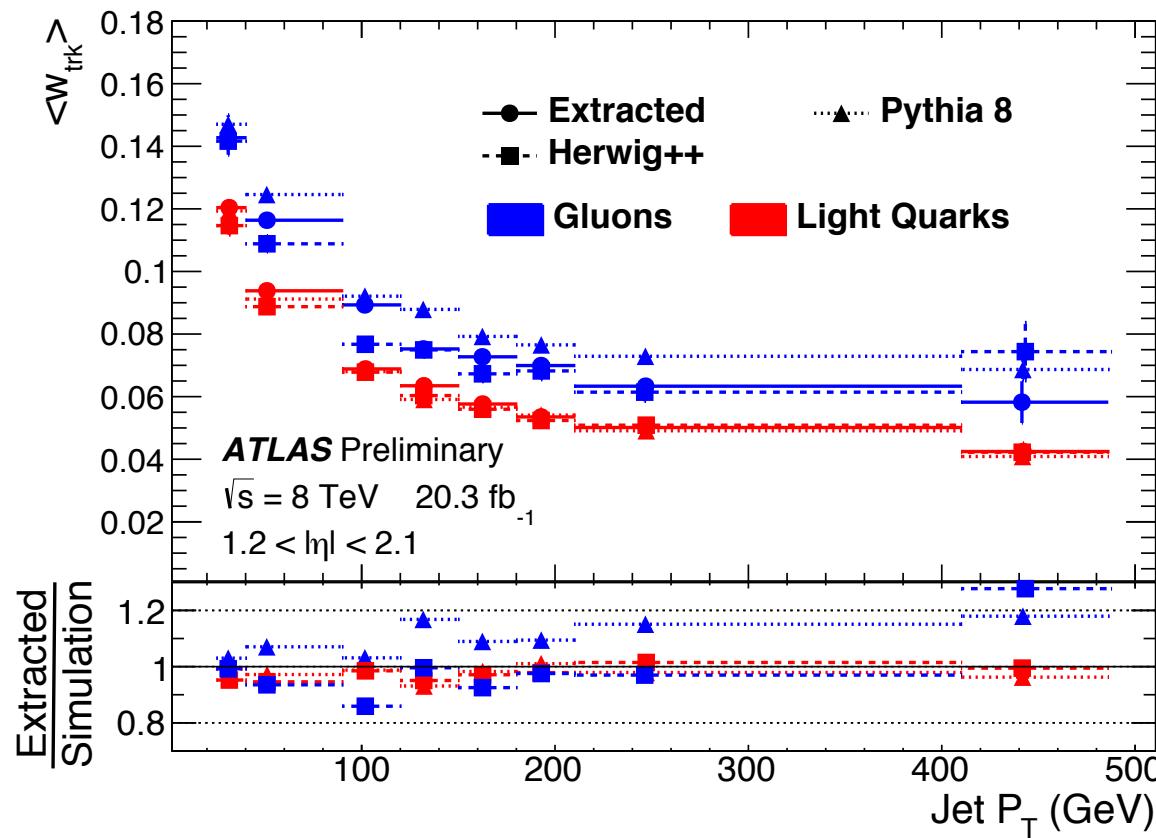
# ATLAS 7 TeV

- ATLAS developed procedure to disentangle quark and gluon jets
- Used relatively pure samples (dijets for gluon,  $\gamma +$  jet for quark)



Extracted gluon jet properties closer to quark than in pythia

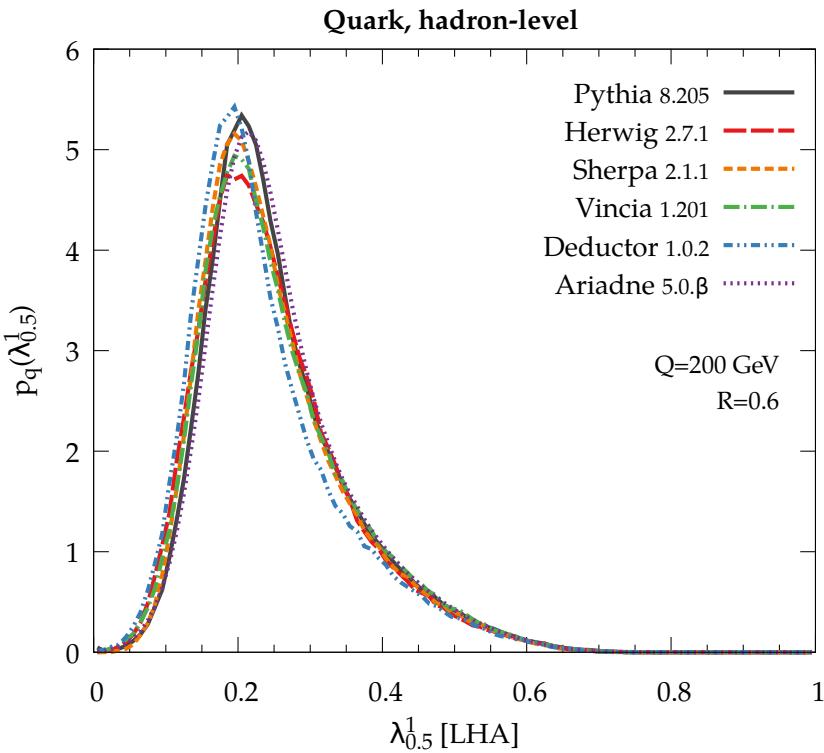
## Track width



Data appears to be between Pythia and Herwig

# Monte Carlos can be improved...

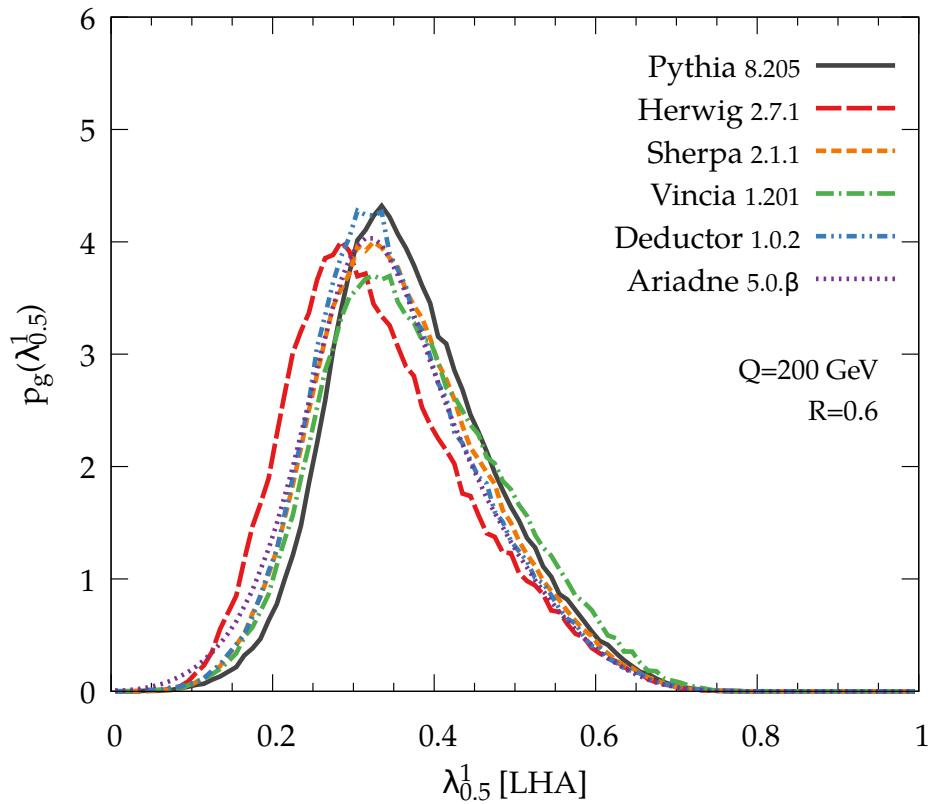
Les Houches study (2016)



Monte Carlo's all seem to agree on quarks

- Promising sign for future progress

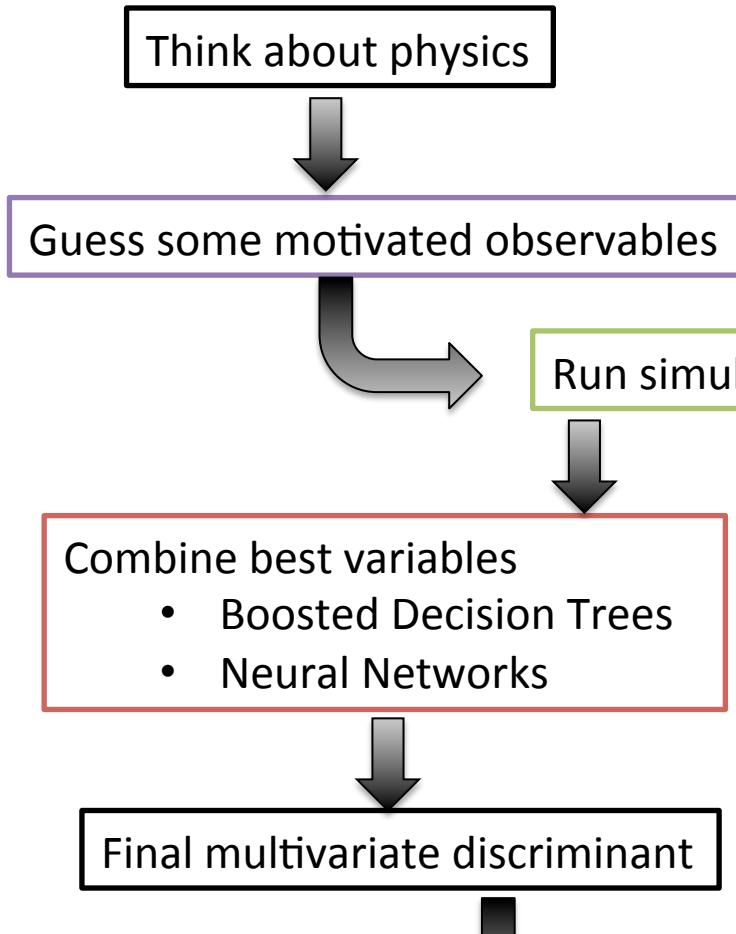
Gluon, hadron-level



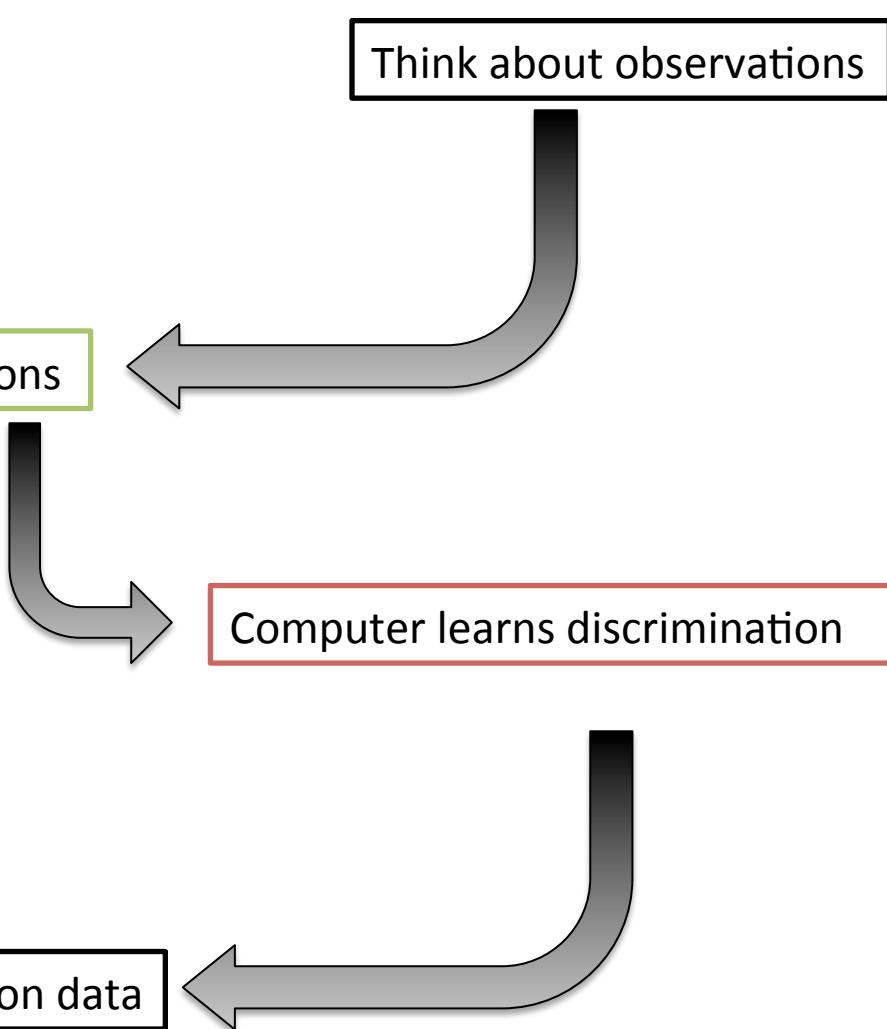
Improved shower MCs in between  
Herwig and Pythia

# Deep learning

## Traditional approach



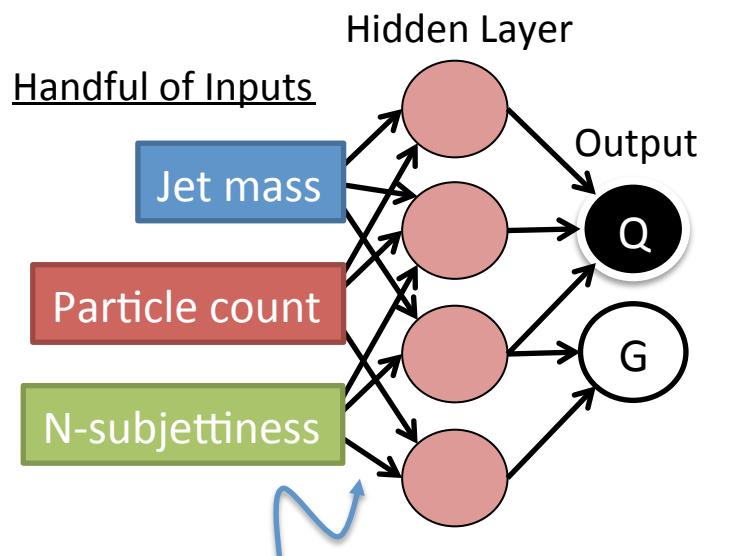
## Machine learning approach



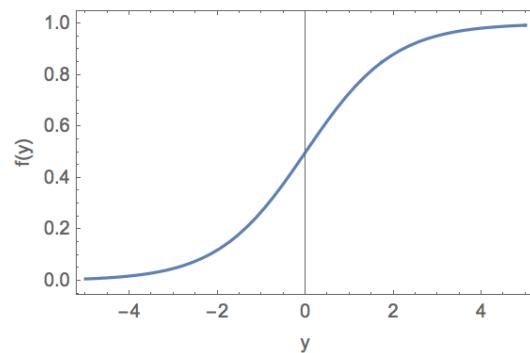
# Neural networks

Traditional (shallow) neural networks  
Useful for multivariate analysis

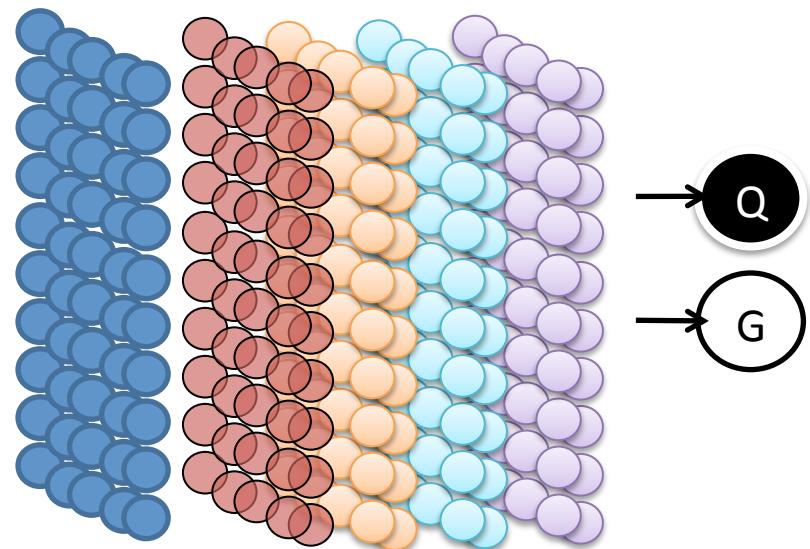
Deep networks



$$f(y) = \frac{1}{1 + \exp(-y)} \text{ (sigmoid)}$$



Activation function  
inspired by biology



- Many inputs
- Many hidden layers

# Recent advances allowing Deep Learning

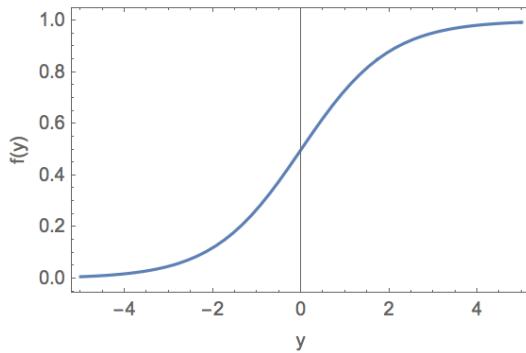
- In algorithms:
  - New **activation functions** to avoid issues such as saturation
  - New model **regularizations**
    - **Dropout**: Randomly selected fraction  $p$  of units are ignored during each weight-update.
  - Network architecture **adapted** to application
    - Drastically fewer elements to optimize
- In computing:
  - Faster computing capabilities
    - Graphics Processing Units (GPUs)
  - Easier Usability
    - Keras Deep Learning Python Library

# Activation Functions

Traditional

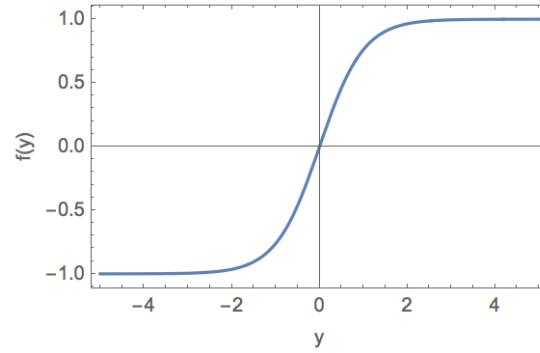
- Sigmoid

$$f(y) = \frac{1}{1 + \exp(-y)}$$



- Tanh

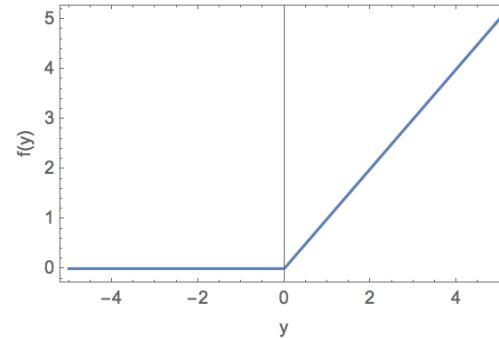
$$f(y) = \tanh(y)$$



New!

- Rectified Linear Unit (ReLU)

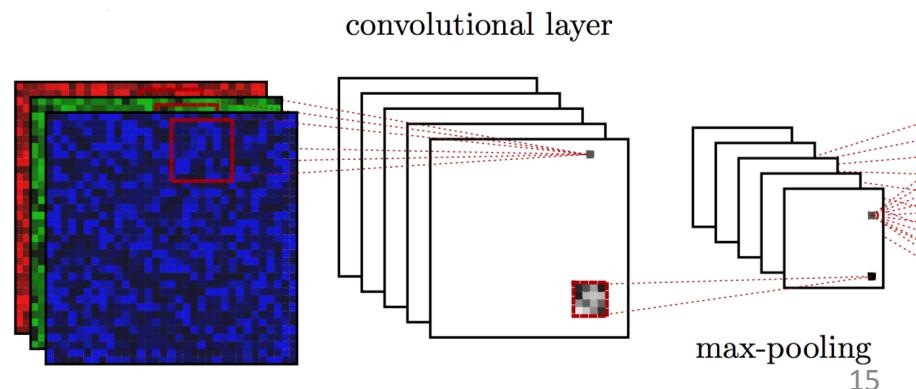
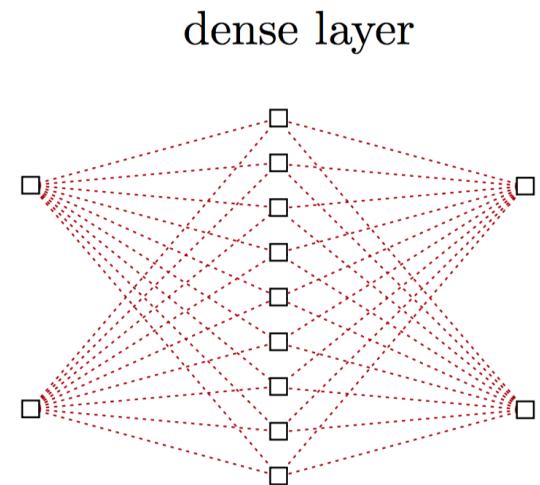
$$f(y) = \max(0, y)$$



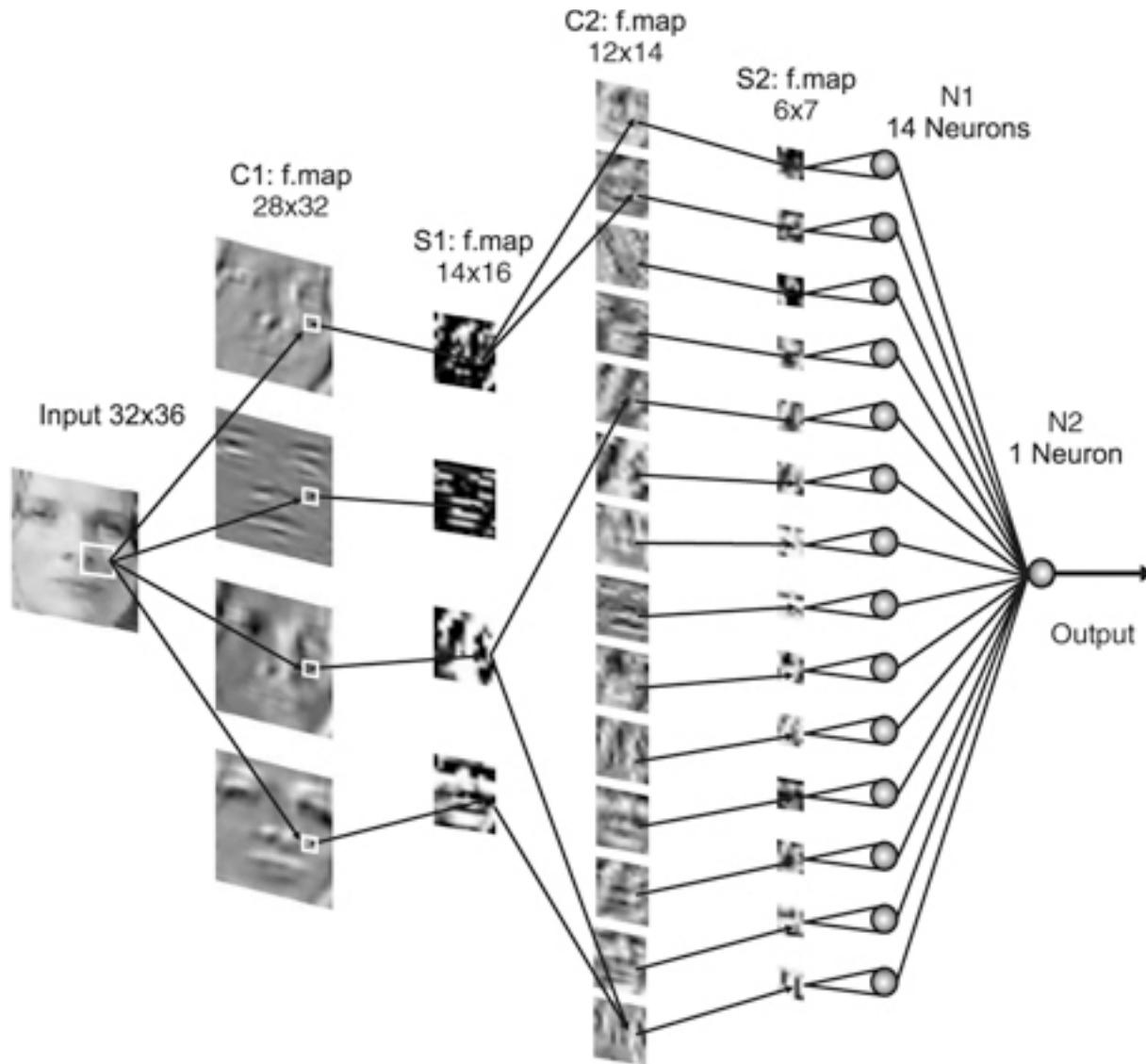
- Sigmoid and Tanh can **saturate**, whereby the gradient becomes vanishingly small for inputs far from zero, making training difficult.
- ReLUs avoid this saturation problem and have an easily computable gradient.

# How to link up units?

- Dense (Fully Connected) Layer
  - Each unit is linked to every unit in the previous layer.
- Convolutional Layer
  - Each unit is linked to an  $n \times n$  patch of the previous layer.
  - Units are downsampled to  $n/2 \times n/2$  patches with a **max-pooling** layer.
  - Can handle multiple **channels**.  
E.g. RGB images.



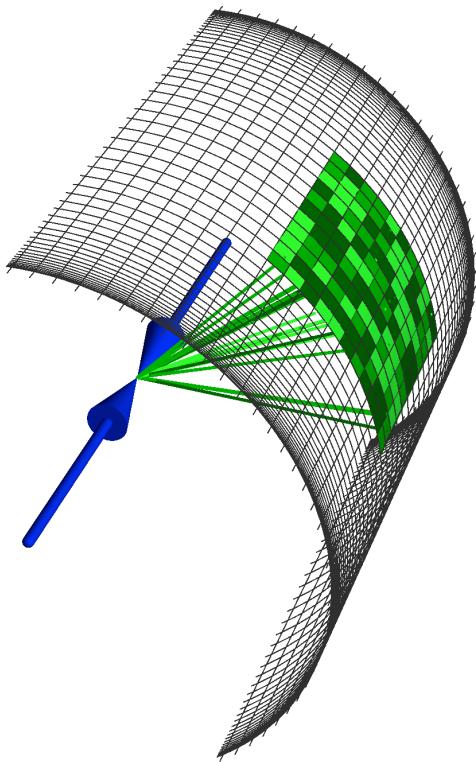
# Applications to Image Recognition



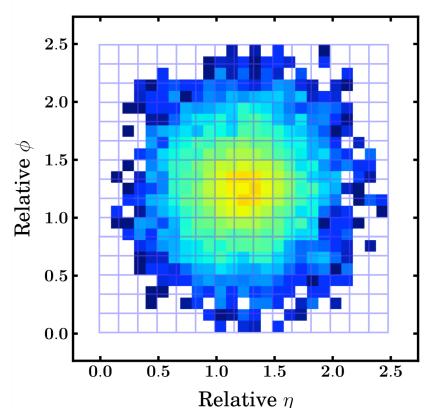
# Jet Images

Cogan et al. arXiv:1407.5675

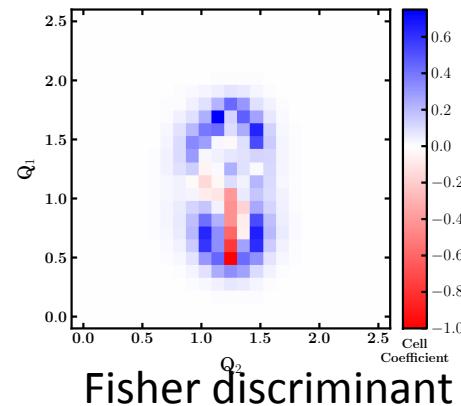
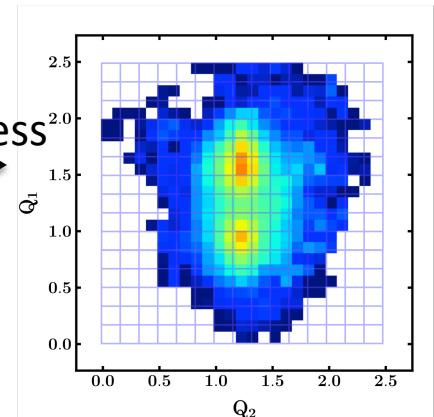
- Treat energy deposits as image



Application to boosted W tagging



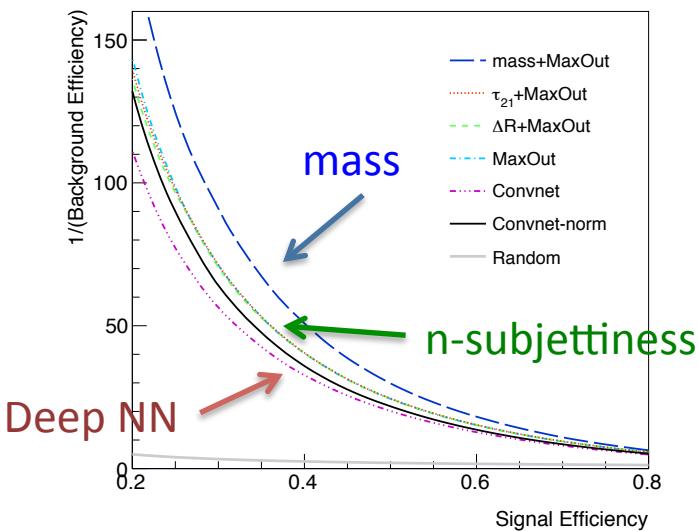
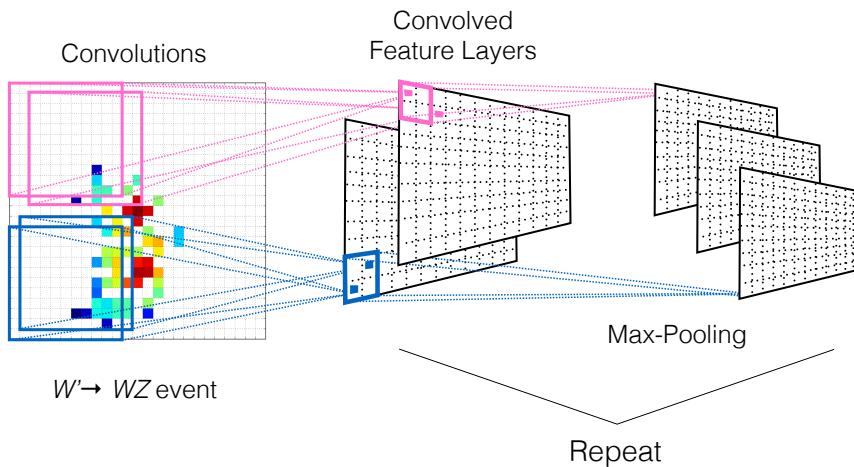
preprocess



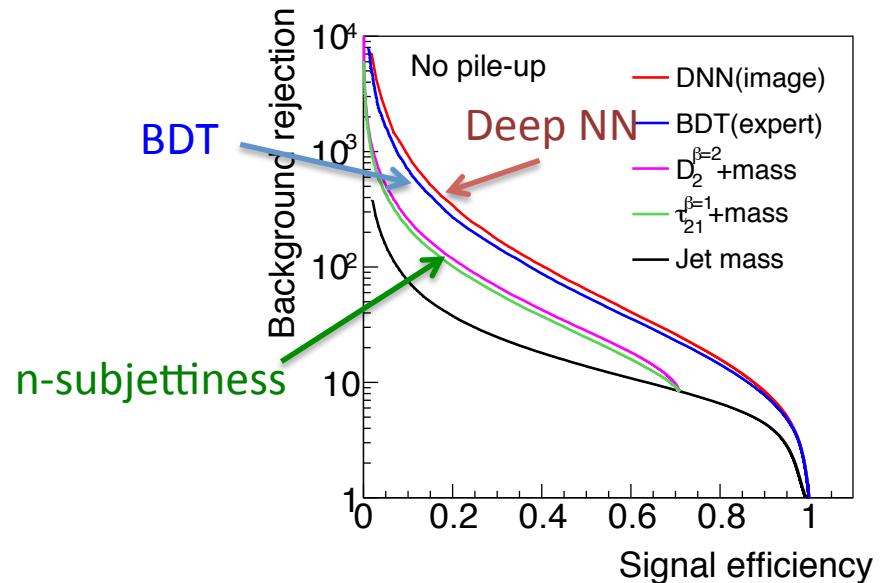
Fisher discriminant

# Boosted W's and jet images

de Olivera et al. arXiv:1511.05190

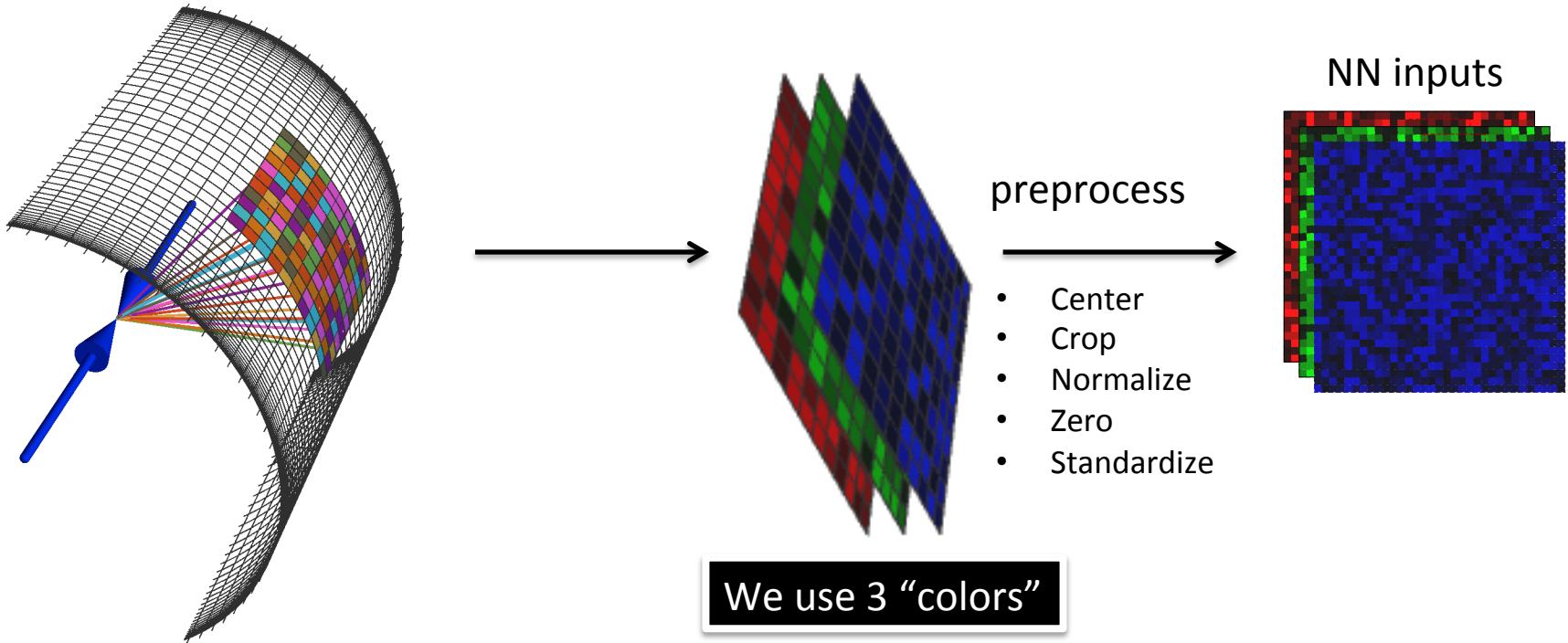


Baldi et al. arXiv:1603.09349



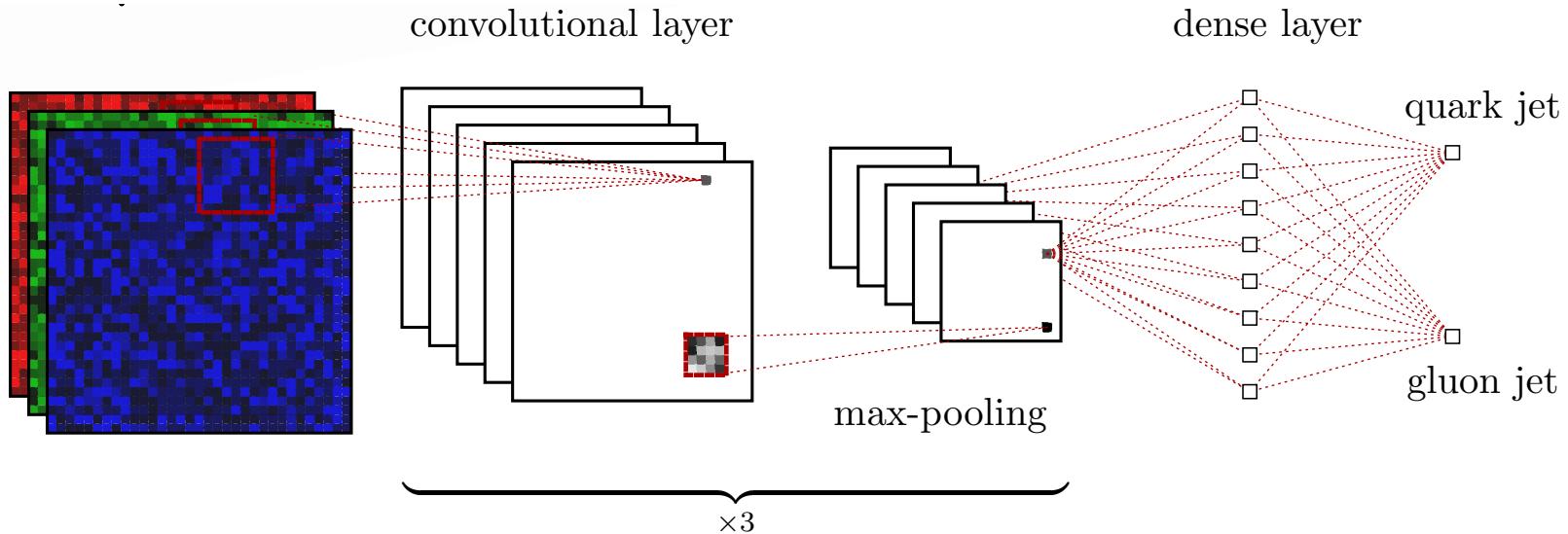
- Deep NN does better than single variables
- Deep NN does about as well as BDT of 6 good discriminants

# Deep learning for Q vs G



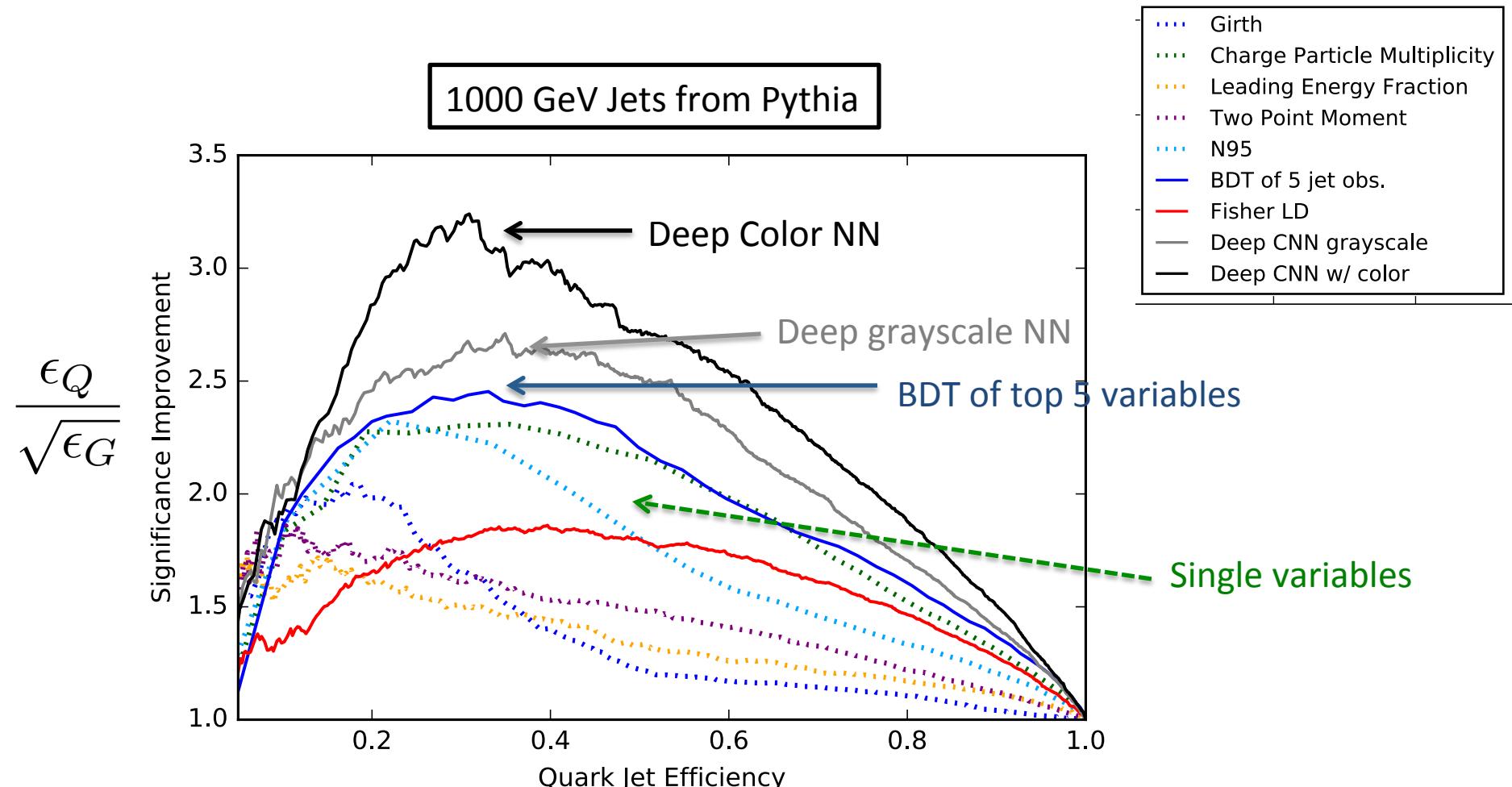
- Find anti- $k_T$   $R=0.4$  jets
- Extract square grid around jet center
- Pixelate into  $\Delta\eta \times \Delta\phi = 0.024 \times 0.024$  cells
  - Produces 33x33 image
- Red =  $p_T$  of charged particles
- Green =  $p_T$  of neutral particles
- Blue = charged particle multiplicity

# Deep NN architecture



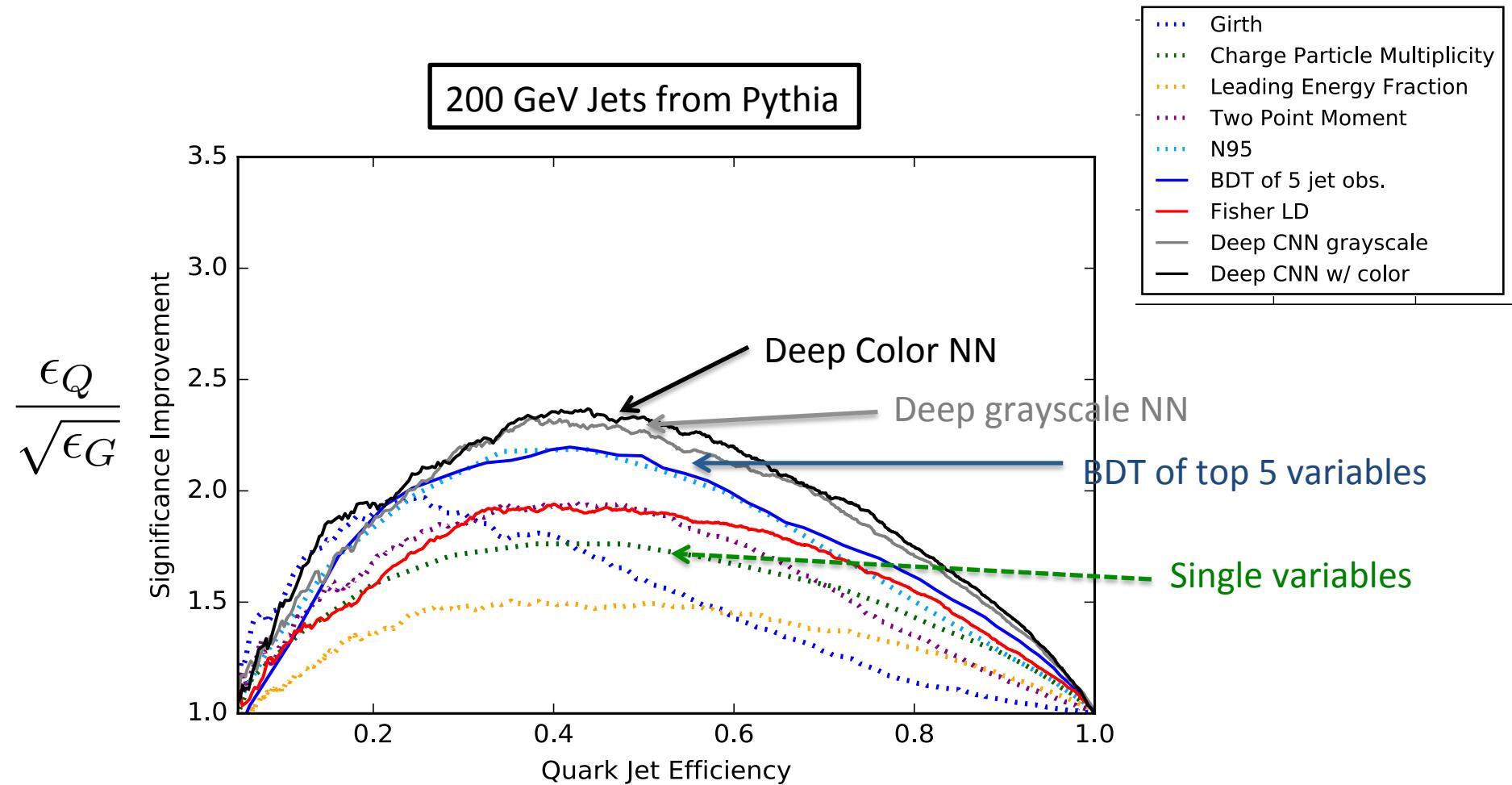
- Convolution layers apply 8x8 pixel filters to images
  - 4x4 filters used for the 2<sup>nd</sup> and 3<sup>rd</sup> conv. layers
  - We use 64 independent filters in each layer
- Max-pooling reduces layer size by 4
- Final layer is densely connected to all final filters

# Results



Works really well – especially considering we don't put in any physics!

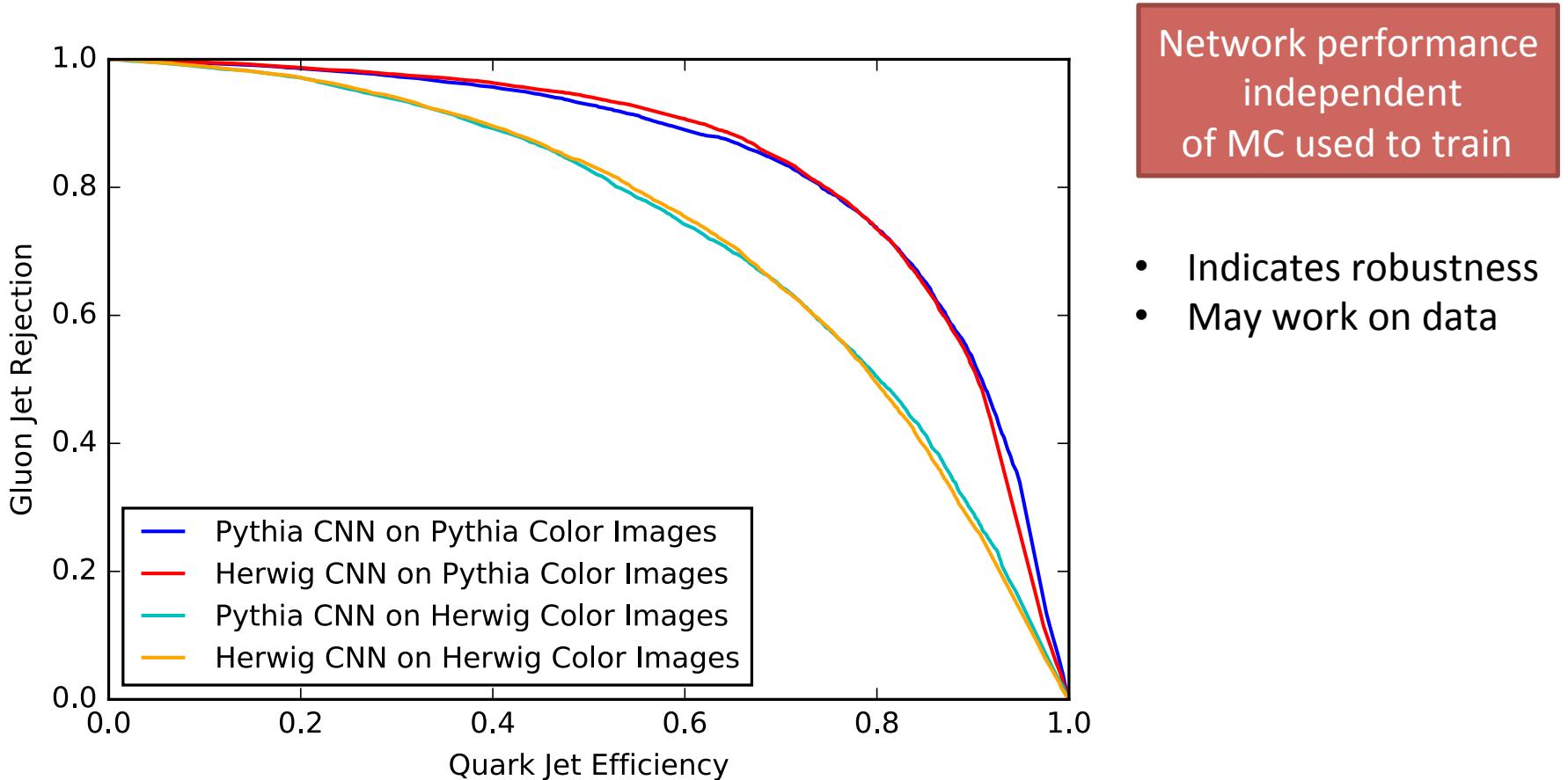
# Results



Works really well – especially considering we don't put in any physics!

# Comparing Pythia and Herwig

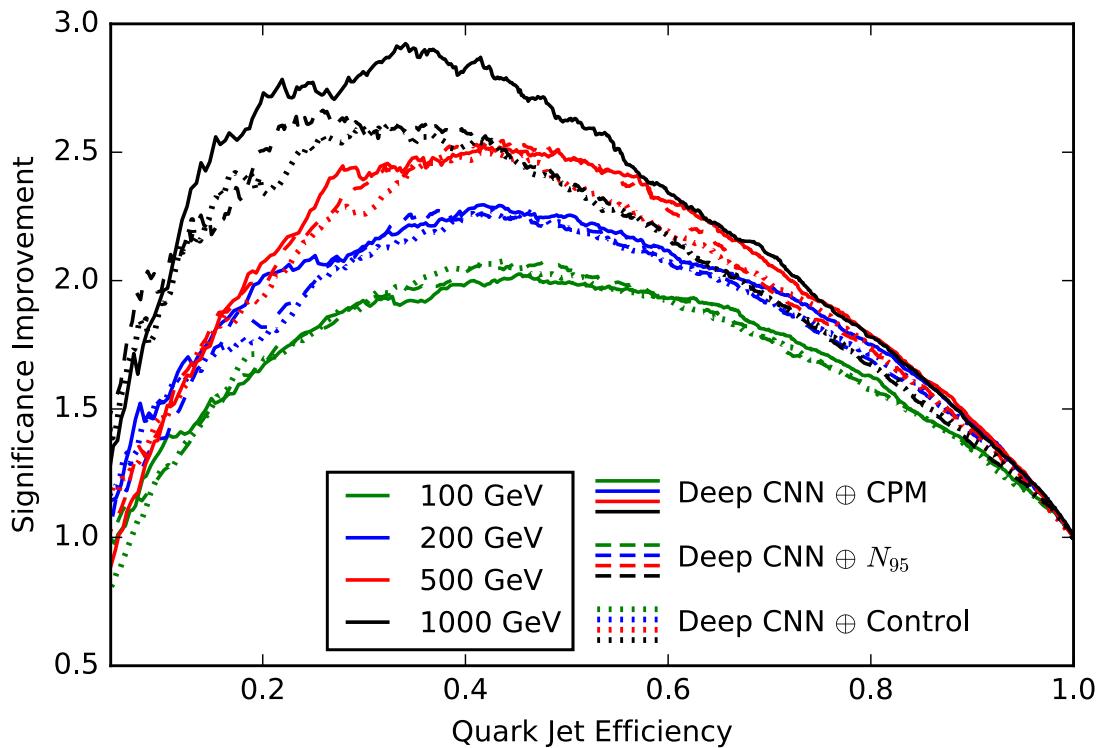
- Discrimination worse in Herwig
  - Gluon and quark jets are more similar
  - Consistent with previous studies



# Is it learning physics?

Add in observables

- CPM = charged particle multiplicity
- $N_{95}$  = a useful discriminant (minimum number of pixels with 95% of jet  $p_T$ ) [Pumplin 1991]



Except at very high  $p_T$ ,  
no benefit from adding observables



May indicate that NN has  
“learned” physics

# Conclusions

- **Quark and gluon jets can be distinguished** by radiation patterns
  - Pythia and Herwig have significant differences, particularly for gluons
  - Improved parton showers (e.g. vincia) look promising
- **Traditional variables**
  - Two types: **shape** (mass, girth, n-jettiness) and **count** (# particles, # subjets)
  - Marginal gains from exploiting correlations of >2 variables using BDTs
- **Deep learning** approach
  - Use image-recognition technology to avoid thinking
  - Does better than traditional approach!
  - Relies heavily on simulations, *but*
    - Performance independent of Pythia or Herwig training

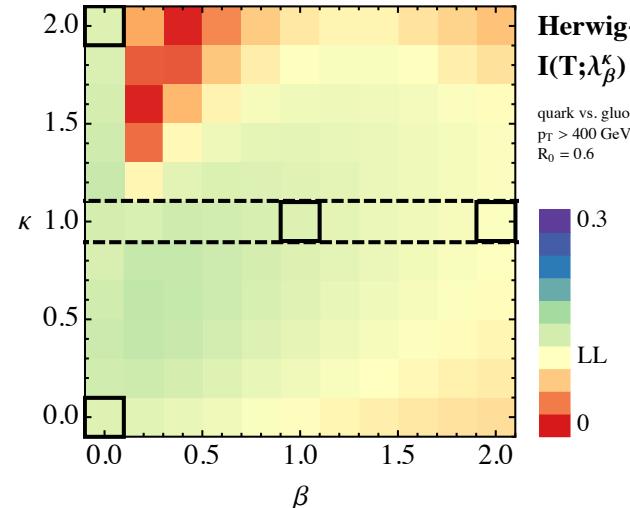
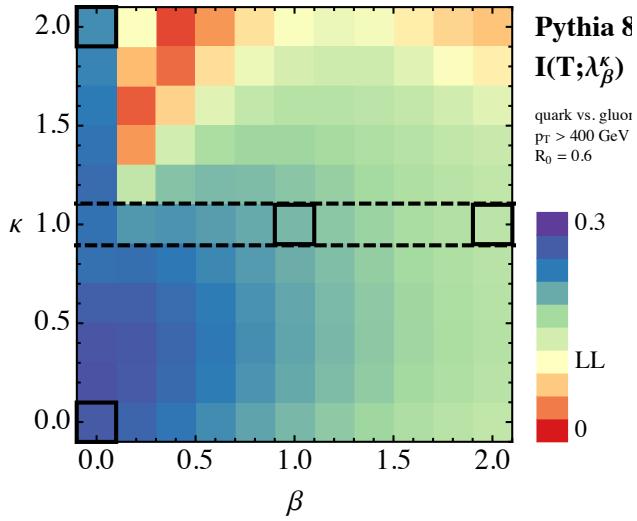
Domo Arigato!



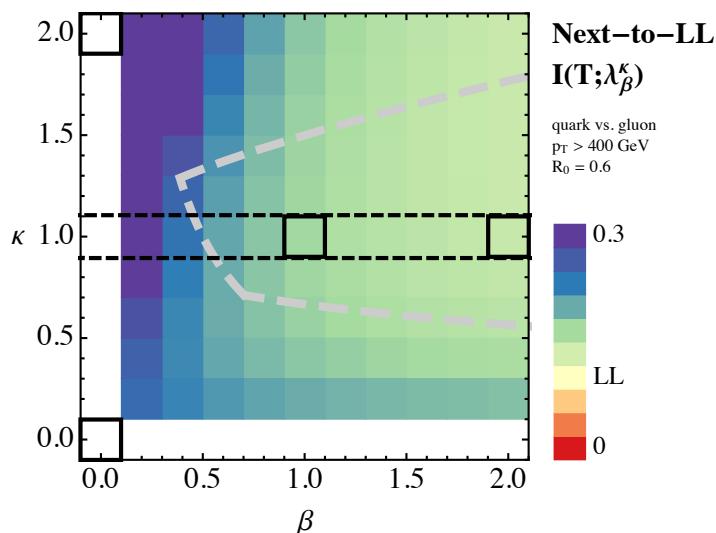
# **BACKUP**

# Analytic approach to correlations

Larkoski et al. arXiv:1408.3122



Monte-Carlo simulations

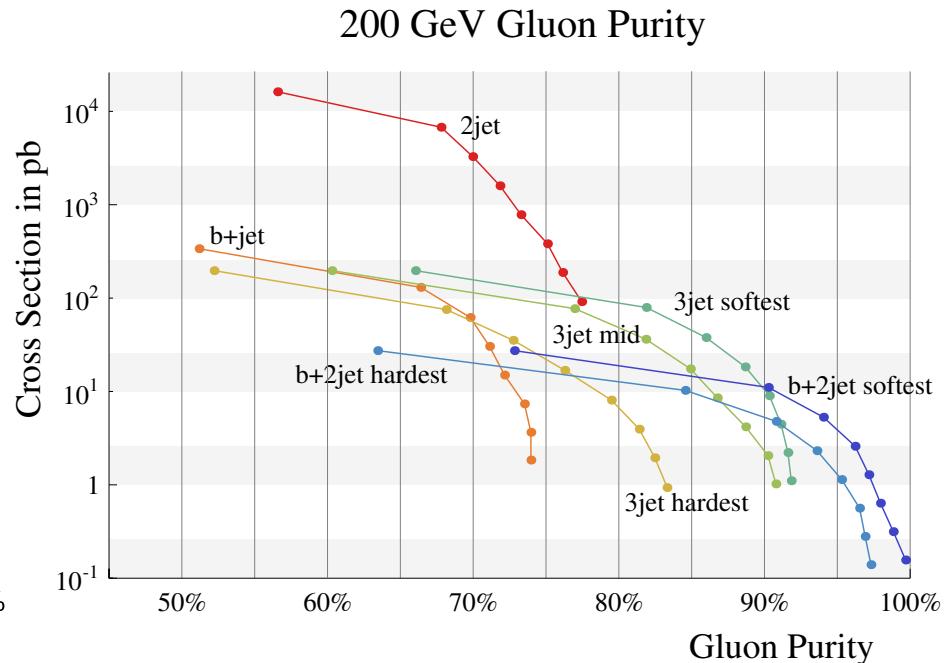
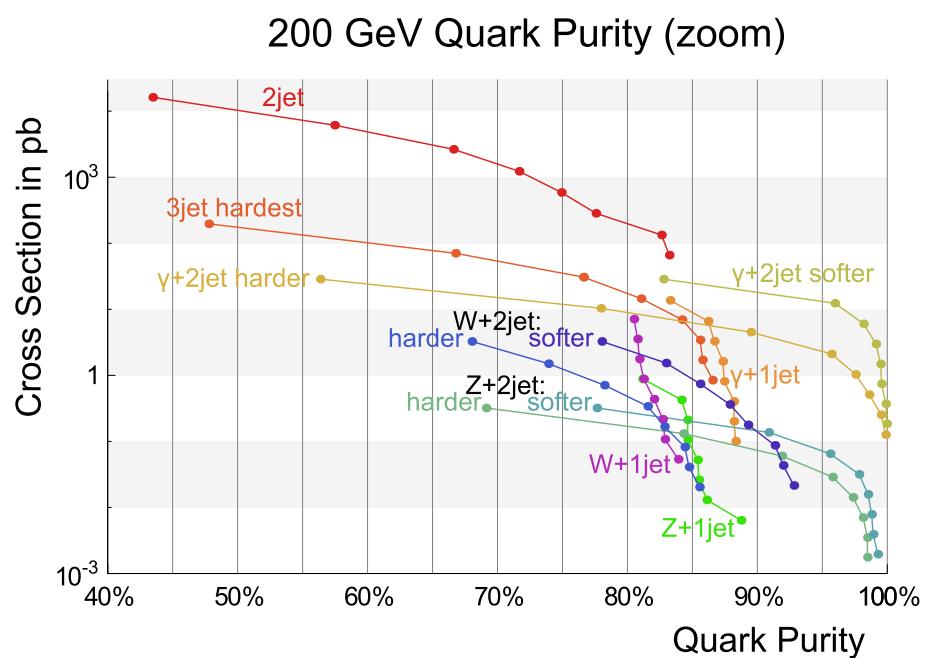


Analytic approach to generalized angularities

$$\lambda_\beta^\kappa = \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\beta .$$

- Challenging
- Not impossible
- Complementary to MCs

# Data: where are the quark and gluon jets?



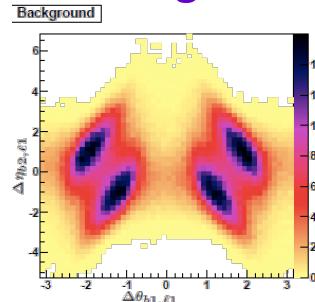
## Photon + jet samples

- Jet closer to photon likely quark

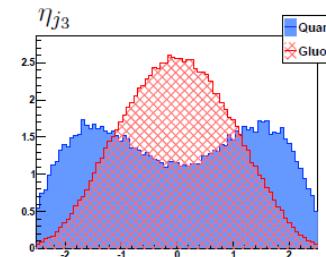
- **b + 2 jet: high purity low s**
  - One jet is b other is gluon
- **Dijet: high cross section, low purity**

# Multivariate approach

- We can think about and visualize **single variables**



- Two variables are harder



- Multidimensional distributions are not well-suited for visualization.
- There are things that **computers are just better** at.
- Multivariate approaches let you figure out how well you could **possibly do**

**FRAMING**

See if simple variables  
can do as well (establishes the goal)

**EFFICIENCY**

Save you the trouble of looking  
for good variables (project killer)

**POWER**

Sometimes they are really necessary (e.g. b tagging)

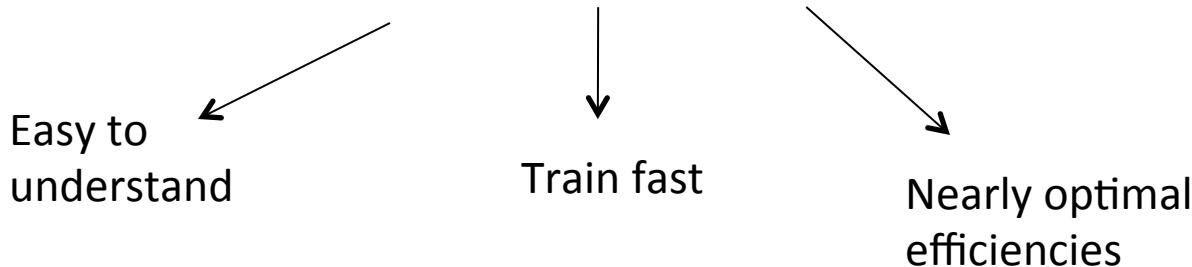
# Multivariate methods

Lots of methods

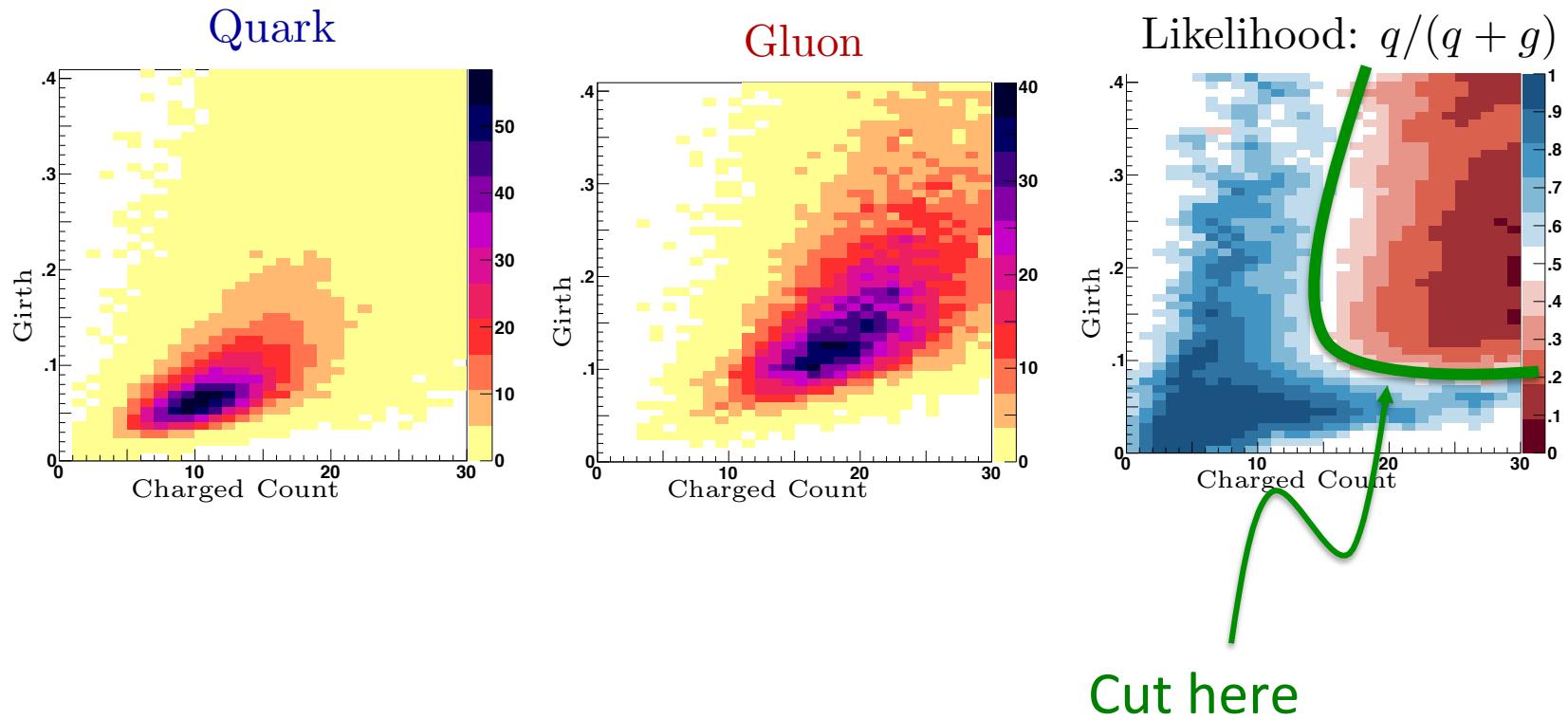
- Boosted Decision Trees
- Artificial Neural Networks
- Fischer Discriminants
- Rectangular cut optimization
- Projective Likelihood Estimator
- H-matrix discriminant
- Predictive learning/Rule ensemble
- Support Vector Machines
- K-nearest neighbor
- ...

Useful in many areas of science

For particle physics, **Boosted Decision Trees** are best suited for combining variables



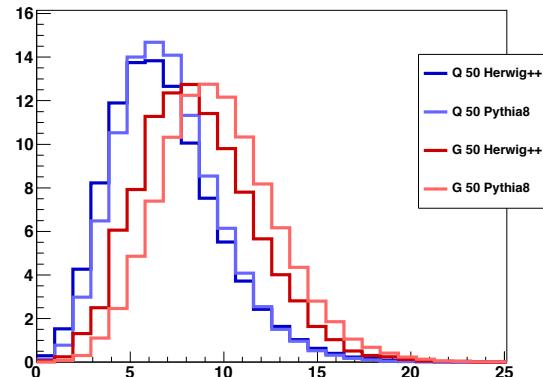
# Are they correlated?



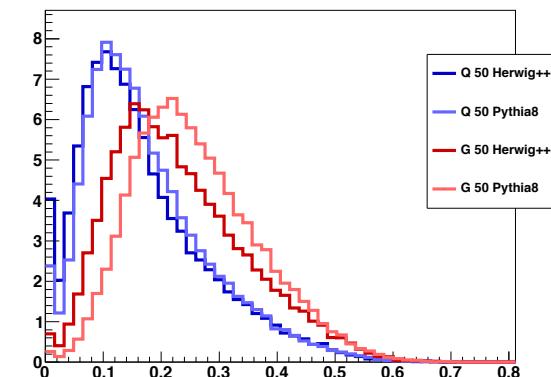
- Not completely
- Can get more discrimination from 2D cuts

# Pythia vs Herwig

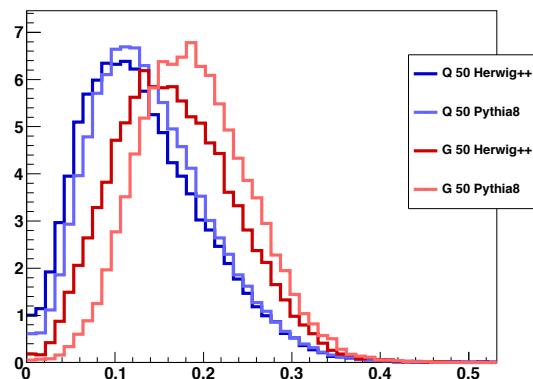
Charged Track Count ( $n_{\text{trk}}$ )



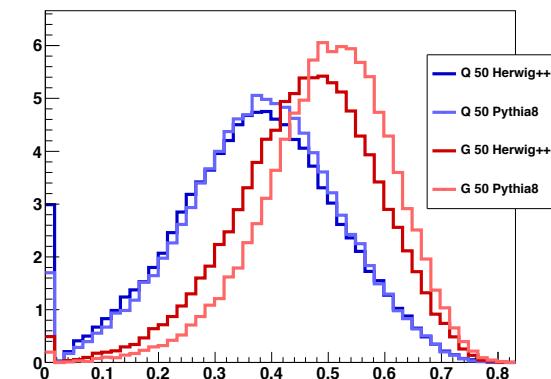
Linear Radial Moment (jet width)



mass/ $p_T$



1-subjettiness, optimized axes  $\beta = 1/4$



- Pythia and Herwig qualitatively similar
- Discrimination power with Herwig ++ universally worse

# Quark and gluon tagging: results

Single variables	Gluon Efficiency % at 50% Quark Acceptance	50 GeV				200 GeV			
		Particles		Tracks		Particles		Tracks	
		P8	H++	P8	H++	P8	H++	P8	H++
2-Point Moment $\beta=1/5$	8.7*	17.8*	13.7*	22.8*	8.3	15.9	13.2	19.6	
1-Subjettiness $\beta=1/2$	9.3	18.5	14.2	22.9	7.6	16.2	12.3	19.4*	
2-Subjettiness $\beta=1/2$	9.2	18.6	13.9	23.6	6.8	15.7*	9.8	18.7	
3-Subjettiness $\beta=1$	9.1	19.3	14.6	24.4	5.9*	16.7	8.6*	19.5	
Radial Moment $\beta=1$ (Girth)	10.3	20.5	16.1	24.9	11.2	18.9	15.3	21.9	
Angularity $a = +1$	10.3	20.0	15.8	24.5	12.0	19.3	14.0	21.6	
Det of Covariance Matrix	11.2	21.2	18.1	27.0	9.4	20.9	13.5	24.6	
Track Spread: $\sqrt{< p_T^2 > / p_T^{\text{jet}}}$	16.5	25.3	16.5	25.3	9.3	20.1	9.3	20.1	
Track Count	17.7	26.4	17.7	26.4	8.9	21.0	8.9	21.0	
Decluster with $k_T$ , $\Delta R$	15.8	24.5	20.1	28.4	13.9	20.1	16.9	23.4	
Jet $m/p_T$ for R=0.3 subjet	13.1	25.9	16.3	27.7	11.9	24.2	14.8	26.2	
Planar Flow	28.7	34.4	28.7	34.4	39.6	42.9	39.6	42.9	
Pull Magnitude	37.0	39.0	32.9	35.6	30.6	30.2	29.6	30.6	
Pairs of variables	Track Count & Girth	9.9	20.1	13.4	23.2	7.1	17.3	7.7*	18.7
	R=0.3 $m/p_T$ & R=0.7 2-Point $\beta=1/5$	7.9*	17.7	12.2*	22.1	5.7	14.4*	8.5	17.9
	1-Subj $\beta=1/2$ & R=0.7 2-Point $\beta=1/5$	8.5	17.3*	12.9	22.1	6.0	14.6	8.6	17.7*
	Girth & R=0.7 2-Point $\beta=1/10$	12.6	21.9	12.6	21.9*	9.2	18.0	9.2	18.0
	1-Subj $\beta=1/2$ & 3-Subj $\beta=1$	8.9	18.0	14.0	23.2	5.6*	15.0	8.4	18.4
3,4,5 variables	Best Group of 3	7.5	17.0	11.0	20.9	4.7	14.0	6.9	16.6
	Best Group of 4	7.1	16.7	10.6	20.5	4.5	13.7	6.2	16.3
	Best Group of 5	6.9	16.4	10.4	20.0	4.3	13.3	6.1	15.9